



Arc-parallel compression in the NW Himalaya: Evidence from structural and palaeostress studies of brittle deformation from the clasts of the Upper Siwalik, Uttarakhand, India

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The sub-Himalayan Upper Siwalik rocks, between the Main Boundary Thrust (MBT) to the north and the Main Frontal Thrust (MFT) to the south, are intensely brittle sheared and jointed. Our field studies around Dehradun (India) furnished at least eight small-scale brittle slip directions, viz., ~top-to-SW/SSW (up), top-to-SW/SSW (down), top-to-NE/NNE (up), top-to-NE/ENE (down), top-to-NW (down), top-to-SE/SSE (up), top-to-SE/SSE (down) and top-to-NW/NNW (up). Additionally, we report near-vertical faults, four sets of joints (inclined: J_1 and J_2 ; near-vertical: J_{1V} and J_{2V}). Palaeostress analyses using T-TECTO Studio X5 with all joint sets reveal two compression directions ~ENE–WSW and ~NNW–SSE. We propose two possible temporal relations between the joint sets: (i) J_1 , J_2 , J_{1V} and J_{2V} are coeval (~ENE–WSW compression) and (ii) J_{1V} and J_{2V} developed coevally (~ENE–WSW compression) followed by J_1 and J_2 (~NNW–SSE compression), because arc-parallel compression (if any) occurs later than arc-perpendicular compression. The presence of already well-known strike-slip faults, viz., the Yamuna tear fault and the Ganga tear fault, at high angles, ~55° and ~85° to the orogenic trend, implies a possible arc-parallel compression in the Siwalik Himalaya in the study area. This ~NNW–SSE compression could also indicate a localised stress reorientation due to the curvature of the Thrust planes, viz., the MFT and the Asan Thrust (as observed in plan view) close to the study area. This study further shows that arc-parallel compression need not be restricted to the inner arc of an orogen, and/or, as in the case of the Himalaya, near the syntaxes.

Keywords. Arc-parallel compression; palaeostress; joints; Siwalik Himalaya.

1. Introduction

Arc-parallel extension has been well recorded from different segments of the Himalaya (e.g., Coleman 1996; Zhang *et al.* 2000; Murphy and Copeland

2005; Jessup *et al.* 2008; Jessup and Cottle 2010; Hintersberger *et al.* 2010; Langille *et al.* 2010; Saylor *et al.* 2010; Styron *et al.* 2011; Xu *et al.* 2013; Nagy *et al.* 2015). Comparatively, reports on arc-parallel compression from the Himalaya

are less (e.g., Zeitler 1985; Treloar *et al.* 1991; Llana-Fúnez *et al.* 2006; Shah *et al.* 2011; Khan *et al.* 2014; Banerjee *et al.* 2015; Sayab *et al.* 2016). Interestingly, such compression has also been reported from other collisional orogens, e.g., the Indo-Myanmar range (Parameswaran and Rajendran 2016), the Alps (Peresson and Decker 1997), the Andes (Boutelier and Oncken 2010; Johnston *et al.* 2013), the Apennine (Carosi *et al.* 2002; Viti *et al.* 2004; Bonini *et al.* 2011), the Cascadia (Wang and He 1999), the Hellenic Arc (Konstantinou *et al.* 2006) and the Variscan (Johnston *et al.* 2013; Weil Viti *et al.* 2013).

Few models explain arc-parallel compression in terms of simultaneous compression and micro-plate rotation (Apennine; Viti *et al.* 2004), cessation of subduction retreat (Alps; Peresson and Decker 1997), lower flexural rigidity of downgoing lithosphere and/or high interplate friction (Andes; Boutelier and Oncken 2010), curved subduction zone (Hellenides; Durand *et al.* 2014 and references therein) and an orthogonal switch in the stress field (Variscan; Johnston *et al.* 2013; Del Greco *et al.* 2016). The Himalayan arc-parallel compression can be an outcome of either (i) strain concentration at the NW and the NE bends of the Himalayan thrusts (close to the syntaxes) (Treloar *et al.* 1991; Seeber and Pêcher 1998) or (ii) an increase in plate obliquity coupled with an increase in the arc-parallel shear away from the central Himalaya and towards the syntaxes, due to the India–Asia convergence (Khan *et al.* 2014). Moreover, Upton *et al.* (2008) suggested that oblique ramps can also cause a rotation of the principal stress axes. Hence, the possibility of an oblique ramp-induced arc-parallel compression in the Himalayan fold and thrust belt cannot be overlooked.

Brittle structural palaeostress analyses, which could be important to understand arc-parallel compression/extension in orogens, from outcrops can explain the shallow-crustal tectonics of terrains (Hancock 1985; Hancock *et al.* 1987; Eidelman and Reches 1992; Hippolyte 2001 and references therein). Clay, sand and conglomerate layers can sometimes act as markers for the syntectonic deformation (Hippolyte 2001). However, a lack of prominent slip indicators and marker horizons within sandy material/clay in sedimentary terrains can render brittle deformation indeterminate. Nevertheless, conglomerates can possess prominent signatures of brittle deformation in the form of striations, joints and slip especially within their

clasts (Hippolyte 2001), which should be paid due attention.

Some discrepancies in the definition of faults and joints exist in geological literature (Mandl 2005). A joint can be extensional or shear with a negligible or notable slip on a micro-scale, which is invisible to the naked eye (Hancock 1985). This work designates ‘joints’ for those discontinuities that do not show any detectable displacement on the hand-specimen scale, whereas for any wall-parallel displacement, the term ‘fault’ is used.

This study describes and interprets the small-scale brittle structures in the clasts of the Upper Siwalik, observed mostly in (sub-) vertical sections. The faults and joints are usually limited to the clasts/pebbles and the surrounding sandy matrices. Sheared/jointed clasts have been previously studied from the Upper Siwalik (Rautela and Sati 1996; Srivastava and John 1999). However, none of the previous authors had analysed palaeostress from those clasts comprehensively. The aim of this paper is to investigate the stress conditions responsible for the genesis of the joints and faults in the Himalayan context. We first document these joints and faults by fieldwork. This is followed by palaeostress analyses using the T-TECTO Studio X5 software (Žalohar and Vrabec 2010). Finally, the palaeostress results have been explained in the regional tectonic context.

2. Geology

The ~NW trending Himalaya in the Indian sector is one of the youngest orogens in the world. The onset of collision between the Indian and the Eurasian plates has been found to be within ~60–55 Ma (Copley *et al.* 2010; van Hinsbergen *et al.* 2011; White and Lister 2012; Chatterjee *et al.* 2013; Jagoutz *et al.* 2015; Hu *et al.* 2016 and references therein; Najman *et al.* 2017). However, some of the previous researchers, namely Garzanti *et al.* (1987), Rowley (1996), Guillot *et al.* (2003) and DeCelles *et al.* (2004), proposed the range ~55–50 Ma for the same. Collisional crustal thickening happened mainly by in-sequence SW-vergent thrusting. Towards the south, these are the Main Central Thrust (MCT) (25–0.7 Ma), the Main Boundary Thrust (MBT; 11–9 Ma) and the Main Frontal Thrust (MFT) (<2.5 Ma; review in Mukherjee 2015). Stratigraphically, the Himalaya consists of (from north towards

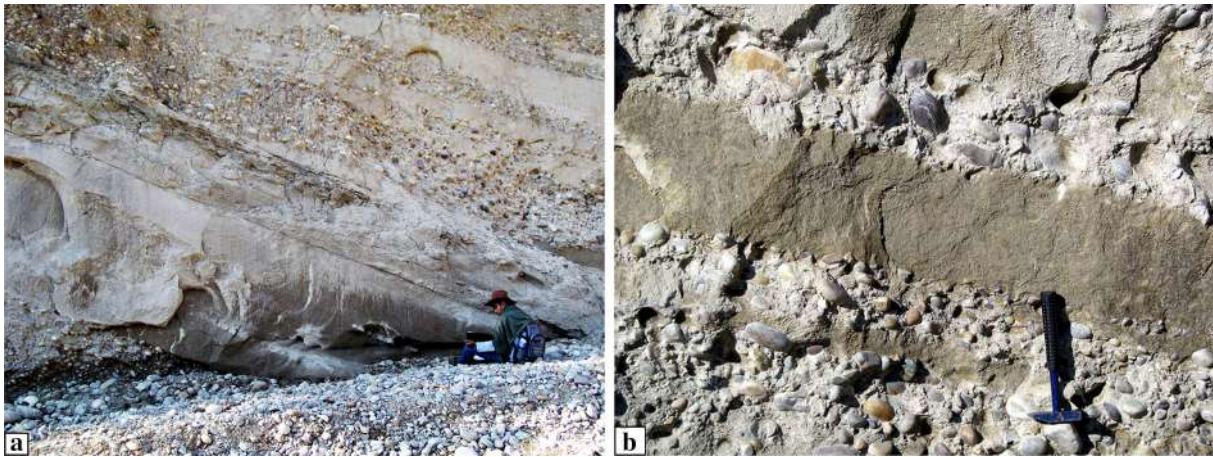


Figure 1. (a and b) Conglomerate–sandstone intercalations, Upper Siwalik Subgroup: The thickness of the sandstone or conglomerate horizons is inconsistent along the exposed sections. The litho-contacts are rarely curved or undulating. The sandstone layers contain thin isolated bands of conglomerate at places. Length of waist to head of D Dutta in (a) ~ 80 cm, length of the hammer in (b) ~ 36 cm.

south): (i) the Tethyan Himalayan Sequence (THS; ~ 1840 – 40 Ma), (ii) the Greater Himalayan Crystalline (GHC; ~ 1800 – 480 Ma), (iii) the Lesser Himalayan Sequence (LHS; ~ 1870 – 520 Ma) (Mandal *et al.* 2015 and references therein) and (iv) the Siwalik range: ~ 23 – 2 Ma (Yin 2006 and references therein).

The Siwalik foreland basin developed as the subducting Indian plate flexed under the large tremendous crustal load of the uprising Himalaya (Lavé and Avouac 2000; Kumar *et al.* 2003; Valdiya 2016). Overall, the Siwalik Group (>7000 m thick) represents a coarsening upward non-marine succession. The mudstone–sand dominated Lower Siwalik Subgroup (Middle Miocene) is overlain by the sandstone-rich Middle Siwalik Subgroup (Late Miocene), followed by the conglomerate–sandstone dominated Upper Siwalik Subgroup (Pliocene–early Pleistocene) at the top (figure 1) (Dubey 2014; Goswami and Deopa 2018 and references therein). This study was conducted in the Boulder Conglomerate Formation of the Upper Siwalik Subgroup (figure 2), north of the ~ 80 -km-long Mohand range, Uttarakhand, India. In and around Mohand, this formation mostly dips gently (30°) towards the NE. The dip amount increases (55 – 65°) close to the MBT (Thakur *et al.* 2007).

From the MFT towards the north and up to the MBT, three significant thrust planes exist, viz., the Asan Thrust (AT), the Bhauwala Thrust (BT) and the Santaugarh Thrust (ST). Jayangondaperumal *et al.* (2018) have reported the BT and the ST as active faults. The Siwalik rocks, which lie between the MFT and the MBT, are folded

into the Mohand anticline, the Dun syncline and the Santaugarh anticline (Wesnousky *et al.* 1999; Thakur and Pandey 2004). The Mohand anticline is a fault bend fold, developed on the MFT hanging wall (Thakur *et al.* 2007) showing a normal drag (Mukherjee 2012, 2014). The back-limb of the Mohand anticline dips gently towards NE and the steeper forelimb towards the SW. The MFT gradually becomes sub-horizontal towards the north at sub-surface (Suppe 1983). A similar interpretation comes from the Nepal Himalaya as well (Lavé and Avouac 2000).

In contrast, based on microstructural studies and dislocation modelling, Srivastava *et al.* (2016, 2018) recognise the Mohand anticline as a fault-propagation fold. The authors further state that the deformation intensity diminishes away along the axial trace of the anticline, i.e., towards the northwest and southeast. Eight strike-slip faults are also present: E1 (NNE–SSW trending) and E2 (N–S trending) developed due to the NE–SW compression, followed by the Ganga Tear Fault (GTF; NE–SW trending) and the Yamuna Tear Fault (YTF; NNE–SSW trending) that formed later due to an E–W compression, along with the NE–SW trending S1, S2 and S3 (Jayangondaperumal *et al.* 2010 and references therein). The rivers Ganga and Yamuna follow the trends of the GTF and YTF, respectively (figure 2). In 1956–1957, an exploratory well drilled over the Mohand anticline up to ~ 5260 m depth by the Oil and Natural Gas Corporation Limited encountered the ~ 700 m thick pre-tertiary Vindhyan rocks at ~ 4600 m depth (Karunakaran and Rao 1976; Powers *et al.* 1998).

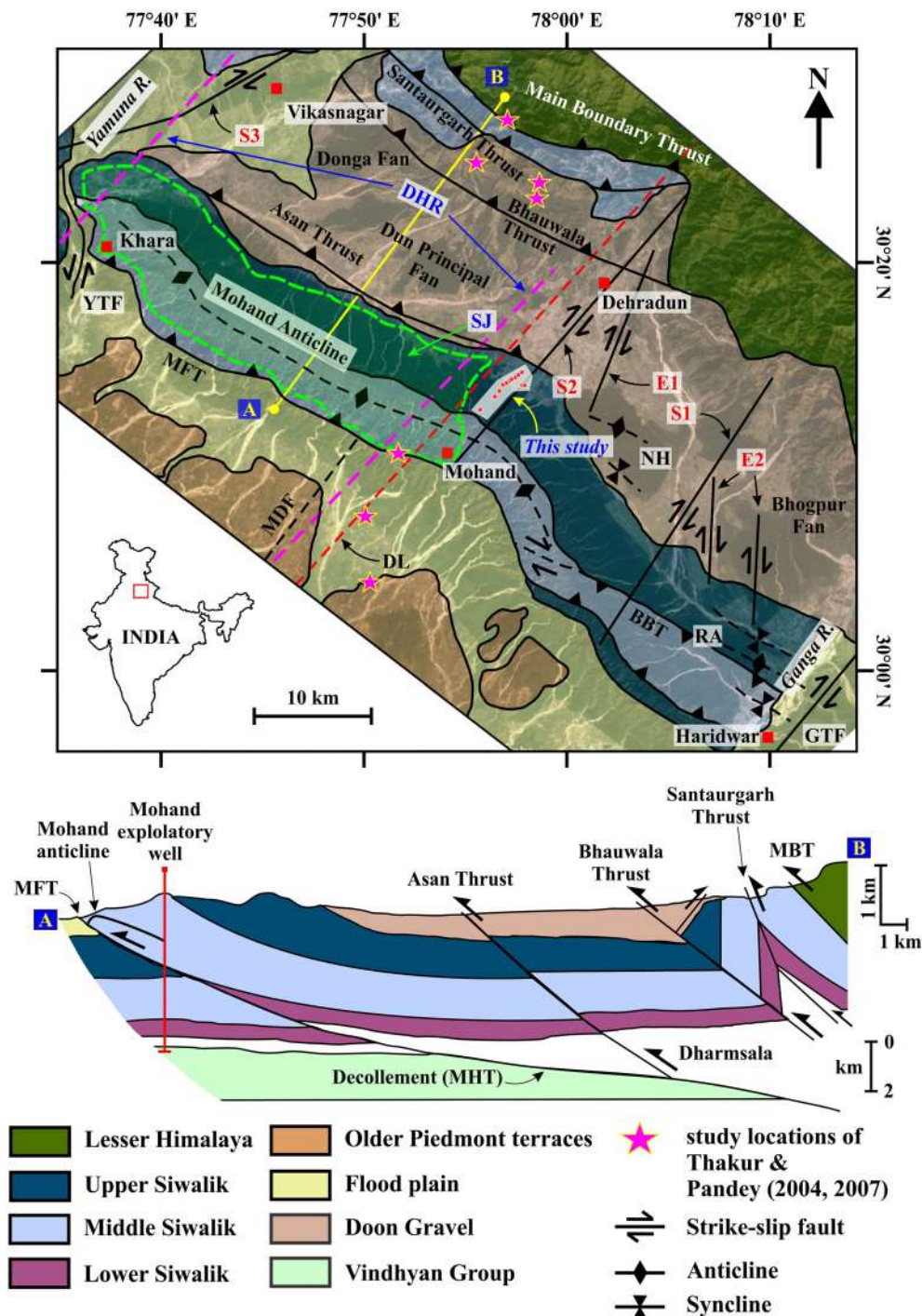


Figure 2. Geological map and cross-section of the study area after [Thakur \(2013\)](#). Map superposed over the Google Earth image. Study locations of [Srivastava and John \(1999\)](#), [Thakur and Pandey \(2004\)](#) and [Thakur et al. \(2007\)](#) are shown. E1, E2: early formed strike-slip faults. S1–S3: late strike-slip faults ([Jayangondaperumal et al. 2010](#)). SJ: study area of [Srivastava and John \(1999\)](#), MHT: Main Himalayan Thrust, MFT: Main Frontal Thrust, MBT: Main Boundary Thrust, BBT: Bhimgoda Back Thrust, YTF: Yamuna Tear Fault, GTF: Ganga Tear Fault, DHR: Delhi–Haridwar Ridge (blue arrows indicate the lateral extremities of the DHR); DL: Dehradun Lineament ([Godin et al. 2018](#)); MDF: Mahendragarh–Dehradun Fault ([Sandhu et al. 2017](#)); RA: Raiwala Anticline, NH: Nagsidh Hill ([Jayangondaperumal et al. 2010](#)).

Eighteen exploration wells were drilled by the ONGC between 1957 and 1990, only one of which (Jwalamukhi-I 1957) showed the presence of a shallow gas reservoir (in the Siwalik), the Lower

Siwalik sandstones being the potential reservoirs. In comparison, the number of exploration wells (56) and producing wells (47) in the Siwalik Group of Pakistan are much higher ([Craig et al. 2018](#)).

Recent seismic studies show that the Vindhyan sediments thin gradually over a distance of ~ 200 km NW from the Mohand exploratory well towards the Kangra recess (Prasad *et al.* 2011). Moreover, a ~ 2 – 5 km thick sedimentary succession lies underneath the Siwalik Himalaya that increases towards the Indo-Gangetic plain. In the case of an earthquake, such a thick sedimentary pile can cause amplification

of the generated S-waves, rendering the region susceptible to seismicity (Borah *et al.* 2015).

3. Field observations

Fieldwork was carried out on a stretch of ~ 4 km along the NE–SW trending Mohand-Rao River and its tributaries (figure 2). Deformed clasts, made up mainly of quartzites, sandstones, carbonates and cherts belonging to the Boulder Conglomerate Formation (Nanda 2002; Thakur *et al.* 2007) give conspicuous evidences of brittle slip. Joints are distinct within the clasts and often are obscure within the softer matrix. Sometimes joints continue from one clast into another (figure 3). No specific correlation exists between the dip amounts of the joints/faults and their location with respect to the hinge of the Mohand anticline. The intensely deformed zone thins out as it approaches towards the Middle Siwalik Subgroup to the south and towards the Dun Gravel to the north.

3.1 Joints

Joints are dominantly planar and sometimes curvi-planar. We report four joint sets (figure 4a and b): (i) \sim NW–SE (J_1), (ii) \sim E–W (J_2), (iii) NE–SW (J_{1V}) and (iv) ESE–WNW (J_{2V}). Joint sets J_1 and J_2 (supplementary table S1) are the inclined ones with $\leq 60^\circ$ dip, whereas J_{1V} and J_{2V} (supplementary table S2) are near-vertical with $> 80^\circ$ dip. No cross-cut relations between the joints were seen in the field. As far as the origin/nature of the joints is concerned, extension seems to be the cause given the I-shaped geometry of most of the joints (Hancock 1985). However, they could also be regarded as shear or hybrid joints, based on their parallelism with the nearby fault planes (section 3.2; both inclined and near-vertical ones) (Hancock 1985). Moreover, Mandl (2005) indicates that shear joints usually develop in conjugate sets, which has not been observed in this study. Also,

tensional joints usually break around pebbles of conglomerates, whereas only shear joints can cut across pebbles and matrices. This happens in an unconsolidated matrix (Billings 2008). Hence, the geneses of these joints could not be deciphered accurately in this study.

3.2 Faults

Tectonic faults defined by slipped pebbles and semi-consolidated sandstones exhibit various shear senses. Eight fault slip senses (figure 4c) exist: top-to-SW/SSW down (F_1) (figure 5a), top-to-SW/SSW up (F_2) (figure 5b), top-to-NE/NNE up (F_3) (figure 6a), top-to-NE/ENE down (F_4) (figure 6b), top-to-NW up (F_5) (figure 7a), top-to-NW/NNW down (F_6) (figure 7b), top-to-SE/SSE up (F_7) (figure 8a) and top-to-SE/SSE down (F_8) (figure 8b) (see p. 157 of Passchier and Trouw (2015) for brittle shear nomenclatures). Cross-cut relations between the faults were not encountered in the field. Several NE and ESE trending near-vertical faults also exist in the field (supplementary tables S3 and S4). The following criteria are used to identify faults and shear senses.

1. *Slip within clast*: Millimetre-scale displacement within the clasts are seen (figure 7a; Hippolyte 2001). Several clasts that are cut by near-vertical brittle planes sometimes show relative movement in response to their broken counterparts. The faults are classified based on their trends into the following two types: (A) NE–SW faults (F_{1V}): these faults show only block movement with different slip senses (figure 9) and (B) WNW–ESE faults (F_{2V}): these faults demonstrate a major sinistral component apart from block movement (figure 10).
2. *Y- and P-brittle shear planes*: These planes develop within clasts (figure 5a). The usually curved P-planes merge with rather straight sub-parallel Y-planes. Even in the absence of any slipped marker layers, such Y- and P-planes have been used convincingly to identify brittle slips (Passchier and Trouw 2015; Mukherjee 2007, 2010a, b, 2013a, b, c; Mukherjee and Koyi 2010a, b).
3. *Displacement of marker planes*: Softer clayey materials inside the harder sandy matrix sometimes act as marker layers, which possess a colour contrast to the matrix (figure 5b).

The majority of the inclined fault planes are \sim NW–SE trending (66%). The rest show a

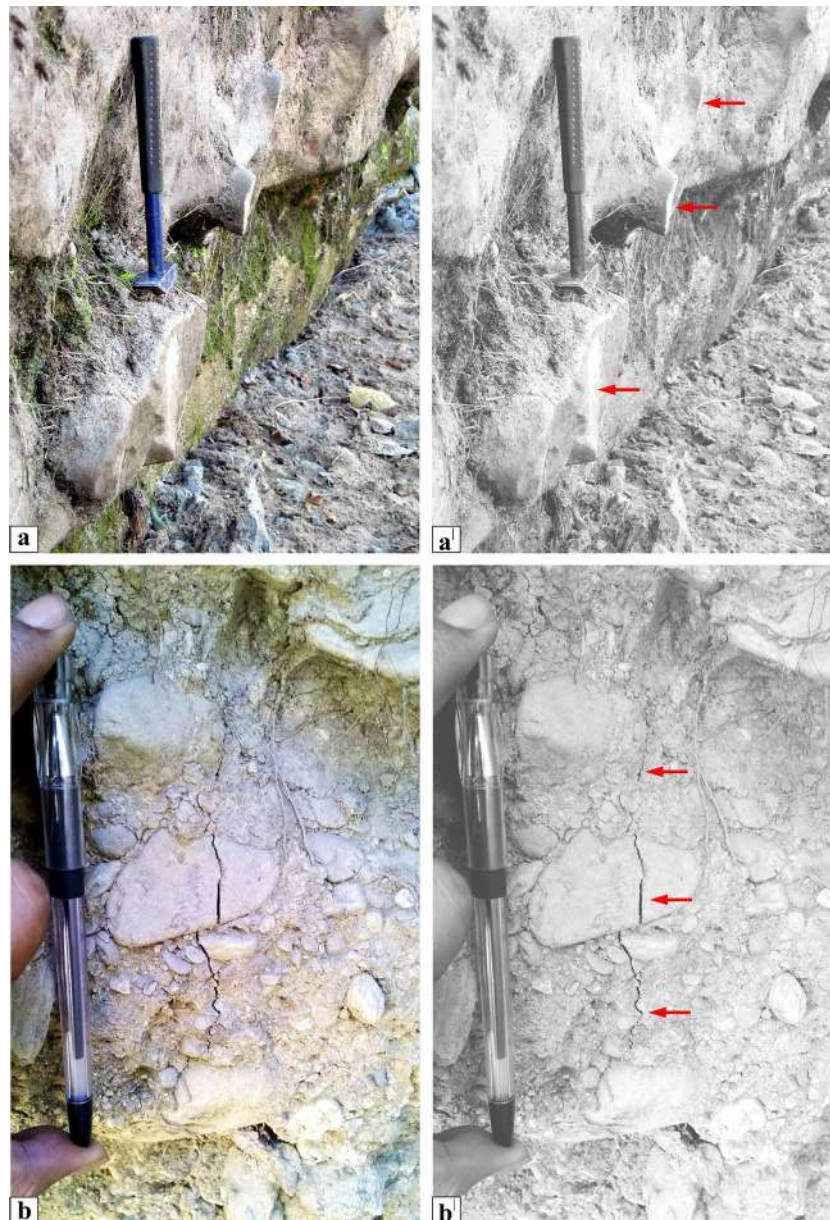


Figure 3. (a) Multiple clasts affected by near-vertical joints (J_{1V}). Red arrows: joint surfaces. (b) Joint passing through the clast and the matrix. Length of the hammer in (a): ~ 36 cm and the pen in (b): ~ 14 cm.

dominantly \sim NE–SW trend. A non-tectonic origin of the slip planes would have shown a haphazard distribution instead. Moreover, the reader could be tempted to label these slips as gravity faults. But one must recollect that gravity/slump faults are usually listric (Conybeare 1979; Farrell 1984; Petersen *et al.* 1992; Baudon and Cartwright 2008 and references therein). In this study, however, listric faults are seldom observed. Also, such listric faults are mostly sub-vertical (figure 2 of Mazzini *et al.* 2003; Zhang *et al.* 2008; figure 5 of MacDonald *et al.* 2012). However, we observed gently dipping slip planes as well.

4. Palaeostress analyses

4.1 Theory and background

Palaeostress analyses determine the stress tensors that can justify multiple slip directions documented from field studies from a terrain (Tranos 2018 and references therein). This method, referred to as the stress inversion method, is now a well-established approach and has been widely used to date (e.g., Angelier 1984, 1989, 1990; Marrett and Allmendinger 1990; Delvaux and Sperner 2003; Žalohar and Vrabec 2008; Transos 2012; Misra

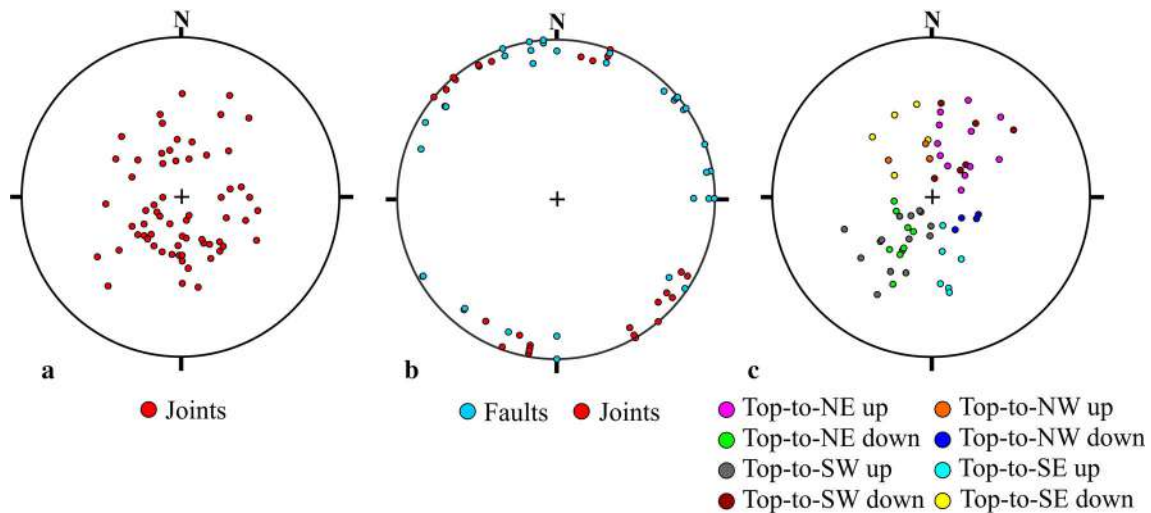


Figure 4. Stereo-plots of the poles of (a) inclined joints (J_1 and J_2), (b) near-vertical faults (F_{1V} and F_{2V}) and joints (J_{1V} and J_{2V}) and (c) inclined faults (F_1 – F_8).

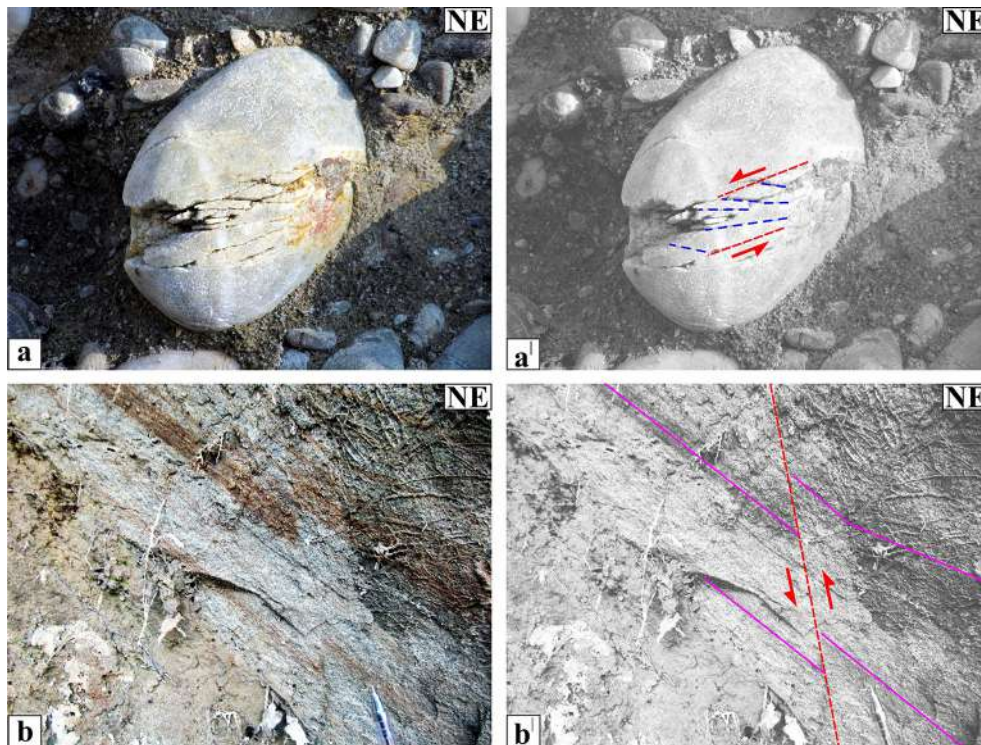


Figure 5. Brittle shear indicators: (a) top-to-SW down slipped sandstone clast. Red and blue dotted lines: Y- and the P-planes, respectively (width of view: 20 cm) and (b) top-to-SW up shear within sandstone revealed by slipped marker (pink lines). Red dotted line: steeply dipping fault plane. Pen length in (b) \sim 6 cm.

et al. 2014; Shan and Liang 2015) though some difference in its various approaches exist (Simón in press). Palaeostress analyses follow a few assumptions (Wallace 1951; Bott 1959; Lisle 1988; Angelier 1989; Nemcok and Lisle 1995; Twiss and Unruh 1998; Nemcok *et al.* 1999; Yamaji *et al.* 2006; Žalohar and Vrabc 2007; Kaven *et al.* 2011;

Tranos 2015; Žalohar 2018): (i) slip direction along the fault plane parallels the direction of the resolved shear stress of the stress tensor, (ii) slips on separate fault planes are mutually independent, (iii) stresses that induced slip are homogeneous regionally, (iv) rotational faults and curved brittle planes (e.g., Mukherjee and Khonsari 2017)

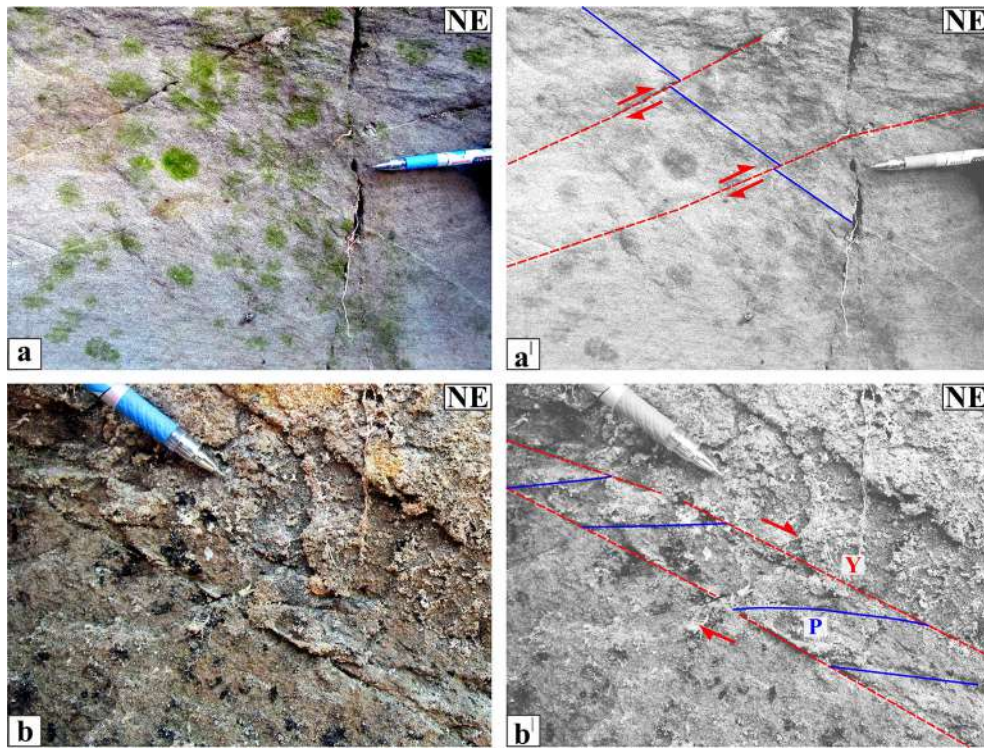


Figure 6. Brittle shear planes: (a) displaced veinlets (blue lines) within sandstone: top-to-NE up slip. Red dotted lines: fault planes and (b) top-to-NE down slip: Y- and P-planes marked with red and blue lines, respectively. Pen length: ~10 cm.

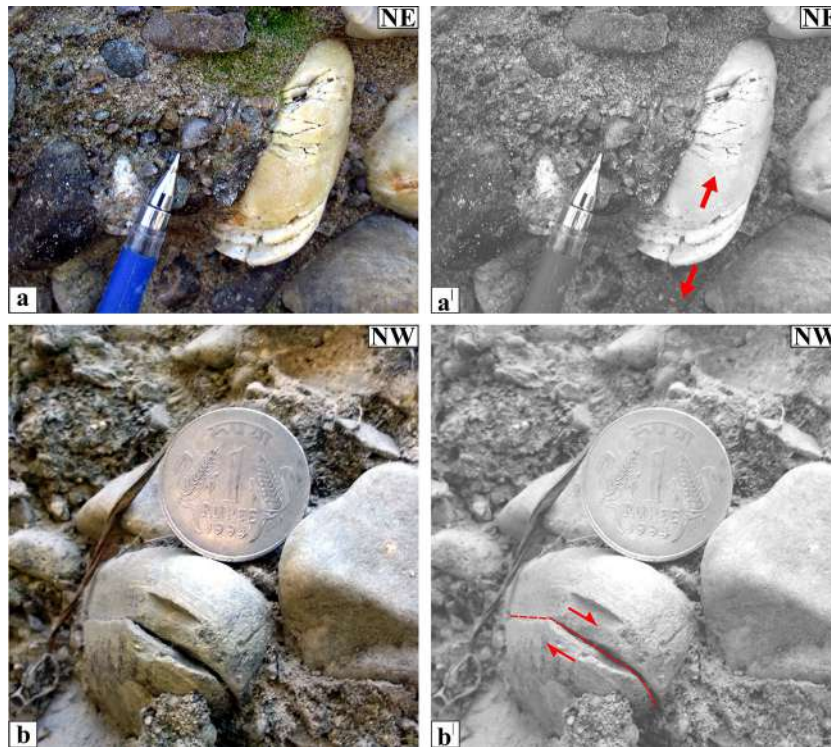


Figure 7. Top-to-NW up and top-to-NW down shear: (a) multiple fault planes inside a single clast. Pen length in (a): ~6 cm. Diameter of the coin in (b): 2 cm.

are exempted and (v) the result of palaeostress inversion should comply with Amonton’s law of friction.

The detailed method of stress inversion is beyond the scope of this paper and can be found elsewhere (e.g., [Armijo et al. 1982](#); [Angelier 1984](#);

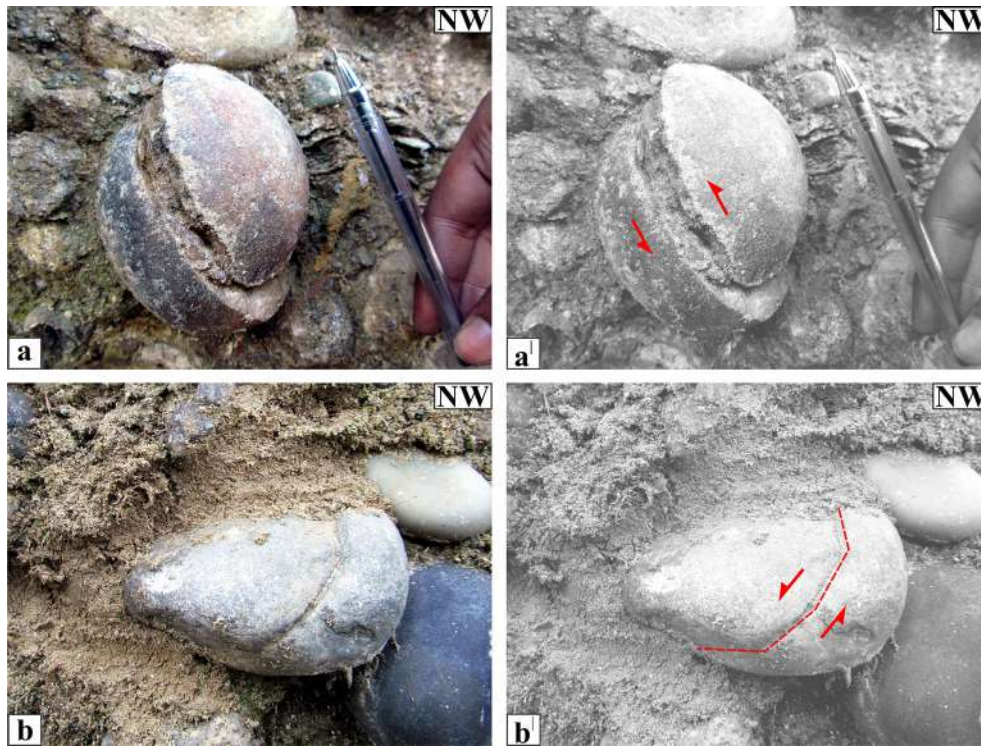


Figure 8. (a) Top-to-SE up shear in sandstone clast (Biswas and Dutta 2016) and (b) top-to-SE down shear (width of view: 15 cm). Pen length in (a): ~14 cm.

Yamaji 2000). In brief, the direction of net slips on a fault plane, revealed physically by the slickenlines, depends on the orientation of maximum shear stress. It is possible to deduce the relative magnitudes and the directions of the principal stresses, if the attitudes of faults are known along with the corresponding attitudes of net slip.

The T-TECTO software version X5 (author: J. Žalohar), established using the Gaussian method (Žalohar and Vrabec 2007), estimates the orientations of the principal stress axes and the stress ratio. This program provides both the geometric approach in the Right Dihedra Method (RDM; Angelier and Mechler 1977) and the statistical approach in the Visualisation of the Gauss Function Method (the VGF method: Žalohar and Vrabec 2007). The RDM gives the approximate orientation of the principal stresses. On the other hand, the VGF calculates the most probable orientation of the maximum (σ_1) and the minimum (σ_3) principal stresses for a set of faults active under a common stress condition. The optimum orientations of σ_1 and σ_3 for each fault are governed by the Coulomb–Mohr failure criterion (detail at Žalohar and Vrabec 2007). The VGF can utilise all kinds of joints and faults, whereas the RDM works only with faults.

4.2 Method

We analyse palaeostress using T-TECTO Studio X5 based on the joint data collected from the field. Total 100 joint planes: 66 inclined and 34 near-vertical (supplementary tables S1 and S2), are used. Since slickenlines were rarely observed in the field, fault-slip data are avoided and hence the VGF method has been utilised. Estimation of the stress tensor by this method requires the following inputs from the user: (i) ‘Type’: T or E (extensional fractures, mode I), F (shear fractures, mode II and III), or J (joints; different from the ‘J-joint’: van der Pluijm and Marshak 2004), (ii) ‘azimuth’ or the dip direction and (iii) ‘dip’ amount of the joint plane. The ‘type’ is chosen to be ‘J’ for all the joints in the analyses. In T-TECTO Studio X5, for ‘type’ T or E, σ_1 lies on the fracture plane and σ_3 is perpendicular to the fracture. For shear fractures (F) and joints (J), σ_1 is oblique to the fracture and σ_3 lies perpendicular to σ_1 . Initially, the dominant joint sets (J_1 : ~NW – SE, J_2 : ~E – W, J_{1V} : ~NE – SW, J_{2V} : ~WNW – ESE) are analysed individually for palaeostress directions (figure 11 for J_1 and J_2 ; figure 12 for J_{1V} and J_{2V}). Finally, as a separate run, all the 100 joints are analysed (figure 13).

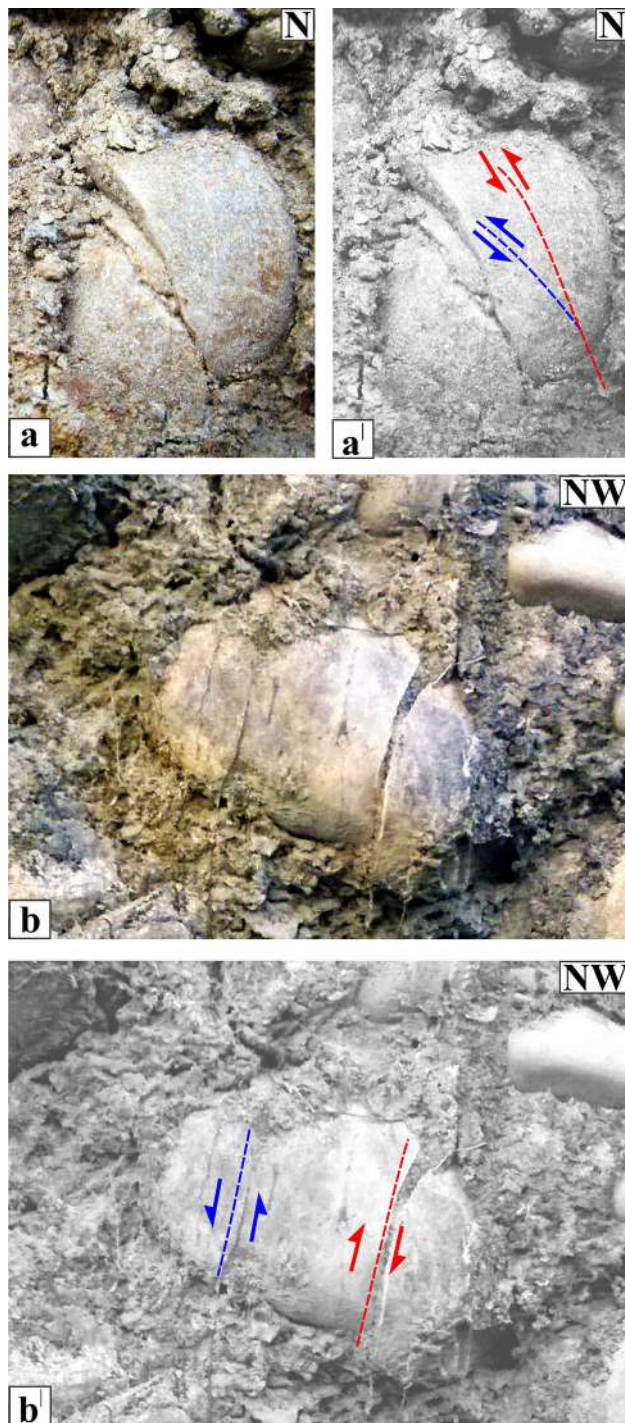


Figure 9. (a and b) Near-vertical faults. Two separate fault planes within a single clast. Width of view for (a) is ~ 3 cm. Long axis of the pebble in (b) is ~ 14 cm.

4.3 Results

Figures 11–13 present the results of the palaeostress analyses. All of them indicate a strike-slip tectonic regime. The present numerical algorithm of T-TECTO Studio X5 does not determine the stress ratio using only planar data (without fault-slip

data) (Jure Žalohar, personal communication) and hence has not been discussed. The σ_1 -axis is deciphered to be along \sim NW–SE, when both the J_1 and J_2 inclined joints are considered (figure 11a). The outcome resembles when J_2 is considered alone (figure 11b). However, when only J_1 is considered, the maximum compressive direction is \sim ENE–WSW (figure 11c). J_{1V} and J_{2V} analysed together reveal \sim ENE–WSW compression (figure 12a). The compression directions are \sim ENE–WSW and \sim NW–SE, individually for J_{1V} (figure 12b) and J_{2V} (figure 12c), respectively (table 1). Around ENE–WSW, compression is also the result of the program being run with all the inclined and the near-vertical joints ($n = 100$) (figure 13). When all the inclined joint sets are considered individually, none of the stress axes are deduced to be mutually perpendicular, for J_1 : $\angle\sigma_1, \sigma_2 = \angle\sigma_2, \sigma_3 = 89^\circ$ and $\angle\sigma_1, \sigma_3 = 54^\circ$; and for J_2 : $\angle\sigma_1, \sigma_2 = 89^\circ$, $\angle\sigma_2, \sigma_3 = 88^\circ$ and $\angle\sigma_1, \sigma_3 = 128^\circ$ (figure 11b and c; table 1). This, however, does not necessarily indicate a non-Andersonian stress regime. For planar data (only joints or fractures), the VGF method does not use a tensor to calculate the stress/strain axes. Hence, it fails to calculate mathematical solutions that are geologically correct, i.e., mutually perpendicular stress axes (Jure Žalohar, personal communication). But this is not the case when the following joints are taken together: J_1 and J_2 (figure 11a and table 1) and J_{1V} and J_{2V} (figure 12a–c).

5. Discussions

Along the Dehradun–Roorkee transect, the Upper Siwalik rocks are jointed (e.g., Rautela and Sati 1996; Srivastava and John 1999; Thakur and Pandey 2004, etc.). Srivastava and John (1999) classified joints in the NW trending ~ 40 km long Mohand–Khara transect (area SJ in figure 2) into four sets based on their angular relationship with the NW trending axis of the Mohand anticline. Those are (i) N300° strike-set joints, (ii) N240° cross-fold joints, (iii) N180° oblique I joints and (iv) N90° oblique II joints. J_1 and J_2 described in this study, are similar to the above-mentioned (i) and (iv) joint classifications, respectively. However, joints J_{1V} and J_{2V} are new reports in this area.

Srivastava and John (1999) also report seven types of faults from within the mudstone–sandstone layers of the Middle Siwalik Subgroup. Based on the angle between the fault's strike with

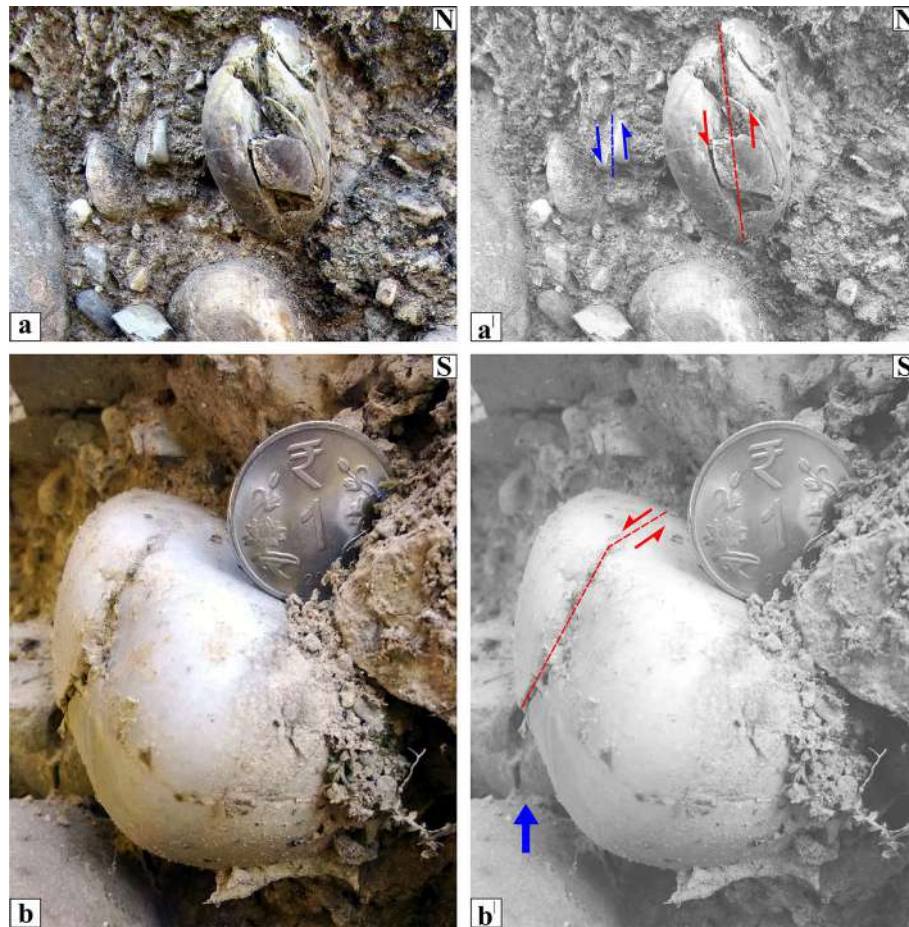


Figure 10. (a) Near-vertical faulted clast. (b) Sinistral component (red half-arrows) deciphered in an apparent vertical movement. The fault also continues through another clast (blue arrow). Diameter of the coin in (b): 2 cm.

that of the axial plane of the Mohand anticline, four of them were classified as: (a) strike (\sim NW–SE trending); (b) cross-fold (\sim NE–SW trending); (c) oblique-I (\sim ENE–WSW trending) and (d) oblique-II (\sim WSW–ESE trending) faults. According to the authors, these faults exhibit both normal and reverse shear senses. The authors attributed the reverse movement to a late horizontal compression. The rest were termed as (e) low dipping; (f) steeply dipping and (g) conjugate. Steeply dipping faults of both normal (top-to-SW down, top-to-NE down) and reverse (top-to-SW up) types are reported (Srivastava and John 1999), whereas the other two (e and g) exhibit only normal shear sense (top-to-SSE down, top-to-NNE down, top-to-SSW down). Several reasons were proposed by the authors to explain the origin of these faults (e–g) such as soft-sediment deformation, palaeoseismicity-induced liquefaction, etc. The eight fault slip senses (F_1 – F_8) reported in the present study are possibly consequences of both

regional and local deformation. F_2 (top-to-SW up) and F_3 (top-to-NE up) may have originated during the Himalayan compression, but the accurate reasons for the geneses of the rest remain unclear.

The trends of a specific set of near-vertical faults and those of the near-vertical joints are similar (figure 4b). Faulting might occur later on earlier formed joints (Gibson 1969; Roberts 1975; Winslow 1983; Mandl 2005; Faulkner *et al.* 2008; Bhola *et al.* 2011; Pastor-Galán *et al.* 2011; Yang *et al.* 2017). The presence of near-parallel joints and faults can signify an age gap between them (Hancock 1985), and in such cases, joints tend to develop before faulting (Segall and Pollard 1983). Near-vertical faults from this portion of the Siwalik Himalaya have been reported and linked with isostatic imbalance/adjustment (Raiverman 2012; also see Jones 1980; Sissons and Cornish 1982). Based on gravity and seismic studies, Qureshy *et al.* (1974) too favoured vertical tectonics from this area. Moreover, vertical faults can also be related to strike-slip

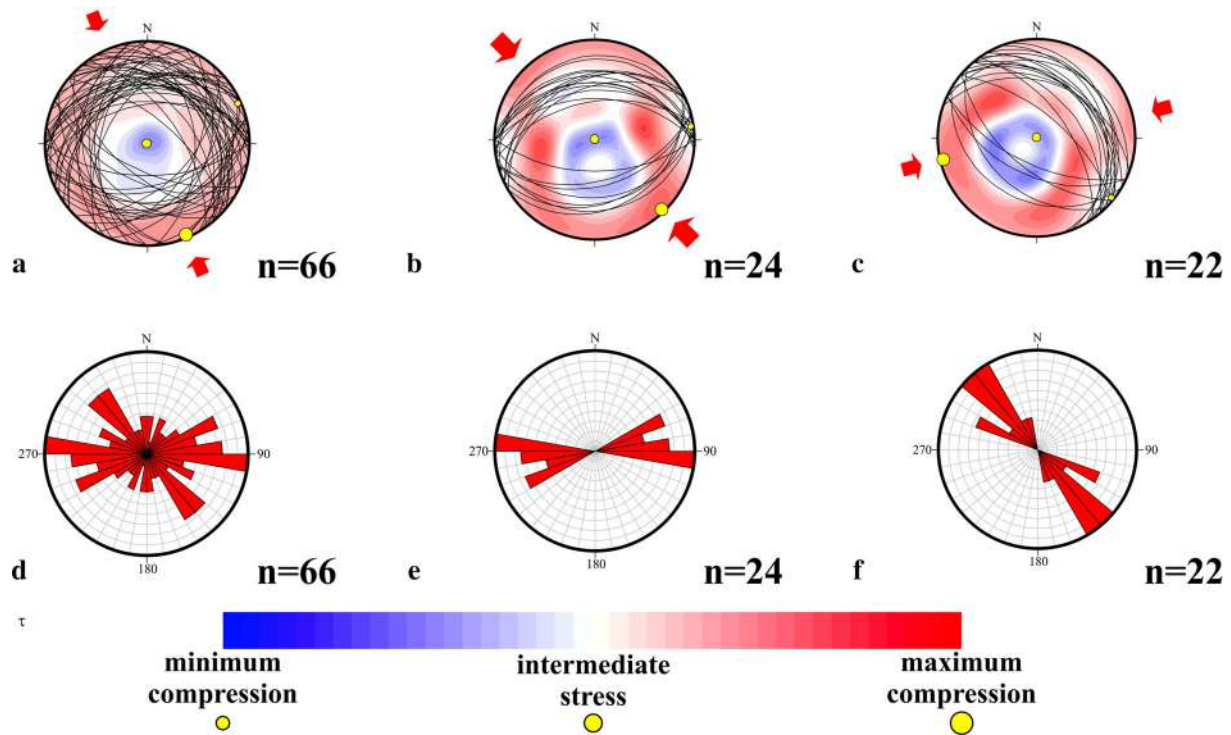


Figure 11. Palaeostress results of inclined joints: (a) all joints, (b) J_1 set of joints, (c) J_2 set of joints, (d–f) are rose plots of the trend of joints in (a–c), respectively. n : number of joints analysed. Red arrows: direction of maximum compression.

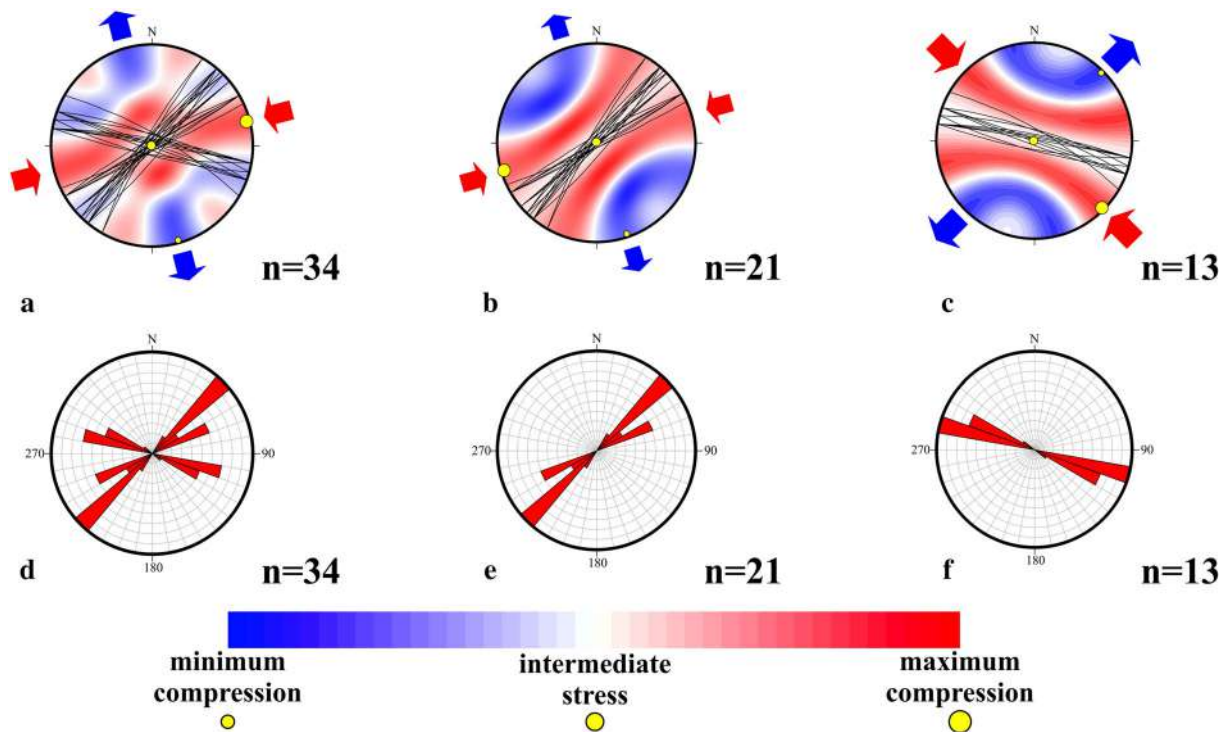


Figure 12. Palaeostress results of near-vertical joints: (a) both J_{1V} and J_{2V} , (b) J_{1V} joint set, (c) J_{2V} joint set, (d–f) are rose plots of the trend of joints in (a–c), respectively. n : number of joints analysed. Red arrows: direction of maximum compression.

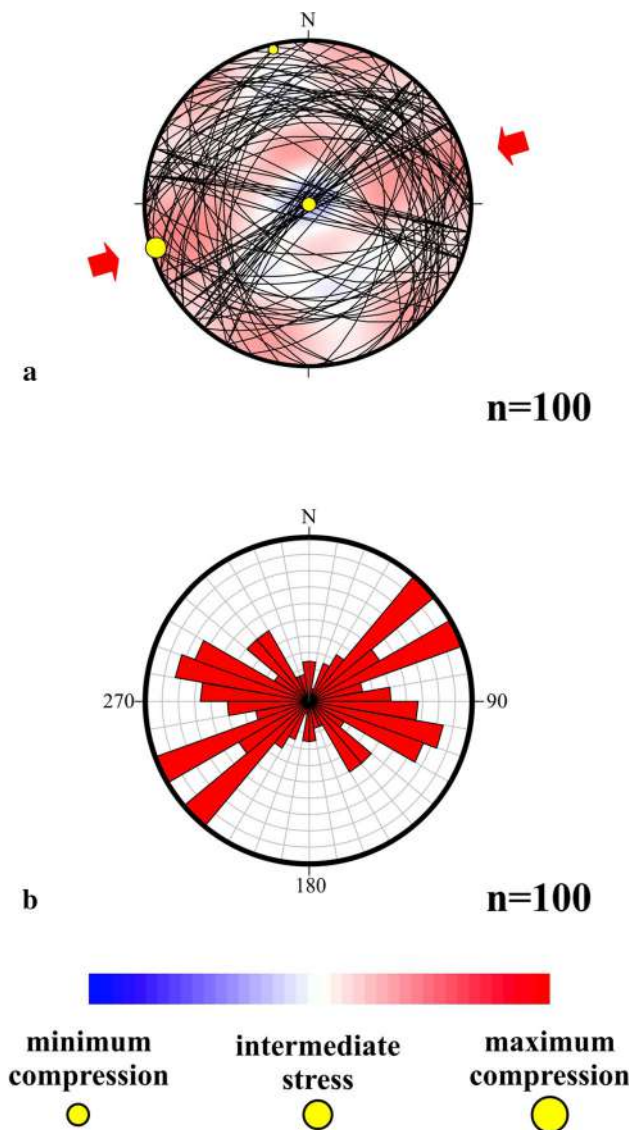


Figure 13. Palaeostress results of both inclined and near-vertical joints. Trend of joints in (a) are plotted in (b). Red arrows: direction of maximum compression.

tectonics, e.g., in the contexts of positive and negative flower structures (figure 19.16 of Fossen 2016). The trends of 6 out of 35 vertical faults reported in the present study nearly match with those of the S1–S3 and the GTF. However, this needs to be studied in detail with more data sets.

Carey (1955) defined an orocline as an initially linear orogen that developed an arcuate morphology during subsequent deformation. Several mechanisms have been proposed so far to explain the origin of curvature of the orogens (Weil and Sussman 2004 and references therein). Arc-parallel compression, at a late-stage of orogeny, has been suggested as one of the factors (Johnston *et al.* 2013 and references therein). Arc-parallel compression in

the Himalaya is so far reported from the syntaxes (Treloar *et al.* 1991; Sana and Nath 2016) and to the S of the Main Mantle Thrust in NW Himalaya (Pakistan) (Sayab *et al.* 2016). The rate of arc-parallel shortening near the Nanga Parbat syntaxis is $\sim 12 \text{ mm yr}^{-1}$ (Seeber and Pêcher 1998). Arcuate orogens often exhibit radial convergence that compensates for the arc-parallel extension of the hanging wall material (Southern Tibet in the case of the Himalayan arc) (Ni and Barazangi 1985; McCaffrey 1992). Burg *et al.* (2005) report a transpressional regime close to the Hazara syntaxis (Pakistan) in the NW Himalaya. Palaeostress reconstructions conducted by these authors using fault-slip data reveal both NW–SE and E–W compression, the former being dominant.

When J_1 and J_2 joints are analysed together, this study indicates arc-parallel compression (figure 11a). Hence, these joint sets developed presumably during later stages of orogenesis, i.e., after the initial arc-perpendicular compression. The near-vertical joint sets (J_{1V} and J_{2V}) indicate 76°N trending σ_1 -axis (figure 12a). However, J_{1V} and J_{2V} , when considered individually, reveal $\sim\text{ENE–WSW}$ (253°N) (figure 12b) and $\sim\text{NW–SE}$ (135°N) (figure 12c) compression, respectively. Furthermore, as already stated in section 4.3, palaeostress studies on all joints (inclined and near-vertical) reveal $\sim\text{ENE–WSW}$ compression (figure 13). Two possibilities arise: (a) all joints developed during an early stage of orogeny (arc-perpendicular compression, figure 13) and (b) both J_{1V} and J_{2V} developed by arc-perpendicular compression (figure 12a) and prior to J_1 and J_2 . The latter two joint sets developed possibly by arc-parallel compression (figure 11a). Tensional joint sets, developed during oroclinal bending due to arc-parallel shortening, have been documented from the sedimentary formations of the Cantabrian–Asturian arc (Spain). From this place, joints both parallel and perpendicular to the shortening direction (σ_1) are reported (Pastor-Galán *et al.* 2011).

Arc-parallel compression tends to be prevalent in the inner arc of an arcuate orogenic belt (Gutiérrez-Alonso *et al.* 2012; Weil Viti *et al.* 2013). In this study, however, the field locations lie close to the outer arc of the Himalaya. Hence, as it appears, arc-parallel compression is not always restricted to the inner arc of an orogen. If not regional, localised switching of the principal stress axes could be the case here. 2D finite element models of Engelder and Peacock (2001) showed that increased shear traction can induce such localised

Table 1. Results of the palaeostress analysis.

Joints		Orientations of principal stress axes (trend/plunge) (deg)		
		σ_1	σ_2	σ_3
Inclined (figure 11)	All	157/0	289/89	66/1
	J ₁	136/1	327/89	82/0
	J ₂	257/0	10/89	129/1
Near-vertical (figure 12)	All	76/1	277/89	164/0
	J _{1V}	253/0	9/89	162/1
	J _{2V}	135/0	270/90	45/0
Both inclined and near-vertical (figure 13)		254/0	128/89	347/0

stress reorientation in stiffer layers. Consequently, tensile joints (syn-folding) perpendicular to the regional σ_1 direction can develop. The stiffness contrast also plays an important role in stress reorientation, especially across the rheological interfaces, thereby affecting the mechanics of jointing (Tindall and Eckert 2015). Such a sharp rheological contrast is found between the clasts and the matrix of conglomerates. Localised compression (near-perpendicular to the regional compression) may also occur in between close-spaced normal faults at a high angle to the orogenic trend due to regional extension (figure 4 of Seeber and Pêcher 1998). The absence of such normal faults in and around our study area (based on previous literature and our fieldwork), however, does not favour that possibility. On the other hand, analytical studies conducted by Chester and Fletcher (1997) reveal that the local stress directions, in the vicinity of a fault bend, may deviate (by $\sim 90^\circ$) from the regional stress orientation. Hence, the slight curvature of the thrust planes, viz., the MFT and the Asan Thrust (figure 2) (as observed in plan view) near our study area might also be responsible for the \sim NW–SE compression.

Johnston *et al.* (2013) discuss the possibility of strike-slip faults (parallel or perpendicular to the orogenic trend) for orogens undergoing (or have undergone) arc-parallel compression. Del Greco *et al.* (2016) report strike-slip faults \sim perpendicular to the Variscan fold and thrust belt due to post-Variscan arc-parallel compression. In the Himalayan context, similar high-angle strike-slip faults are also present close to our study area, viz., E1 (~ 15 km NE of Mohand), E2 (~ 22 km SE of Mohand), S1 (~ 17 km SE of Mohand), S2 (passing through Mohand and Dehradun), S3 (~ 30 km NW of Mohand), the YTF (N of S3)

and the GTF (~ 25 km SE of Mohand) (figure 2). Hu and Angelier (2004), with the help of 3D distinct element modelling, inferred that low values of stress ratios ($\Phi < 0.5$, where $\Phi = [\sigma_2 - \sigma_3] / [\sigma_1 - \sigma_3]$) can induce changes from reverse (vertical σ_3) to the strike-slip (vertical σ_2) mode of faulting in compressional regimes. The authors further state that such stress permutations are especially common for brittle deformations. Φ could not be revealed from the palaeostress analyses conducted in this study. Nevertheless, local strike-slip faulting (figures 2 and 11–13) in a tectonic setting where thrust nappes and regional scale crustal stacking are more prevalent (Jain *et al.* 2000; Yin 2006; Mukherjee 2015), possibly indicates a $\Phi < 0.5$. Moreover, rheological variations can also cause stress permutations and anisotropic rocks such as conglomerates are more prone to this phenomenon (Hu and Angelier 2004). Field data and palaeostress analyses from Rhenish massif (Germany) show that deformation-induced inhomogeneity or anisotropy in an otherwise isotropic medium can also reorient the stress axes (Oncken 1988). Besides, the local strike-slip faults could also have originated due to the presence of the \sim NE–SW trending Delhi–Haridwar ridge (DHR) south of the MFT (Godin *et al.* 2018 and references therein). The DHR could have acted as a rigid indenter to the foreland propagating thrust sheets, thereby inducing strike-slip deformation in its immediate vicinity. Such a genesis for strike-slip faults, at a high angle to the thrust planes, has been observed in sandbox models (Macedo and Marshak 1999). This indentation of the MFT by the DHR can also explain the bend (convex towards north) of the hinge of Mohand anticline (figure 2; also read Singh and Jain 2009). Compared to the regional stress regime, our results of palaeostress analyses

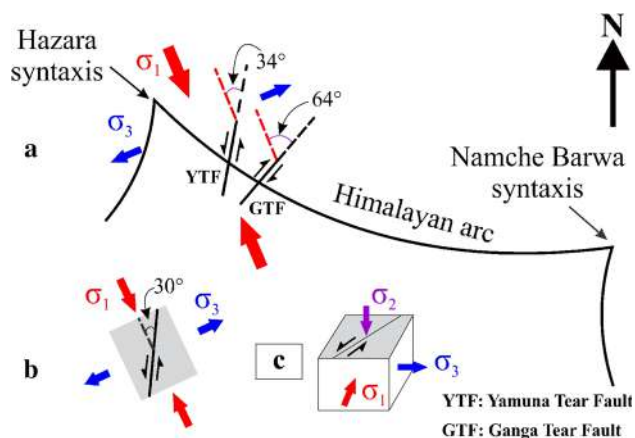


Figure 14. Cartoon depicting the plausible genesis of the YTF due to arc-parallel compression. (a) Location and orientation of the strike slip-faults. Red and black dotted lines parallel the compression direction and faults, respectively. (b) Strike-slip Andersonian stress regime and the map view. (c) 3D block diagram showing orientation of principal stress axes. Half-arrows connote slip sense. Red arrows: maximum principal stress, pink arrow: intermediate principal stress and blue arrow: minimum principal stress.

reveal two major findings, viz., (i) reorientation of σ_1 (\sim NW–SE) and (ii) switching of σ_2 and σ_3 . Similar stress reorientations have also been reported from the Zagros fold-thrust belt (Kermanshah and Shiraz in SW Iran) (Navabpour and Barrier 2012 and references therein). Hence, arc-parallel compression in the NW Himalaya, away from the syntaxial area, seems likely (figure 14). Nevertheless, similar palaeostress investigations along with GPS studies on the southern limb of the Mohand anticline and the sub-Himalayan zone throughout the orogen would certainly aid in confirming the spatial extent of the arc-parallel compression (if any) as well as the potential driving forces.

6. Conclusions

Intense brittle deformations, viz., Y- and P-planes, faults and joints are reported from the Upper Siwalik conglomerates NE to Mohand. Eight slip senses are documented in the field: (i) top-to-SW/SSW down (F_1); (ii) top-to-SW/SSW up (F_2); (iii) top-to-NE/NNE up (F_3); (iv) top-to-NE/ENE down (F_4); (v) top-to-NW up (F_5); (vi) top-to-NW/NNW down (F_6); (vii) top-to-SE/SSE up (F_7) and (viii) top-to-SE/SSE down (F_8). Near-vertical faults (F_{1V} and F_{2V}) and four joint sets (inclined: J_1 and J_2 ; near-vertical: J_{1V} and J_{2V}) have also been identified from the field.

We propose that the above-mentioned shear senses (i–viii) are combined products of a regional compressive event and a local event. F_2 and F_3 possibly developed during arc-perpendicular compression. However, the origin of the remainder (F_1 , F_4 and F_5 – F_8) remains indeterminate. They might be products of local deformation. Furthermore, no temporal relation between the faults could be established due to a lack of cross-cutting relations between them in the field. The near-vertical faults may have developed along pre-existing joints. However, the cause of such reactivation remains unclear and requires further research.

Palaeostress studies of the joint sets reveal two dominant compression directions \sim ENE–WSW and \sim NNW–SSE. Based on the results, we infer two possibilities: (a) J_1 , J_2 , J_{1V} and J_{2V} are coeval and developed by an arc-perpendicular compression or (b) J_{1V} and J_{2V} jointing (due to arc-perpendicular compression or arc-parallel extension) was followed by J_1 and J_2 jointing during late stage arc-parallel compression. Similar to the Andean and Variscan orogens, the presence of strike-slip faults, viz., E_1 , E_2 , S_1 – S_3 , YTF and GTF, at a high angle to the Himalayan orogenic trend, probably indicate arc-parallel compression at a later stage of the Himalayan orogeny. However, the exact reason for such a compression direction deciphered in this study needs further analogue and analytical studies.

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