

## Opposite shear senses: Geneses, global occurrences, numerical simulations and a case study from the Indian western Himalaya



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### ABSTRACT

Opposite shear sense (OSS) is manifested by the presence of conflicting shear sense indicators in a portion of a rock body, a shear/fault zone or across several parallel shear/fault zones. More importantly, the scale of observation may range from micro-to regional. Several terms have been used by previous workers to refer OSS, viz. conflicting shear senses, reverse shear, shear sense reversal/inversion, slip reversal/inversion, kinematic reversal, etc. Although OSS has been reported from several terrains worldwide, a review on the mechanisms and global distribution remained due. Given that shear sense indicators play a crucial role in deciphering the deformation history of a region, the presence of OSS sometimes baffles structural geologists.

In this article, we present a catalogue of 59 reports of OSS in ductile and brittle regimes from 56 locations globally, from collisional, extensional and strike-slip tectonic settings besides a few cratons, and provide the possible reasons/mechanisms proposed by the workers for each one of them. Though we primarily discuss OSS with parallel shear planes, six reports of OSS with non-parallel shear planes are also included. The origin of OSS can be attributed to either single (either ductile/brittle) or multiple (both ductile/both brittle/ductile followed by brittle) deformation phases. Most of the occurrences listed here have arisen due to multiple deformation phases, almost half of which owe their genesis to reorientation of the principal stress axes temporally. Gravitational collapse of over-thickened crust also produces OSS, especially at the orogens. Besides, less than a quarter of all the compiled examples originate during single-stage deformation by coaxial shortening, heterogeneity in strain-rate and viscosity, isoclinal folding, rotation of blocks within shear zones or differences in shortening/stretching rates across the fault/shear zone. The majority of the OSS documented so far and compiled in this study, cluster within or close to few orogens. We speculate two possibilities: (i) complex tectonics, and (ii) richness in the number of research studies undertaken in these terranes. Although orthogonal switch ( $\sim$  NW-SE  $\rightarrow$   $\sim$  NE-SW) of the compressive stress axes ( $\sigma_1$ ) has been discussed for the Zagros fold-thrust belt (ZFTB) in the Paleogene, OSS has not been reported from here so far. The same holds true for the Andes that collapsed gravitationally and switched compression from  $\sim$  E-W into  $\sim$  N-S in the Mid-Miocene. Reactivation of pre-Andean extensional faults as thrusts are described by previous workers, but not referred to produce OSS. Positive inversion-reverse reactivation of pre-existing extensional faults-such as those reported from the Andes and the Apennines, can either be beneficial or disadvantageous to the preservation of the hydrocarbon of the region. Identification of inversion structures would be important in hydrocarbon reserve appraisal.

Time-dependent numerical simulations using COMSOL Multiphysics v5.4 predict variation in the sense and amount of rotations of the elliptical inclusions across the matrix. However, the competency contrast between the matrix and the inclusions do not seem to affect this variation. We also provide an original report of OSS in ductile regime as observed under an optical microscope from the Lesser Himalayan rocks of the Chaura region (Himachal Pradesh state, India). Although a temporal relation between the two shear senses, in this case, could not be established owing to the absence of cross-cut relations of shear fabrics, we speculate dominance of coaxial deformation could have resulted in the near-equal abundance of OSS.

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**List of abbreviations**

BSE	Back-scattered electron
CPO	Crystallographic Preferred Orientation
DCZJ	Dextral Closing Zipper Junction
DOZJ	Dextral Opening Zipper Junction
HGR	High-grade rocks
HHC	Higher Himalayan Crystallines
HP	High pressure
LGR	Low-grade rocks
LHS	Lesser Himalayan Sequence
LKRW	Larji-Kulu-Rampur Window
MCT <sub>L</sub>	Main Central Thrust Lower
PCZJ	Pure Closing Zipper Junction
POZJ	Pure Opening Zipper Junction
OOST	Out of Sequence Thrust
OSS	Opposite Shear Sense
SCZJ	Sinistral Closing Zipper Junction
SOZJ	Sinistral Opening Zipper Junction
SPO	Shape Preferred Orientation

THS	Tethyan Himalayan Sequence
UHP	Ultra-high pressure
VPP	Vorticity Profile Plane
WGC	Wangtu Gneissic Complex
ZFTB	Zagros Fold and Thrust Belt

*List of symbols*

$\sigma_1$	maximum principal stress axis
$\sigma_2$	intermediate principal stress axis
$\sigma_3$	minimum principal stress axis
$\mu$	dynamic viscosity
$\omega$	flow vorticity
$U_1$	velocity of upper boundary of a shear zone/channel
$U_2$	velocity of lower boundary of a shear zone/channel
$\partial P/\partial x$	Pressure (P) gradient along the X-direction
$\theta$	dip amount of shear zone/channel
$d$	density of rocks
$g$	acceleration due to gravity
$y_0$	thickness of subduction channel

**1. Introduction**

Kinematic indicators are structures that help structural geologists to construe the type of deformation of the rocks e.g., coaxial and non-coaxial, during the now frozen progressive deformation. Ductile shear-sense indicators, on the other hand, represent a set of kinematic indicators that signify the sense of non-coaxial deformation (Sander, 1911; Hanmer and Passchier, 1991), or in a technical term the “flow vorticity” or the “shear induced vorticity” (Means et al., 1980). Similarly, there are structures found in brittle shear zones that denote the sense of non-coaxiality (see Passchier, 1984; Petit, 1987; Doblas, 1998; Blenkinsop, 2002; Bigi, 2006; Mukherjee, 2012a,b, etc.). These have been widely used to deduce the sense of displacement viz. sinistral (left-lateral), dextral (right-lateral), normal and reverse in both ductile and brittle shear zones, that has decoded the tectonic history of the respective terranes (White et al., 1986; Petit, 1987; Passchier and Simpson, 1986; Bell and Johnson, 1992; Passchier and Trouw, 2005; Passchier and Coelho, 2006; Mukherjee, 2011, 2013a,b; Fossen, 2016). However, one must identify several such structures (Ramsay and Lisle, 2000) before concluding the dominant/bulk shear sense. Studying ductile and brittle shear zones’ kinematics is a fundamental exercise in structural geology since it is of great importance in earthquake and plate tectonic studies (Regenauer-Lieb and Yuen, 2003).

Several asymmetric shear sense indicators have been described and utilized by previous workers to study ductile (e.g., asymmetric boudins, mantled porphyroclasts, etc.) as well as brittle (e.g. slickenlines, secondary shear fractures, etc.) shear zones (Table 1; Figs. 1 and 2 see Fig. S1 in the supplementary file S2). Numerical models by Giorgis and Tikoff (2004) show that the shape fabric of a set of rigid porphyroclasts depends upon the non-coaxiality of the flow as well as the aspect ratio of individual porphyroclasts. Moreover, the authors also demonstrate that the shape preferred orientation (SPO) of the rigid strain markers do not unequivocally show the true shear sense because of lack of direct correlation between the strain and fabric ellipsoids, especially at high strains. Likewise, Kilian et al. (2011) report that the shape fabric of the quartz aggregates defined by the obliquity of the long axes of the quartz grains to the shear plane does not necessarily represent the bulk shear sense. The torsion experiments of Pieri et al. (2001) on calcite mylonites exhibit similar results. Although Menegon and Pennacchioni (2010), report quartz SPO aligned both antithetic and synthetic to the bulk shear direction, there are cases where the shear senses obtained from the oblique shape fabric of the grains matches with those determined through various kinematic indicators as well as CPO studies viz., van

der Wal et al. (1992), Froitzheim et al. (1997), Stöckhert and Duyster (1999), Romeo et al. (2007), Xypolias et al. (2013), Spanos et al. (2015), Puelles et al. (2018) etc. Galadí-Enríquez et al. (2006) suggest that quartz SPO can preserve low-strain deformation increments, and hence could possibly aid in construing late-stage shear reversal. However, the authors also emphasize that it should be considered along with other shear indicators.

Before trying to decipher the shear sense, a geologist must choose the plane of observation that corresponds to the XZ section in the context of ductile deformation, i.e. parallel to the shear direction and perpendicular to the primary shear C-plane, of the strain ellipsoid. The XZ-section is also called the ‘vorticity profile plane’ (VPP) (Fig. 3) (Robin and Cruden, 1994; Passchier and Simpson, 1986; Passchier, 1998; Michels et al., 2015). In brittle shear zones, the plane of observation should be parallel to the slickenline (Grasemann et al., 2012) and perpendicular the primary shear Y-plane (or the D-plane: Ghosh, 1993). Shear senses documented from any other plane can be misleading (Hanmer and Passchier, 1991; Cladouhos, 1999; Blenkinsop, 2002; Passchier and Trouw, 2005; Bigi, 2006; Fossen and Cavalcante, 2017). It is generally assumed that the shear plane and the shear direction are parallel to the mylonitic foliation and the stretching lineation, respectively. However, these assumptions are invalid (a) at low shear strain, and (b) for pure shear-dominated transpression. In the second case, the stretching lineation and the shear direction may even be orthogonal (high strain shear zones may deform with a triclinic flow symmetry such that the lineations are oblique to the shear direction/VPP, see Passchier and Coelho (2006); Iacopini et al. (2007)) (Tikoff and Fossen, 1993). In such scenarios, one may find XZ-sections to be dominated by symmetric structures rarely denoting shear sense (Mukherjee, 2017 and references therein). Rocks may sometimes be devoid of stretching lineations, and Anisotropy Magnetic Susceptibility measurements of such samples can be utilized to identify the XZ-section (Goswami et al., 2018). Besides, sample preparation techniques can also render Riedel shear planes unrecognizable and thus greatly affect the understanding of micro-scale brittle shear kinematics (Aiyama et al., 2019).

Majority of the studies on shear fabrics and their geodynamic relevance focus on metamorphic low-grade rocks (LGR), possibly because of the features are better preserved. On the other hand, ductile flow partitioning for high-grade rocks (HGR) occurs on a much larger scale owing to dominant creep mechanisms and grain boundary migration. Moreover, unlike LGR, the deformation is pervasive, and hence overprinting is widespread (Trouw et al., 2010). Consequently, signatures of earlier deformation phases are largely lost. Besides, shear sense

**Table 1**  
 Summary of various shear sense indicators for both ductile and brittle deformation regimes (Figs. 1 and 2) (supplementary Fig. S1).

Deformation regime	Sl. no.	Author	Terrane/General concept (GC)	Shear sense indicators	Approach (Ag: Analog Model; An: Analytical Model; F: Field-based; M: Microscopic study; N: Numerical Model; R: Review; S: Satellite imagery)
DUCTILE (Fig. 1)	1	Eichepar (1977)	GC	Asymmetric boudins	N
	2	Bouchez et al. (1983)	GC	Shape fabric asymmetry	R
	3	Lister and Snoke (1984)	GC	S-C fabrics, Mica Fish, and Quartz CPO	F, M
	4	Hanmer (1986)	Western Churchill and Grenville Provinces (Canadian Shield)	Asymmetrical pull-apart (boudins, pinch & swell structures) and foliation fish	F, Ag
	5	Passchier and Simpson (1986)	French Pyrenees and Santa Rosa (California, USA)	$\sigma$ - & $\delta$ -type porphyroclast systems	M, Ag
	6	White et al. (1986)	GC	Rotated foliation and markers, intrafolial folds, S-C fabrics, domino structure, mantled porphyroclasts, quartz SPO and CPO, mineral fish	R
	7	Hanmer and Passchier (1991)	GC	Sigmoid foliations, S-C fabric, winged inclusion, asymmetric boudins, mica fish, pressure shadows, quarter structures, deformed veins, tension gashes, asymmetric folds	R
	8	Simpson and De Paor (1993)	Pinaleno Mountains, Arizona (USA)	Mantled porphyroclasts ( $\sigma$ - & $\delta$ -type)	R, An
	9	Shelley (1995)	GC	Mineral SPO	M
	10	Koehn and Passchier (2000)	Orbic Alps (northern Italy)	Striped bedding-veins	F
	11	Grasemann and Stüwe (2001)	Schober (Austria), Naxos (Greece), Vintschgau (Italy), Sutlej Valley (India), and Kalak Nappe Complex (Norway)	Flanking folds	F, N
	12	Goscombe and Passchier (2003)	Kaoko Belt (Namibia), Arunta Block (Central Australia) and Adelaidean Fold Belt (South Australia)	Asymmetric boudins	F
	13	ten Grotenhuis et al. (2003)	GC	Mineral Fish	M
	17	Trouw et al. (2010)	GC	Mantled porphyroclasts, S-C fabrics, oblique foliations, mineral and foliation fish, asymmetric folds, quarter folds, and strain shadows	M, R
	14	Passchier and Trouw (2005)	GC	Quartz CPO, crenulation cleavages, asymmetric folds, quarter structures, mantled porphyroclasts, oblique foliations, deformed veins, pressure fringes, mineral fish, asymmetric boudins, flanking structures, porphyroblast inclusion trails	R
	15	Passchier and Coelho (2006)	Ticino (Switzerland), Cadaques (NE Spain), Pilbara (Australia), southern Minas Gerais (Brazil), and Rio (Brazil)	Shear bands, asymmetric boudins, mineral fish, mantled porphyroclasts, strain shadow, flanking structures, tension gashes, folded & boudinaged veins	F, M
	16	Cosgrove (2007)	GC	Asymmetric folds, pinch & swell structures, kink bands, tension gashes, en-echelon veins, and S-C fabrics	R
	17	Trouw et al. (2010)	GC	Mantled porphyroclasts, S-C fabrics, oblique foliations, mineral and foliation fish, asymmetric folds, quarter folds, and strain shadows	M, R
	18	Mukherjee (2011)	Karakoram Metamorphic Complex (NW Himalaya, India)	Mineral fish	M, R
	19	Mukherjee et al. (2015)	Higher Himalayan Shear Zone (NW India)	Intrafolial folds	R
	20	Grasemann et al. (2019)	GC	Flanking structures, domino & shear band boudins, winged inclusions	F, N, R
21	Scharf et al. (2019)	Oman mountains	PDT (Pul-apart, Drag fold, thrust) and dragged intergrowth	F, M	
21	Tjia (1964)	Lokulo Area (Java, Indonesia)	Sticklines, steps, grooves, ridges	F	

(continued on next page)

Table 1 (continued)

22	Pett (1987)	GC	Sierra Nevada (California, USA)	Secondary shear fractures (T, M, P, R, R)	F
23	Ramsay and Huber (1987)			Curved slickenfibers	F
24	Olson and Pollard (1991)	GC		En-echelon fractures	N
25	Tanaka (1992)		Akaishi Tectonic Line (Japan)	Cataclastic lineations, slickenlines	F
26	Hippertt (1993)		Moeda Bonifim Shear Zone (Brazil)	V-pull apart	M
27	Rajlich (1993)	GC		Riedel Shear	R
28	Dobias (1998)	GC		Slickenside features: Crescentic markings, steps, fractures, secondary shear fractures, trailed material, asymmetric elevations, deformed elements, asymmetric plan-view features	R
29	Davis et al. (2000)		Cottonwood and Sheets Gulch Area (Utah, USA)	Riedel Shear	F
30	Samanta et al. (2002)	GC		Pull-apart microstructures	Ag
31	Katz et al. (2004)		Capitol Reef National Park (Utah, USA)	Riedel Shear	F, An
32	Passchier and Trouw (2005)	GC		Shear fractures (Y, P, R, R', T, M)	R
33	Mukherjee (2012a)		Higher Himalayan Shear Zone (NW India)	Trapezoidal mica grains	M
34	Misra et al. (2014)		Western Deccan Region (India)	Brittle shear fractures, slickenfibers, asymmetric elevations	F
35	Fossen (2016)	GC		Shear fractures (Y, P, R, R'), domino structures	R
36	Dasgupta and Mukherjee (2017)		Barmer Basin (W India)	Shear fractures (Y, P, R, R', T, M), steps on slickensides	F
37	Mattern and Scharf (2018)		Oman Mountains (Oman)	Riedel Shear, curved slickenlines	F
38	Dasgupta and Mukherjee (2019)		Barmer & Kutch Basins (W India)	Displaced lineaments	S

indicators for HGR are much more prominent than those in the LGR, and often the feature is only partly preserved. Identifying the VPP in the field also poses a challenge! Hence, whereas the low-grade terranes with isolated outcrops reveal enough tectonic information, their high-grade counterparts may not. To unveil the deformation history of high-grade terranes, the structural features from multiple outcrops should, therefore, be correlated (Passchier et al., 1990; Passchier and Coelho, 2006).

## 2. Research objectives and significance

Opposite shears can be deciphered from opposite slip sense displayed by the same or different kinematic indicators. Unlike superposed folding (also referred as “folding interference” and “refolding” in the literature), which has been classified and studied in great detail (e.g., Neves et al., 2018), a similar concise effort for superposed shear (or “reshear”) under a single platform remained due even though it has been reported from several terranes worldwide (Section 6). Surprisingly, this is despite the origin of contradictory slip senses has been debated for over three decades (e.g., Bell and Johnson, 1992; Passchier and Williams, 1996; Kilian et al., 2011).

The need for such a catalogue for OSS is two-fold:

- Decoding geodynamic evolution:** Field geologists will be able to decipher plausible explanation(s) for the OSS that they might encounter at meso- and micro-scale, by comparing their findings with those reviewed in this article. Once the OSS are identified as single or multi-deformational (Section 4), further inferences viz., coaxiality of deformation, the role of isostasy or alteration of the stress regime can also be made. Such a piece of information can logically explain the otherwise puzzling deformation history.
- Hydrocarbon appraisal:** Identification of OSS in sedimentary basins (using null points; Section 4.2.1) often indicate episode(s) of tectonic inversion, which, along with the arrangement of faults and fractures, play a pivotal role in the appraisal of hydrocarbon exploration (Section 8) e.g., re-distribution of hydrocarbon traps to shallower depths, increase in permeability of tight sandstones due to uplift-induced fracturing. (Corcoran and Doré, 2005; Henriksen et al., 2011). The offset of markers across faults coupled with apatite-fission track dating of the rocks can provide near-accurate estimates of the rate of uplift, which, if too rapid, can endanger hydrocarbon preservation (Henriksen et al., 2011).

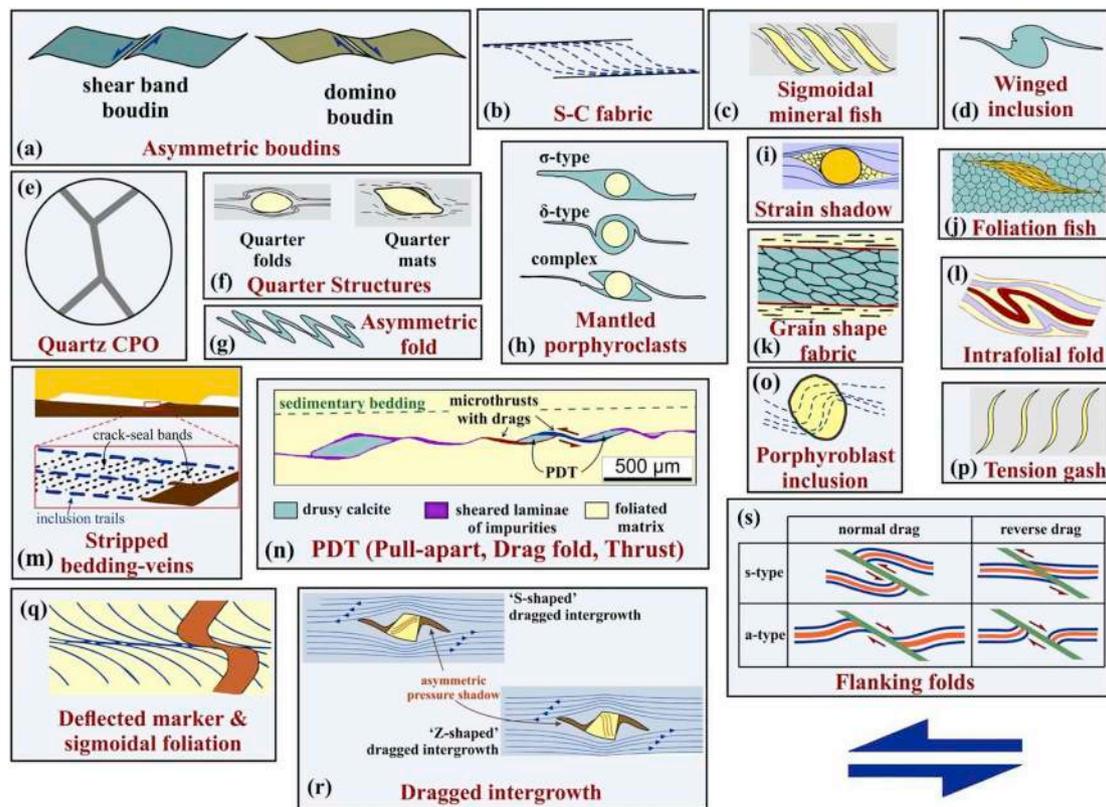
In this study we aim to (i) list the mechanisms that produce OSS (Section 4), (ii) review globally reported ductile and brittle OSS in mega-, meso- and micro-scales (supplementary file S1), and (iii) comment on the common tectonic scenarios that result in OSS (Section 8). In a specific case, (iv) we report OSS from the schistose rocks of the Sutlej Valley (near Chaura, Himachal Pradesh, India) of western Lesser Himalaya (Section 7).

## 3. Opposite shear senses: definition and precautions

A body of rock is said to exhibit OSS when conflicting slip sense occurs at some portion of the rock (Hippertt and Tohver, 1999). Terms such as contradictory/conflicting shear senses, or reverse shear, kinematic reversal, can also be found in the literature (Passchier and Williams, 1996; Blenkinsop, 2002; Koyi et al., 2013). Besides, ‘shear sense reversal’, ‘slip reversal’, ‘shear sense inversion’ or ‘slip sense inversion’ also exist, e.g., Tavarnelli (1999), Michibayashi et al. (2000), Mattern (2001), Scisciani et al. (2002), Hsiao et al. (2004), Sawaguchi (2004), Mukherjee (2007), Kodaira et al. (2014), Javadi et al. (2015). At this point, the question remains: what percentage of OSS should be considered significant? The answer is subjective. However, we believe that the presence of even a single OSS indicator should be scrutinized from a geoscientific perspective rather than being treated as an

**Table 2**  
Summary of models/processes that can produce OSS (Figs. 9–23).

Deformation stages	Process	Section no.	Fig(s). no.	Scale of observation	Key references
<i>SINGLE</i> (Figs. 9–15)	Fracturing of a layered body	4.1.1	9	This is a result of numerical modelling, hence scale of observation cannot be established unequivocally.	Cooke and Underwood (2001)
	Ductile deformation of a layered body with heterogeneous rheologies	4.1.2	10,11	This is a result of numerical modelling, hence scale of observation cannot be established unequivocally. However, Hippertt & Tohver (1999), and Langille et al. (2010) support the feasibility of the mechanism at micro- and orogen scale, respectively.	Lister and Williams (1983), Treagus (1983), Ishii (1992), Hippertt and Tohver (1999)
	Back-rotation under sub-simple shear regime	4.1.3	12	Meso- to mega-scale	Ghosh and Ramberg (1976), Passchier and Simpson (1986), Simpson and De Paor (1993), Marques et al. (2007), Fossen and Cavalcante (2017)
	Block rotation under simple shear	4.1.4	13	Meso- to mega-scale	MacLeod and Murtton (1995),
	Folding	4.1.5	14	Micro- to meso-scale	Bell and Johnson (1992), Ghosh (1993), Goscombe and Trouw (1999), Twiss and Moores (2007), Bastida et al. (2014), Sengupta and Chatterjee (2015), Fossen (2016)
	Zippering of ductile shear zones	4.1.6	14	Mega- to meso scale	Platt and Passchier (2016), Passchier and Platt (2017)
	Differential stretching of faulted wall rocks	4.1.7	15	Meso- to mega-scale	Means (1989, 1990)
	Fault reactivation/inversion tectonics	4.2.1	16,17,18	Meso- to mega-scale	De Paor and Eisenstadt (1987), Cooper et al. (1989), Williams et al. (1989), Wennberg (1996), Holdsworth et al. (1997), Tavamelli (1999), Turner and Williams (2004) and references therein, Bigi (2006), Cooper and Warren (2010)
	Subduction & Exhumation	4.2.2	19,20	Mega-scale	Brueckner and Cuthbert (2013) and references therein, Godin et al. (2006) and references therein
	Tilting & Overturning	4.2.3	21	Mega-scale	Lim and Cho (2012)
Gravitational collapse	4.2.4	22	Mega-scale	Bell and Newman (2006), Bell and Sapkota (2012)	
Isostatic adjustments	4.2.5	23	Mega-scale	Wernicke and Axen (1988)	
<i>MULTIPLE</i> (Figs. 16–23)					



**Fig. 1.** Major ductile shear sense indicators observed at meso- and micro-scale (first referred at Section 1). Reproduced from (a) fig. 5c of Goscombe et al. (2004), fig. 4c of Grasemann et al. (2019), (d) fig. 2 of De Paor and Eisenstadt (1987), (f) fig. 5.38 of Passchier and Trouw (2005), (h) fig. 5.21 of Passchier and Trouw (2005), (i) fig. 9.7.13 of Trouw et al. (2010), (j) fig. 9.5.2 of Trouw et al. (2010), (k) fig. 10a of Xypolias (2010), (l) fig. 12.2c of Mukherjee et al. (2015) (m) fig. 10a of Koehn and Passchier (2000), (n,r) figs. 9 and 11d of Scharf et al. (2019), (o) fig. 5.80 of Vernon (2018), (p) fig. 8a of Fossen and Cavalcante (2017), (q) fig. 9.1 of Trouw et al. (2010), (s) fig. 1 of Grasemann et al. (2003). Shear sense: sinistral (blue half-arrows). Examples: (a) figs. 5 and 6 of Goscombe and Passchier (2003), (b) fig. 9.3.3 of Trouw et al. (2010), (c) fig. 9.5.3 of Trouw et al. (2010), (d) fig. 2 of Grasemann and Dabrowski (2015), (e) fig. 8 of Little et al. (2013), (f) fig. 9.7.11 of Trouw et al. (2010), fig. 3d of Larson (2018), (g) fig. 12.4 of Trouw et al. (2010), (h) fig. 9.1.5, 9.2.3 & 9.2.17 of Trouw et al. (2010), (i) fig. 6.12 of Trouw et al. (2010), (j) fig. 9.3.17 of Trouw et al. (2010), (k) fig. 9.5.11 of Trouw et al. (2010), (l) fig. 9.6.4 of Trouw et al. (2010), (m) fig. 4 of Koehn and Passchier (2000), (n) figs. 6 and 8 of Scharf et al. (2019), (o) fig. 5.89 of Vernon (2018), (n) fig. 1 of Lisle (2013), (q) fig. 4d of Mancktelow and Pennacchioni (2005), (r) fig. 11 of Scharf et al. (2019), (s) fig. 6 of Grasemann and Stiwe (2001), fig. 2 of Passchier (2001), figs. 8 and 9 of Grasemann et al. (2003), fig. 9 of Exner et al. (2004).

insignificant outlier. Shear sense indicators have been documented from a wide variety of scales (mega scale: Zhang and Cunningham (2012) (location no. 47 in Fig. 24, supplementary file S1), meso-scale: Fossen (2016), microscale: Passchier and Trouw (2005), and sub-microscopic scale: Back-scattered electron (BSE) image: fig. 6a in Rekha et al., 2014). Therefore, reverse shear can be expected at any of these scales. However, in the course of this review, we did not come across a case of OSS reported from BSE images.

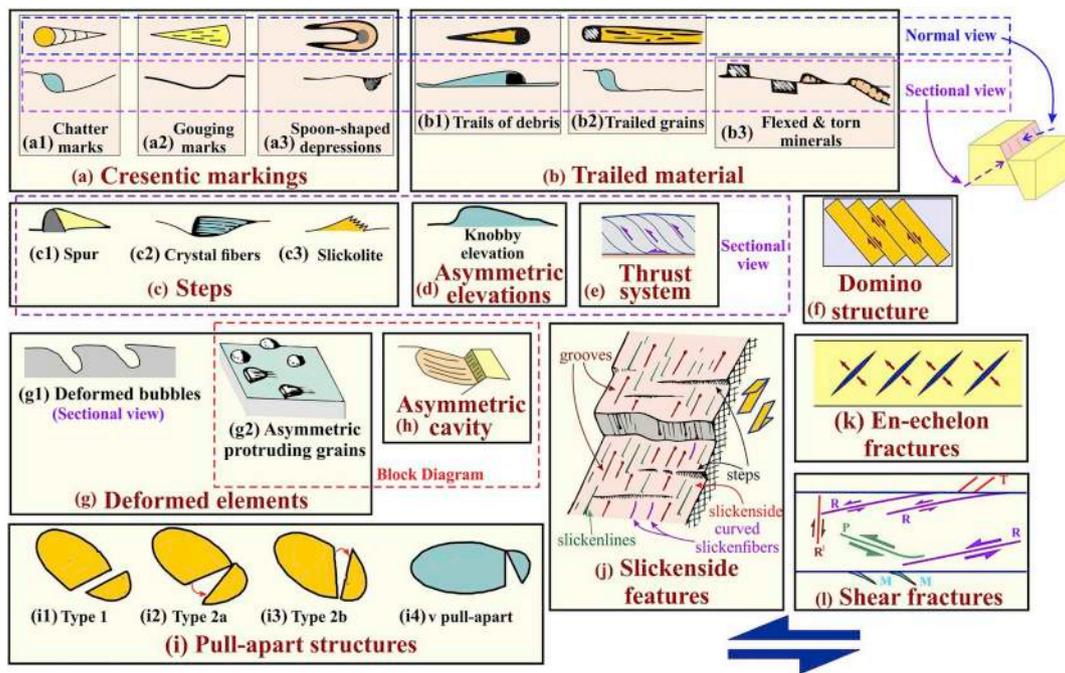
Taking examples from Lewisian Gneiss Complex (Scotland), Wheeler (1987) emphasizes that the orientation of the pre-existing planar fabrics relative to that of the later shear direction is crucial (also see concept 5.1 A in Bose and Mukherjee, 2017). The resulting arrangement might indicate incorrect shear sense to a novice. Two more examples have been reported from Robbies Well Pluton and Mulgandinnah Shear Zone (Western Australia) by Passchier and Williams (1996). These authors further note that deflection of pre-existing planar fabrics by late-stage shear zones is not reliable as kinematic indicators (Fig. 4, Passchier and Coelho, 2006) unless the line of intersection of the planar feature and the shear zone are perpendicular to the stretching lineation. Structures produced in all other cases bear a triclinic or lower symmetry that may exhibit a shear sense opposite to that of the bulk shear.

The geometry of an 'a-type' flanking fold showing normal drag resembles that of a shear band (Figs. 1s and 5a, Grasemann et al., 2003; also see review in Mukherjee, 2014a), but the bulk shear that produces them are oppositely directed. Similar confusion may also arise while

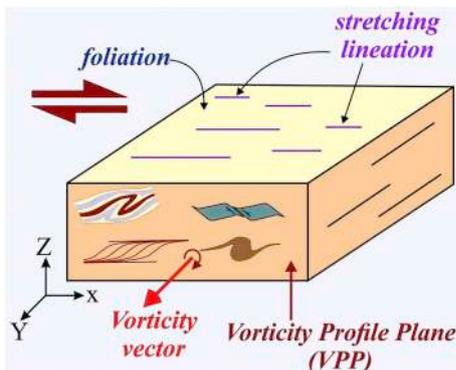
inferring shear senses from shear band and domino boudins (Fig. 1a). Moreover, asymmetric boudins at a high angle ( $>45^\circ$ ) to the shear plane are not reliable shear sense indicators (fig. 7 of Goscombe and Passchier, 2003; Dabrowski and Grasemann, 2019).

Tension gashes or extensional veins develop under a non-coaxial deformation regime. They tend to rotate after formation, and consequently, attain a sigmoidal geometry (Fossen, 2016), similar to that of a mineral fish. However, as indicated in Fig. 5e and f, they denote opposite shear senses. Such a similarity in geometries is also exhibited by an S-C fabric and the inclusion pattern inside a porphyroblast such as garnet (Fig. 5g and h). But again, these two indicate opposite shear sense. However, the indicated shear sense for the garnet porphyroblast (Fig. 5h) holds true only if the garnet has rotated. Otherwise, both the S-C fabric and the inclusion trails within the porphyroblast exhibit identical shear senses (Passchier & Speck, 1994). Again, whether a porphyroblast has undergone rotation or not should be inferred keeping in mind the regional/dominant shear sense (see box 7.4 in Passchier and Trouw, 2005). Hence, if the shear sense indicated by the S-C fabric (Fig. 5g) is compatible to the regional one, and if any other shear sense indicator is absent, the porphyroblast must be considered as non-rotational, which in turn would imply absence of OSS.

It is also essential to correctly identify the marker bed before deciphering the sense of movement across a fault using the drag pattern (Fig. 5i,j1,j2; see review in Mukherjee, 2014a). Grasemann et al. (2003), based on analytical studies, propose that reverse drags develop when the acute angle between the fault and the undeformed marker



**Fig. 2.** Major brittle shear sense indicators observed at different scales (first referred at Section 1). Reproduced from (a,b,c,d,g,h) fig. 1 of [Doblas \(1998\)](#), (i1,i2,i3) fig. 4 of [Samanta et al. \(2002\)](#), (i4) fig. 1 [Hippertt \(1993\)](#), (j) fig. 3 of [Tjia \(1964\)](#), fig. 6 of [Twiss and Gefell \(1990\)](#), (l) fig. 1c of [Petit \(1987\)](#), Fig. 5.50 of [Passchier and Trouw \(2005\)](#). Shear sense: sinistral (blue half-arrows) except for (j) for which the relative sense of movement of the blocks have been denoted separately. Normal view: viewing direction perpendicular to the slickenside. Sectional view: viewing direction perpendicular to the plane normal to the slickenside but parallel to the slickenside. Also see the following figures by previous workers for the corresponding features: (a) fig. 2 of [Phillipson \(2003\)](#), figs. 6 and 7 of [Engelder et al. \(2004\)](#), fig. 4c of [Wiatr et al. \(2013\)](#), (b2) fig. 9d of [Sümer \(2015\)](#), (c) fig. 5a of [Laurich et al. \(2014\)](#), fig. 6e of [Samant et al. \(2017\)](#), (d) fig. 4f of [Wiatr et al. \(2013\)](#), fig. 8 of [Misra et al. \(2014\)](#), (e) fig. 4b of [Doblas et al. \(1997a\)](#), (f) fig. 5.43 of [Passchier and Trouw \(2005\)](#), (g2) fig. 4a of [Doblas et al. \(1997b\)](#), (h) fig. 4g of [Wiatr et al. \(2013\)](#) (i1) fig. 8c and d of [Sarkarinejad and Azizi \(2008\)](#), fig. 5d of [Bose et al. \(2018\)](#), (i2-i4) fig. 6e of [Roy et al. \(2010\)](#), fig. 5d of [Joshi et al. \(2017\)](#), (j) fig. 8 of [Twiss and Gefell \(1990\)](#), fig. 10c of [Zhang et al. \(2011\)](#), figs. 4b,c,d of [Samant et al. \(2017\)](#), (k) fig. 8.30 of [Fossen \(2016\)](#), (l) fig. 2 of [Dresen \(1991\)](#), fig. 8 of [Katz et al. \(2004\)](#).



**Fig. 3.** Schematic diagram illustrating the vorticity profile plane (VPP) and the vorticity vector for a dextral shear sense (first referred at Section 1).

i.e.,  $\alpha > 30^\circ$ . In the example of a flanking structure with reverse drag (also see figs. 18 and 19 of [Mukherjee \(2014a\)](#)) shown in [Fig. 5k1, k2](#),  $\alpha = 70^\circ$ , thus substantiating the claims of [Grasemann et al. \(2003\)](#). Pre-existing foliations deflect by a late-stage deformation along a shear zone ([Pennacchioni and Mancktelow, 2018](#)), and develop a sigmoidal geometry. Such features also help to identify the shear sense ([Fig. 5l1,l2](#)). Such features should be carefully interpreted and must not be confused with drag patterns observed across faults as shown in [Fig. 5j1,j2](#). On a mega-scale, a strike-slip asymmetric pull-apart basin also exhibits a sigmoidal shape. However, while deciphering the shear sense, it must not be confused with the geometry of a mineral fish (compare [Fig. 5f,m1,m2](#)). [Scharf et al. \(2019\)](#) report two new ductile shear sense indicators ‘PDT’ (pull-apart, drag fold, thrust) ([Fig. 5n](#)) and ‘dragged intergrowths’ ([Fig. 5o1,o2](#)). The geometry of the former is similar to that of the shear band boudin ([Fig. 5c](#)), but they are products of

opposite shear senses (compare [Fig. 5c,n](#)). The authors further emphasize that: (i) individual boudins are made up of deformed rocks, whereas PDTs are usually filled with calcitic cement, and (ii) PDTs are separated by microthrusts ([Fig. 5n](#)), but shear band boudins are separated by extensional faults ([Fig. 5c](#)). Likewise, the asymmetric appearance of Z-shaped dragged intergrowths ([Fig. 5o2](#)) must not be confused with the inclusion trail patterns found in porphyroblasts ([Fig. 5h](#)). In the former case, the ‘pre-existing’ or ‘pre-kinematic’ intergrowths: (i) do not merge with mylonitic foliations outside, and (ii) attain the Z-shaped geometry during rotation of the porphyroblasts. However, the sigmoidal inclusion trails in the porphyroblasts continue into the matrix, and indicate a shear sense opposite to that of the dragged intergrowths (compare [Fig. 5h,o2](#)).

Highly strained winged inclusions ([Grasemann et al., 2019](#)), although, appear as  $\sigma_b$ -type porphyroclasts of [Passchier and Simpson \(1986\)](#), are produced by shearing opposite to that required for the latter ([Fig. 5](#)). Partial exposure of features ([Fig. 7a,b,c](#)) may result in incorrect identification of structures, and hence should be avoided. Parallelogram shaped mica grains should be carefully observed before deducing the shear sense. The parallelogram shape of any mica grain ‘m’ could also be a product of overlap by two other mica grains (‘m1’ and ‘m2’ in [Fig. 7d1](#)) and hence completely unrelated to shearing ([Fig. 7d2](#)). Such cases should be avoided in determining shear sense. Moreover, a parallelogram ‘p’ could develop by ductile shear of rectangular unstrained grain having two possible orientations ([Fig. 7e1](#)). In such a scenario, the orientation of the nearby foliations must be considered before deriving the shear sense ([Fig. 7e2](#)); otherwise one would incorrectly deduce the shear sense.

Inside a foliation fish ([Fig. 1j](#)), individual grain(s) can be oriented in the opposite sense. This must be avoided for shear sense determination. Only the overall geometry of the foliation fish should be picked up and not the asymmetry/orientation of its constituent mineral grains

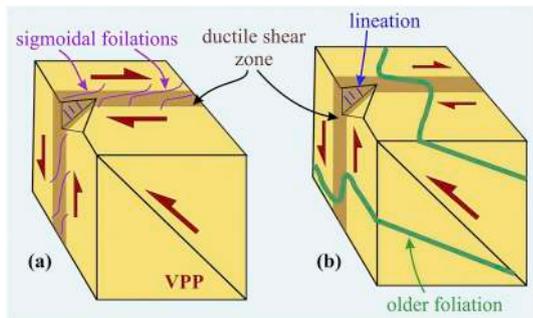


Fig. 4. Problematic shear sense indicator (first referred at Section 3). Sigmoidal foliations (a) in a ductile shear zone are reliable indicators of shear sense. However, deformation of a pre-existing foliation (green curve) (b) can be misleading and should be avoided in the interpretation of shear senses. Reproduced from fig.7 of Passchier and Coelho (2006).

(Figs. 1j, 7f, Mukherjee, 2011, 2013b). The inclination of cleavage planes with respect to the C-plane should also be avoided as a sole criterion to deduce shear sense from mineral fish (Fig. 7g) (see also fig. 4 of ten Grotenhuis et al., 2003).

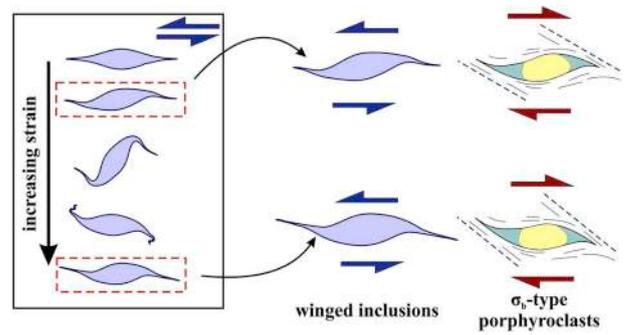


Fig. 6. Winged inclusions and  $\sigma_v$ -type porphyroclasts (first referred at Section 3). Products of opposite shears with near-identical geometries. Reproduced from fig. 5 of Grasemann et al. (2019) and fig. 2d of Passchier and Simpson (1986).

Crenulation cleavages, as Bell and Johnson (1992) claim, are more abundant than S-C fabrics (Fig. 1b) or any other kinematic indicators in multi-deformed terranes, and their asymmetry is a useful tool for deducing the shear sense. However, the authors also caution that

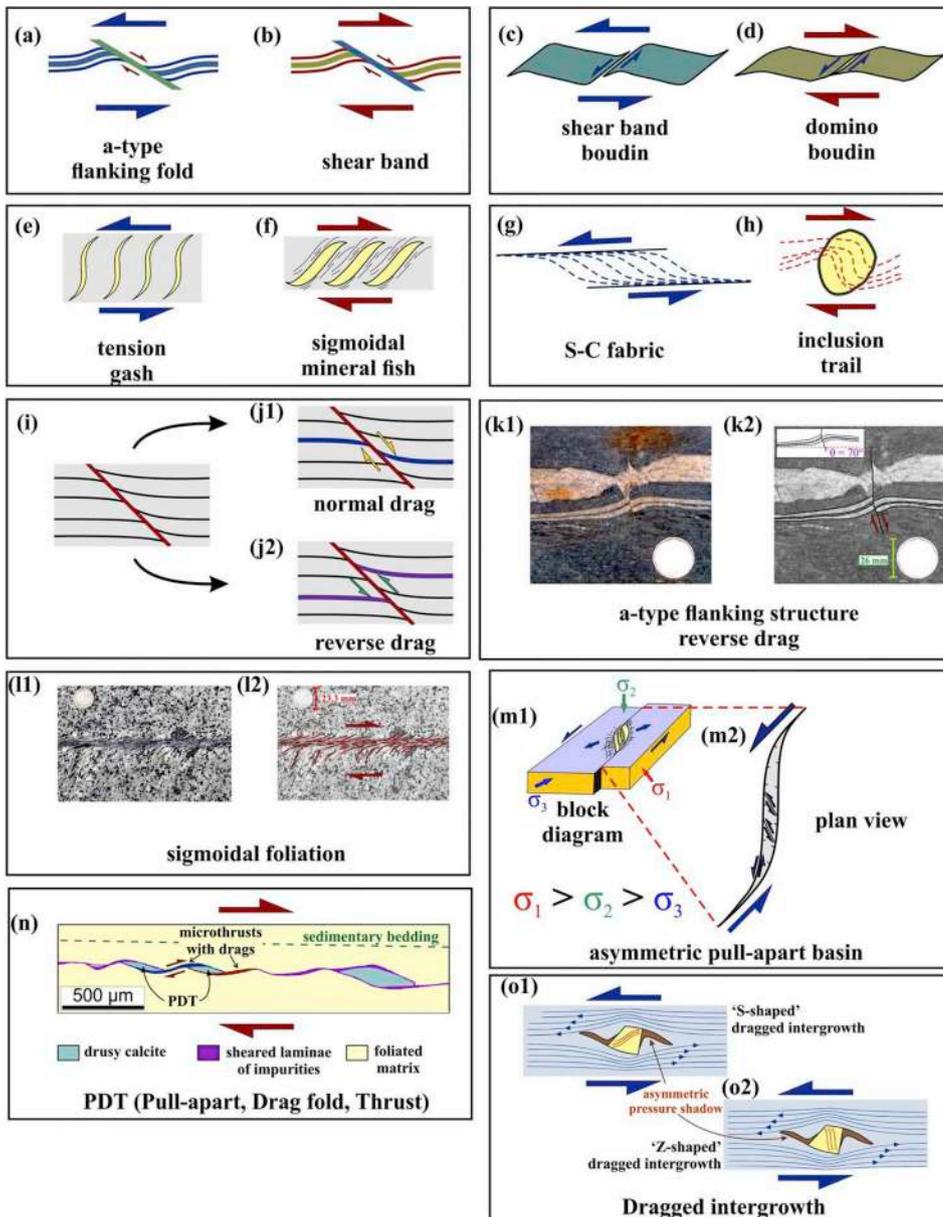
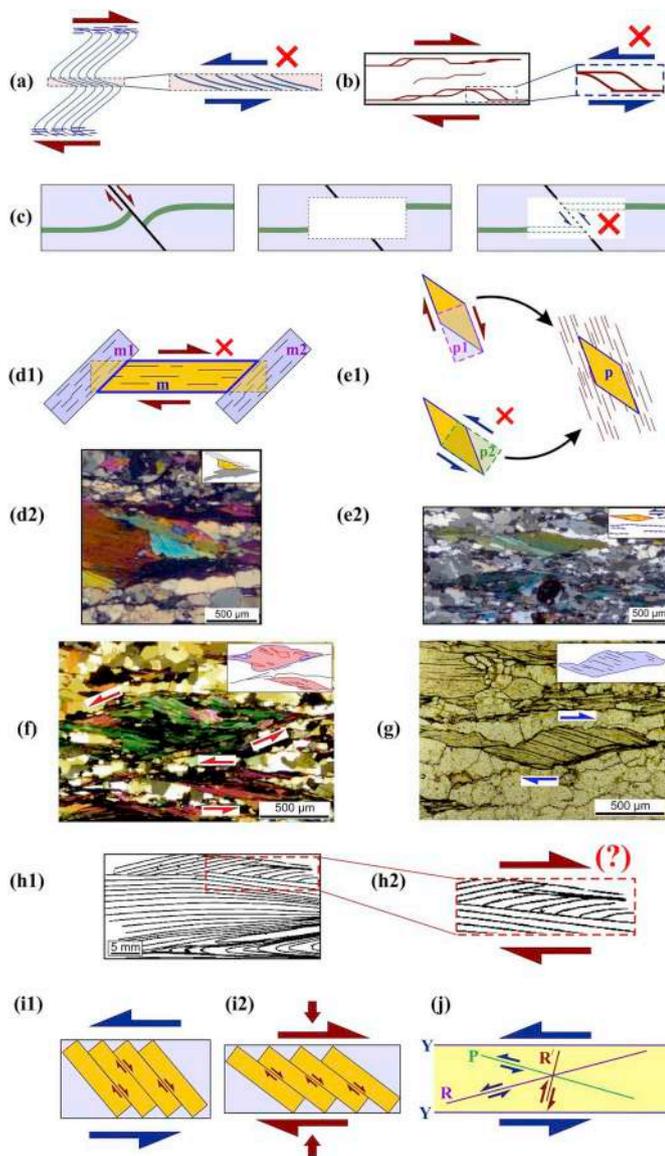


Fig. 5. Ductile shear sense indicators with similar geometries (first referred at Section 3). (a) a-type flanking fold, (b) shear band, (c) shear band boudin, (d) domino boudin, (e) tension gash, (f) sigmoid mineral fish, (g) S-C fabric, (h) inclusion trail inside a porphyroblast, (i,j) drag patterns, (k1,k2) a-type flanking structure with reverse drag (Goriganga section, Higher Himalaya Crystallines, India). Here, the marker (or the host fabric element) does not penetrate the fault (or the cross-cutting element) (see also fig. 18 of Mukherjee, 2014a). ‘ $\alpha$ ’ in the inset for (k2) is the acute angle between the fault and the undeformed marker. (l1,l2) Heterogeneous ductile shear zone with sigmoid foliation pattern (traced with red curves in l2) along pre-existing joints. Dextral shear sense evident. 3D block diagram (m1) and plan view (m2) of a sinistral strike-slip pull-apart basin. (n) PDT (pull-apart, drag fold, thrust), and (o1,o2) S- & Z-shaped draggd intergrowths (red curves) within  $\delta$ -type mantled porphyroclasts. The blue curves (with arrow heads) show the flow directions in the matrix. (a) & (b) have identical shapes but the former is a result of sinistral shear whereas the latter is a product of dextral shear. Similarly, (c) & (d), though appear alike, are products of sinistral and dextral shears, respectively. Tension gash (e) and sigmoid mineral fish (f) look similar but indicate opposite shears. Reproduced from: (a,b) fig. 1 of Grasemann et al. (2003), (c) fig. 5c of Goscombe et al. (2004), (d) fig. 4c of Grasemann et al. (2019), (e) fig. 8a of Fossen and Cavalcante (2017), (h) fig. 5.80 of Vernon (2018), (i,j) fig. 0.7 of Mukherjee (2014a), (k1,k2) fig. 2.17 of Mukherjee (2015a), (l1,l2) fig. 4.1 of Mukherjee (2014b), (m2) fig. 19.13 of Fossen (2016), (m2) fig. 1.10 of Nemčok (2016), (n, o1,o2) figs. 9 and 11d of Scharf et al. (2019). See Fig. 1 captions for more references.



**Fig. 7.** Possible misinterpretations in identifying shear senses (first referred at Section 3). (a) Partial exposure (shaded region) of asymmetric crenulations. (b) Composite shear zones. Asymmetry of anastomosed domain (dashed rectangle) may give misleading shear sense (reproduced from fig. 16b of Fossen and Cavalcante, 2017). (c) Misinterpretation of a normal fault as a reverse fault. If only a part of the feature is exposed, extrapolation of marker beds (green) will give incorrect shear sense. (d1) Overlap of mica grain (m) with two other mica grains (m1 & m2) may give rise to an apparent parallelogram shape (reproduced from fig. 11 of Mukherjee, 2011). An example of the same is shown in (d2). (e1) Parallelogram 'p' could either be a product of dextral shearing of 'p1' or sinistral shearing of 'p2'. In such cases, orientation of the foliation (brown lines) should be considered. The shear plane must be parallel to the foliation (reproduced from fig. 9 of Mukherjee, 2011). (e2) Parallelogram muscovite fish exhibiting top-to-left shear. Note that the shear plane and the foliation (blue dashed lines) are parallel. (f) Composite lenticular mica fish at the centre and lower right corner showing top-to-left shear (red half arrows). Whereas, few mica inclusions inside the bigger mica fish do not show any shear sense (Karakoram Shear Zone, Jammu & Kashmir, India) (reproduced from fig. 1.72 of Mukherjee, 2013b). (g) Mica fish displaying top-to-right shear (blue half arrows) (reproduced from fig. 1.6 of Mukherjee, 2013b). (h1, h2) Partial exposure of an outcrop (red dashed rectangle) may provoke an observer to infer incorrect shear senses (reproduced from fig. 4b of Bell, 2010). Book-shelf glide/domino structure under (i1) simple shear and (i2) general shear regime. (j) Geometries of the brittle shear fractures under sinistral shear (reproduced from fig. 5.50 of Passchier and Trouw, 2005). Note the shear sense on R' shear plane. Both (d2) (WGS 84 GPS coordinates: 33.24 N, 78.24 E) and (e2) (WGS 84 GPS coordinates: 33.27 N, 78.17 E) belong to the gneissic rocks of the Tso Morari

Crystallines (Ladakh Himalaya, India).

overprinting of deformations may rotate the crenulation cleavages. In such a scenario, the final product, if considered alone, will denote a shear sense opposite to the latest one (Section 4.2.1). They further emphasize that interpretations should be based on the entire history of deformation rather than partial field exposures (Fig. 7h1,h2).

Caution should also be exercised while deciphering shear senses from the asymmetry of the Quartz CPO plots (Passchier, 1983). Studies such as Bell and Johnson (1992) and Kilian et al. (2011) show that the CPO plots from the same sample may indicate OSS. Larson and Cottle (2014) report that the asymmetry of the quartz c-axis plots derived from a sample of migmatitic paragneiss of the upper Tama Kosi region (Higher Himalayan Crystallines, Central Nepal) show OSS. The authors speculate that the two shears may reflect two separate deformations. The shear senses derived from S–C fabrics, asymmetric folds, and other such indicators may not always match with those indicated by the CPO plots (Krohe, 1990; Law et al., 1994; Xypolias and Koukouvelas, 2001; Barnhoorn et al., 2004). However, the c-axis plots obtained from the high-strain zones within a thin-section are reasonably consistent with the overall shear (Kilian et al., 2011). Summarily, shear senses are always to be cross-checked with the shear senses exhibited by other meso- or micro-scale kinematic indicators (Passchier and Trouw, 2005).

This work categorizes OSS based on (a) deformation regime and (b) whether the shear planes are parallel. The former can be subdivided into (a1) ductile, (a2) brittle, and (a3) ductile followed by brittle. For case-b, shear planes for the two OSS can either be (b1) parallel or (b2) non-parallel (range of the acute angle between the two: ~30–80°) (Fig. 8). However, one may confuse antithetic shears between the domino-style shear (Fig. 7i1,i2) and Riedel (R') shear (Fig. 7j), which are present at angle of >80° and 25–60°, respectively, to the shear plane (Passchier and Trouw, 2005; Fossen, 2016; see also Fig. 16 of Pennacchioni and Zucchi, 2013), with those belonging to b2. Similarly, 'bi-sense' zipper junctions described by Passchier and Platt (2017) also exhibit OSS along non-parallel shear planes. At this point we must make it clear that, although we discuss examples for both b1 (supplementary file S1) and b2 (5 examples, supplementary file S1), we have strictly restricted the majority of mechanisms described below (Section 4) to those which generate OSS with parallel shear planes (category b1). Moreover, in the case of b2, only those examples have been included (supplementary file S1) where the C-planes are non-parallel (Fig. 8). We do not consider the non-parallelism of C- and C'-planes belonging to single or multi-stage deformation as examples of OSS, since it is often a tricky and difficult (yet crucial) task to differentiate the two in the field. The reason for introducing the category b2 is to make the reader aware that such features are neither uncommon in the field nor thin-sections. However, at the same time, only a few examples are included since our primary focus is category b1.

#### 4. Genesis of OSS (Table 2)

The presence of OSS in mylonites/shear zones is common (Bell and Johnson, 1992; Blenkinsop, 2002). Their origin could be attributed to single or multiple deformations, e.g., De Paor and Eisenstadt (1987), Tourigny and Schwerdtner (1991), Little et al. (2013), etc.

We define 'single stage deformation' as the one during which the bulk shear sense for the rock under consideration remains constant over time. Most of the shear sense-related studies commonly deal with narrow shear zones, wherein the sense is usually consistent and represents that of the latest deformation phase and the corresponding foliation.

'Multi-stage deformation', on the other hand, refers mainly to the temporal variation of the bulk-shear sense such that the new structural features overprint the earlier ones (e.g., Lenze and Stöckhert, 2007; Koyi et al., 2013; Czertowicz et al., 2016; Japas et al., 2016; Lopez-

Sanchez and Llana-Fúnez, 2018). Consequently, somewhat more complex history is preserved in broader/mega/plate-scale shear zones (especially at orogenic belts), with foliations from earlier deformation events either frozen inside porphyroblasts and/or at strain shadow zones or re-deformed during later tectonic events. These are the ones wherein chances of finding OSS, and/or misinterpreting kinematic indicators, is higher (Bell and Johnson, 1989, 1992; Bell and Hickey, 1999; Bell, 2010; Bell and Hobbs, 2010; Bell et al., 2013) (see A.3 in Appendix).

Moreover, we believe the most essential criterion in considering two deformation events as separate is the period of ‘deformation inactivity/quiescence’ that lies in between them. And hence, in our opinion, the transition from one phase of deformation to the next may or may not be accompanied by a change in the stress state/regime. We also emphasize that whether the origin is single or, multiple deformational could only be ascertained if the events are identified from various structural and/or petrological evidences. Hence, prior to arriving at a conclusion one must have clear idea of the tectono-thermal history of the region, determined from petrological or P-T studies, so that the observer does not end up using a shear sense criterion produced during an earlier event to infer the latest shear sense, and in the process, missing a deformation phase. Below, we briefly discuss the genesis of OSS.

#### 4.1. Single stage deformation (Figs. 9–15)

OSS can coexist in a single outcrop either with sub-parallel (Little et al., 2013; Dutta, 2016) or non-parallel shear planes (~56–80° in Ruiz-Fuentes and Aerden, 2018). However, a cross-cut relation, similar to that found for fracture networks (Peacock and Sanderson, 2018 & references therein), between the two may not always be preserved/identified, rendering difficulty to constrain the relative temporal relation between the two shear events. Hence, unless established independently using alternative techniques viz., geochronology (Oriolo et al., 2018) or petrology, an absence of cross-cut relation is often assumed to indicate that the structures are coeval (Langille et al., 2010; Little et al., 2013) (see A.2, A.3 in Appendix). Below we explain the available models for OSS produced in a single deformation phase.

##### 4.1.1. Fracturing of a layered body (Fig. 9)

Numerical models of Cooke and Underwood (2001) reveal that propagation of a mode-I fracture tip (within a dolomitic material) towards and across a bedding contact/interface (blue horizontal line in Fig. 9) can result in OSS on either side of the fracture. The authors show that in the model, wherein sliding as well as opening are permitted along the interface, a reversal in slip sense occurs as the fracture tip meets the contact (Fig. 9a and b). On the other hand, no such reversal occurs if opening along the interface is inhibited (Fig. 9c). Nevertheless, both models demonstrate that the sense of displacement along a plane may vary spatially, and sometimes also temporally.

##### 4.1.2. Ductile deformation of a layered body with heterogeneous rheologies (Figs. 10 and 11)

Ishii (1992) performs 2D numerical experiments to demonstrate the effects of competency contrast, layering orientation, and thickness ratio on a deforming layered (one incompetent layer within two competent layers) rock mass (nearly incompressible and Newtonian rheology) under a general shear regime. The results show that the more viscous (competent) layers deform more coaxially than the less competent ones, as proposed by Lister and Williams (1983) and Treagus (1983). Such a ‘partitioning of non-coaxiality’ can produce reverse shears within the more competent layers if they are oblique (45°) to the shear plane (Fig. 10) and if the rate of extension equals the rate of shear strain. Apart from that, similar but more detailed numerical experiments were conducted by Jiang (1994). The author demonstrated that over time, the sense of rotation within a single layer might reverse, even under a constant bulk flow. Stronger the coaxiality and lesser the competency of

a layer, higher the are the chances of shear reversal for that layer. In support of this, Xypolias et al. (2010) report antithetic shearing to be particularly present within the weaker (less competent) mica-rich tectonites (interlayered with more competent quartz-rich tectonites) of the Evia thrust zone, Internal Hellenides, Greece.

Differences in the deformation mechanisms or strain rates (usually varies between  $10^{-13} \text{ s}^{-1}$  -  $10^{-8} \text{ s}^{-1}$  at micro-scale; Fagereng and Biggs, 2019) between adjacent domains of a rock mass is a direct consequence of the pre-existing (Pennacchioni and Mancktelow, 2018) or syn-kinematic variations in rheologic properties (Hippert and Tohver, 1999). This can induce OSS at the domain boundaries, along which the shear strain is maximum due to localization during progressive deformation (Mancktelow and Pennacchioni, 2005) (Fig. 11) (Section 4.1.2). Hippert and Tohver (1999) also report that such a deformation partitioning is more likely to occur for low-to medium-grade tectonites with prominent compositional layers. They further propose that if a multi-layered rock body, for example, banded mylonite, is subjected to ‘simple’ and ‘general’ shear, OSS possibly develops only in the latter scenario (Fig. 11).

##### 4.1.3. Back-rotation under sub-simple shear regime (Fig. 12)

Back rotation (opposite to the bulk shear direction) of porphyroclasts is possible under sub-simple shear when the rotational component is less than that for simple shear (Fig. 12) (Simpson and De Paor, 1993; Fossen and Cavalcante, 2017). The authors point out that during such a deformation, a material line can pro- and retro-shear. However, back-rotated clasts often display forward sheared tails or shadow zones (Fig. 12c; also see fig. 8a of Xypolias, 2010). But the absence of such tails (Passchier, 1982; Schmid and Podladchikov, 2005) such as in Fig. 7i2 may give an impression of OSS. Passchier and Simpson (1986) suggest that whether back-rotation has occurred or not can be checked in shear zones only if the orientations of (a) the instantaneous stretching axis, and (b) the flow plane, before rotation of the porphyroclast, have been established confidently. Moreover, whether a porphyroclast will rotate backward or not depends on its axial ratio (R) as well. For

$$R > [(1 + (1 + 4S_r^2)^{1/2}) (2S_r)^{-1}] \quad (1)$$

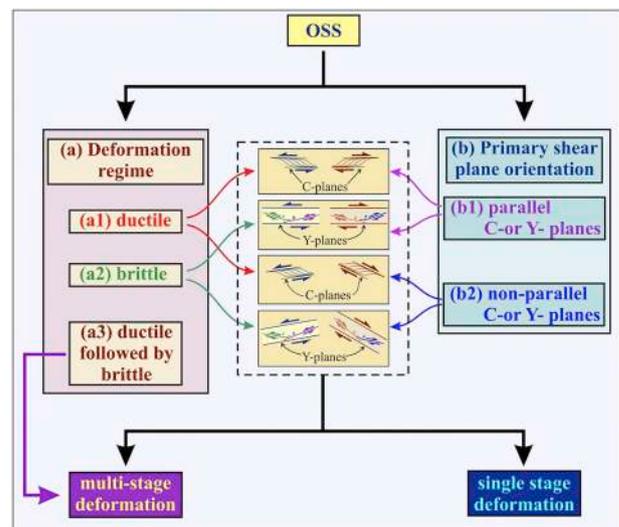
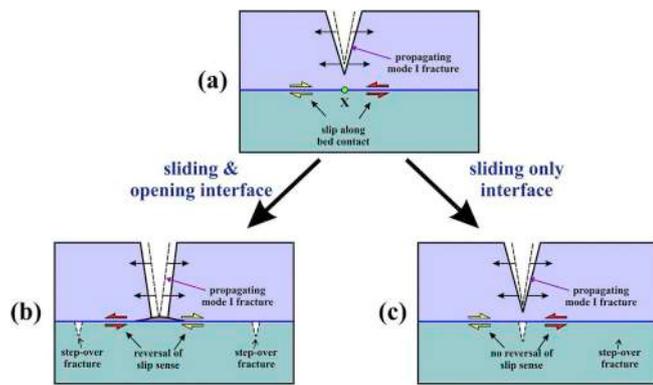
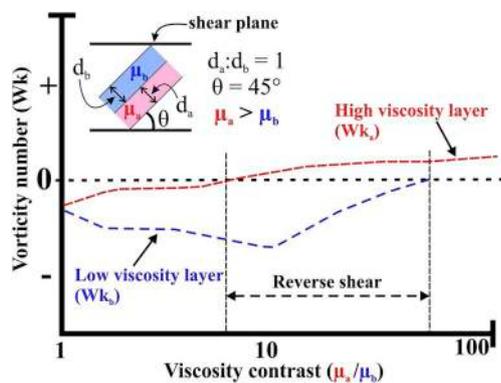


Fig. 8. Flowchart depicting the categorization of OSS based on the deformation regime and orientation of the primary shear planes (first referred at Section 3). Shear sense indicators with parallel (b1) or non-parallel (b2) primary shear planes in both ductile (a1) and brittle (a2) regime can develop due to both single (Figs. 9,11,13-15) or multiple (Figs. 16,19,21,22) phases of deformation. In contrast, category a3 will only be possible in a multi-stage deformation since it involves two different regimes.



**Fig. 9.** Results of two numerical experiments (first referred at Section 4.1.1) showing the interaction between fracture propagation and the bedding interface of moderate strength with coefficient of friction = 0.65; cohesion (c) = 3.25 MPa. Material properties of the body resemble dolomite. (a) The fracture tip is ~3 cm away from the contact. The shear senses imposed at the bed contact are opposite on either sides of the propagating Mode-I fracture. Shear sense reversal at X (green circle) induced by the stress field near the fracture tip maximizes the magnitude of tensile stress there. (b) When both sliding and opening along the contact is allowed under a tensile stress ( $T$ ) = 5 MPa, step-over fractures develop such that the shear sense reverses across the fracture (red and yellow half-arrows). (c) For an interface that allows only sliding at  $T = 0$  MPa, fractures migrate across the contact. Unlike (b), no shear reversal occurs. Reproduced from figs. 9 and 11e of [Cooke and Underwood \(2001\)](#).



**Fig. 10.** Results of the 2D numerical experiments performed by [Ishii \(1992\)](#), and demonstrates the control of viscosity inhomogeneity on the origin of OSS (first referred at Section 4.1.2). Two layers of equal thickness ( $d_a = d_b$ ) at angle of  $45^\circ$  to the shear plane promotes opposite flow vorticities, if the viscosity contrast ( $\mu_a/\mu_b$ ) exceeds 2. Reproduced from fig. 5b of [Hippert and Tohver \(1999\)](#). Also see [Mulchrone and Mukherjee \(2015\)](#).

where  $S_r$  is the ratio of the rate of pure shear to simple shear, the porphyroclast rotates opposite to that of the imposed simple shear ([Ghosh and Ramberg, 1976](#)). Back-rotation, however, is not limited to porphyroclasts, asymmetric boudins can also undergo the same process when the pure shear component either equals or exceeds that of the simple shear ([Marques et al., 2007](#)).

#### 4.1.4. Block rotation under simple shear (Fig. 13)

[MacLeod and Murton \(1995\)](#), to explain both left- and right-lateral motion along the Southern Trodos transform fault zone (Cyprus), came up with a geometric model demonstrating simultaneous opposite shears. This model consists of several rectangular/square blocks with sizes much smaller than the shear zone width. Rotation of the blocks, synthetic to the imposed bulk shear sense, can flip slip senses at the contacts between the blocks ([Fig. 13](#)). The resulting slip planes, although oblique ( $\sim 15^\circ$ ) to the shear zone boundary, are mutually parallel. This model, however, is somewhat similar to the bookshelf

gliding/domino model that has so far been observed at (i) micro-scale within feldspar porphyroclasts (e.g. [Vernon, 2018](#); [Passchier and Trouw, 2005](#); [Fossen, 2016](#)), (ii) meso-scale (e.g. [Branney and Kokelaar, 1994](#); [Carmignani et al., 1994](#); [Schulte et al., 2014](#); [Zhao et al., 2017](#); [Mukherjee and Khonsari, 2018](#)) and, (iii) mega-scale (e.g., [Freund, 1970](#); [Cowan et al., 1986](#); [Sigmundsson et al., 1995](#); [Stewart and Argent, 2000](#); [Szeliga, 2010](#); [Zuza and Yin, 2016](#)). Interestingly, using ‘photo-geological mapping’, [Yin and Pappalardo \(2015\)](#) speculate the presence of bookshelf faulting in the Enceladus, one of the moons of the planet Saturn!

#### 4.1.5. Folding (Fig. 14)

The shear sense indicators, on the two limbs of a Class 1B buckle fold ([Fig. 14a](#) and [b](#)), exhibit OSS ([Bell and Johnson, 1992](#); [Ghosh, 1993](#); [Twiss and Moores, 2007](#); [Fossen, 2016](#)). Similar reversals in shear senses, across the axial plane, are also exhibited by (active-) sheath folds ([Alsop, 1994](#); [Alsop and Carreras, 2007](#), [Alsop and Holdsworth, 2004, 2006, 2007, 2012](#)), which are ubiquitous across a variety of tectonic settings ([Alsop et al., 2007](#); [Searle and Alsop, 2007](#)).

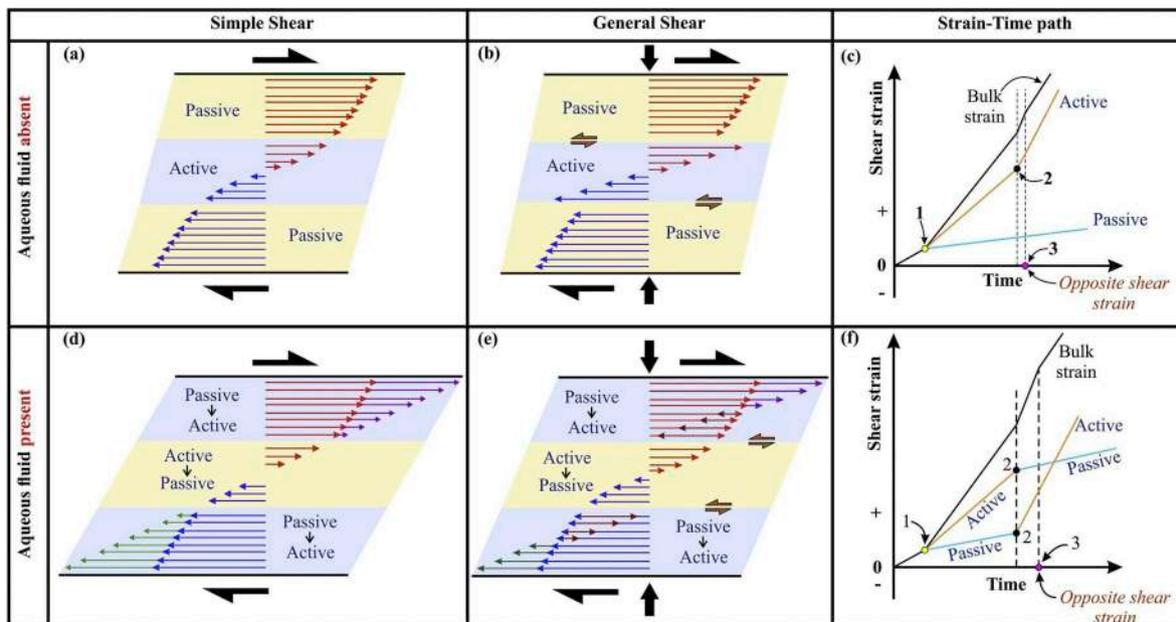
However, in almost all such scenarios, the corresponding shear planes are non-parallel except the isoclinal folds. [Sengupta and Chatterjee \(2015\)](#) report such an example from the quartzofeldspathic mylonites (amphibolite facies) of Phulad Shear Zone of the Delhi Fold belt (Rajasthan, western India). Several stages of deformation following the formation of a recumbent/isoclinal fold may obliterate the hinge zone, thereby rendering an explanation of OSS difficult ([Fig. 14c1,c2](#)). Re-folding of subhorizontal ([Fig. 14d1,d2,d3](#)) or sub-vertical ([Fig. 14e1,e2,e3](#)) shear zones also result in shear reversal ([Goscombe and Trouw, 1999](#)). Recumbent folds are prominent structures, especially in the orogenic belts that undergo multiple deformations ([Bastida et al., 2014](#)). Consequently, OSS in orogens should be carefully studied to avoid any misinterpretation regarding their genesis.

#### 4.1.6. Zipping of ductile shear zones (Fig. 14)

Zipper junctions are defined as locations where two shear zones with opposite slip senses appear to diverge from the main branch, or merge (also see [Koyi et al., 2013](#) where the authors have used the term ‘wakes’ for merged shear zones exhibiting OSS) to form a third branch ([Passchier and Platt, 2017](#)) called the branchline. The former is known as ‘opening zipper junction’ (OZJ), whereas the term for the latter is ‘closing zipper junction’ (CZJ) ([Fig. 14f1-h2](#)). Depending upon the amount and the slip sense along the branchline, these zipper junctions can be classified as (i) dextral (D)/sinistral (S) OZJ ([Fig. 14f1,g1](#)), (ii) dextral (D)/sinistral (S) CZJ ([Fig. 14f2,g2](#)), (iii) pure (P) OZJ/CZJ ([Fig. 14h1,h2](#)) (where the branchline eventually becomes inactive without any displacement). (i) and (ii) are also called the ‘shear zipper junctions’ (SZJ) ([Fig. 14f1-g2](#)). [Froitzheim et al. \(2006\)](#) use the term ‘extraction faults’ for the abandoned branch of (iii). CZJ was also identified previously by [Bell \(1981\)](#) and [Hudleston \(1999\)](#). Moreover, [Rosenberg & Kissling \(2013\)](#) referred to OZJ with a horizontal branchline (in a compressional regime) as ‘wedge’. It is crucial to note that the SZJs are expected to exhibit overprinting of shear senses close to the junction and beside any one of the flanks of the branchline (shown by blue colour zones in [Fig. 14f1-g2](#)). Besides, even more complex overprinting structures may develop if the junction moves laterally with respect to the branchline. However, such features are only predicted and have not been observed in the field so far. The following pair of mega-fault systems have so far been identified as zippers ([Platt and Passchier, 2016](#)): North- and East-Anatolian (Turkey) (see Section S.30 in the supplementary file S1) (CZJ), Altyn-Tagh and Karakoram (Tibet) (SCZJ), San Andreas-Garlock (USA) (DCZJ), and Periadriatic (Austria) (see Section S.24 in the supplementary file S1) (DCZJ).

#### 4.1.7. Differential stretching of faulted wall rocks (Fig. 15)

These are active faults within a rock body that flows/deforms in



**Fig. 11.** Possibilities that may give rise to OSSs in a multi-layered rock (first referred at Section 4.1.2). Active layer represents the domain (e.g., quartz rich) that absorbs maximum shear strain, and hence deforms more than the passive domain (e.g., feldspar rich). Under anhydrous conditions (top row), unlike the simple shear scenario (a), shear senses at the domain boundaries are opposite (brown half arrows) to that of the overall shear (black colored half-arrows) in (b). The strain-time path for the same is shown in (c), where the individual domains start deforming differently from point 1 (yellow circle). At point 2, the strain rate for the active domain keeps increasing. Point 3 represents the situation where the strain-rate contrast between two domains is so high that opposite shears begin to develop at the domain boundaries. The scenario is quite different for water-rich conditions. Here, the initially passive domain becomes the active one (e.g., muscovitisation of feldspars, [Wibberley & McCaig, 2000](#)) and vice-versa (because quartz is stronger than muscovite) with progressive deformation. Consequently, opposite shears develop at the domain boundaries (arrows in (e)). However, unlike the previous case, OSS originates at a much lower strain-rate contrast. Redrawn after figs. 6–8 of [Hippert and Tohver \(1999\)](#). Examples: figs. 1 and 4 of [Hippert and Tohver \(1999\)](#).

response to far-field stress regime. It can be either a positive or a negative stretching fault depending on whether the rocks on either side lengthen or shorten, respectively ([Means, 1989](#)). [Means \(1990\)](#) further divide them into Type I (Fig. 15a,b,c) and Type II (Fig. 15d,e,f) categories. For the former, the stretching rate is identical on either side of the fault, whereas for the latter it differs. The author suggests that such variation or gradient in the stretching reverses shear senses along the fault. That is, slip reversal is only exhibited by the Type II stretching (Fig. 15d). The amount of offset may also vary between different marker sets (Fig. 15d). These commonly occur at deep crustal levels under ductile conditions. [Pennacchioni \(2005\)](#) too reported displacement gradients along ductile shear zones (S Alps, Italy), although without any prominent reversal in shear sense.

#### 4.2. Multi-stage deformation (Figs. 16–23)

Overprinting of deformation events often results in cross-cut relation between two sets of shear fabrics ([Passchier and Trouw, 2005](#); [Fossen, 2016](#)). Subsequent deformation events obliterate the older structures (see A.3 in Appendix), and hence evidences of younger deformation get preserved selectively ([Lenze and Stöckhert, 2007](#)). Our focus lies in shear indicators with (sub-) parallel shear planes. Here, we list various existing kinematic models that induce reverse shear sense, and hence, may give rise to OSS with/without prominent cross-cut. Although the underlying mechanism, for the different deformation/ tectonic processes described under sec. 4.2.2–4.2.5, are the same as that of Sec. 4.2.1 i.e., reactivation of pre-existing fault(s) with an opposite sense, they have been presented as separate entities so that the reader can easily correlate them to the possible tectonic settings.

##### 4.2.1. Fault reactivation/inversion tectonics (Figs. 16–18)

Reactivation of older faults/fault zones, with same (kinematic reactivation) or different (geometric reactivation) sense of displacement ([Holdsworth et al., 1997](#)) is a widespread tectonic phenomenon since faults mark low cohesion ([Sibson, 1985](#) and references therein; [White et al., 1986](#) and references therein; [De Paor and Eisenstadt, 1987](#); [Sibson, 2001](#)). However, older faults may increase cohesion temporally and hence inhibit reactivation ([Nortje et al., 2011](#)). [Holdsworth et al. \(1997\)](#) propose two separate definitions of reactivation depending on its recent activity. For non-neotectonic reactivations, the authors suggest a temporal gap of >1 Ma between the two deformation phases (e.g., Lewisian Shear Zone (Scotland), [Lei and Park, 1993](#); Malpica-Lamego Ductile Shear Zone (Spain), [Lenze and Stöckhert, 2007](#); see Sections S.17 & S.19). On the other hand, neotectonic reactivations involve structures, which originate before the inception of the tectonic regime that induce reactivation (e.g., NE Brazil, [Bezerra et al., 2014](#); Central Andes (Argentina), [Sagripanti et al., 2015](#)). The authors also categorize various criteria to identify reactivation viz., structural, geochronologic, stratigraphic, and neotectonic. The structural criterion, in particular, includes slip reversal along shear planes/zones. However, multiple (i.e., >2) slip directions do not reliably indicate reactivation ([Holdsworth et al., 1997](#)) because they may also arise due to (i) plate-scale partitioning of strain during oblique convergence ([Tikoff and Teysier, 1994](#)), and (ii) localized variations in a regionally constant stress regime ([Cashman and Ellis, 1994](#)).

[White et al. \(1986\)](#) reviews that fault reactivation primarily depends on the two major factors: (a) orientation of the fault plane/zone with respect to the imposed stress vectors. Planes oriented favorably re-activate selectively. (b) Weakness of the mylonitic rocks within the fault

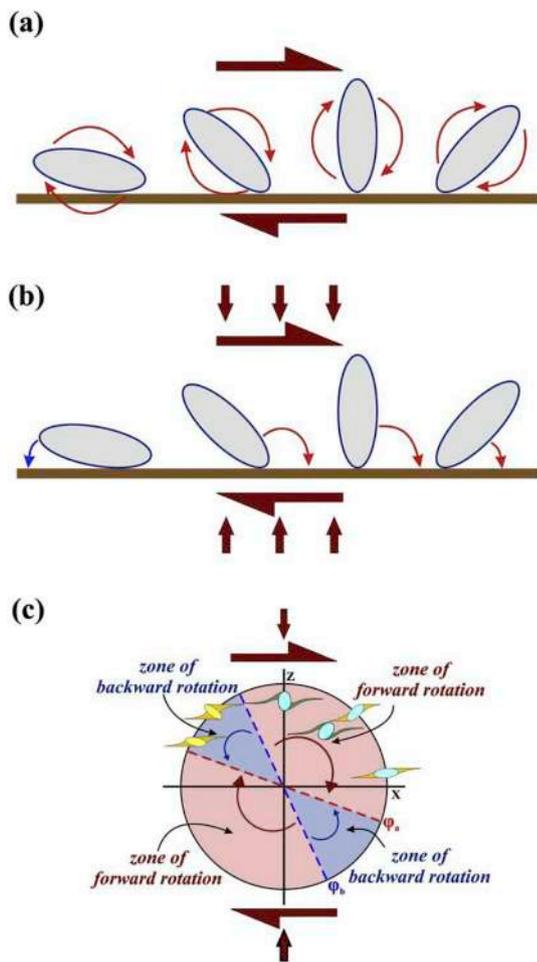


Fig. 12. Porphyroclasts rotate under (a) simple and (b) general shear. Back-rotation is possible in the second case (first referred at Section 4.1.3). Reproduced from fig. 26c and d of Fossen and Cavalcante (2017). (c) Ranges of positive (forward) and negative (backward) senses of rotation for a porphyroclast with aspect ratio (= long axis/short axis) > 1.62. The porphyroclast, with an initial orientation  $\phi_0$  (angle between the long-axis of the porphyroclast and the z-axis) lies in the red zone. It will rotate forward and assume a stable position for  $\phi_0 = \phi_a$ . Otherwise, if  $\phi_a < \phi_0 < \phi_b$  (i.e., in the blue zone), the porphyroclast rotates backward. The types of mantled porphyroclasts to be expected in each of the zones are also shown. Compiled and reproduced from fig. 17 of Ghosh and Ramberg (1976) and fig. 27 of Fossen and Cavalcante (2017).

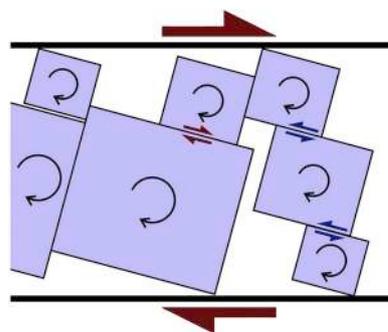


Fig. 13. Schematic model of fig. 4 of MacLeod and Murton (1995) to explain OSS in the Southern Troodos transform fault zone (Cyprus) (first referred at Section 4.1.4). Block rotations within a dextral shear zone can result in both dextral (brown half-arrows) and sinistral (blue half-arrows) shear at the contacts between the different blocks.

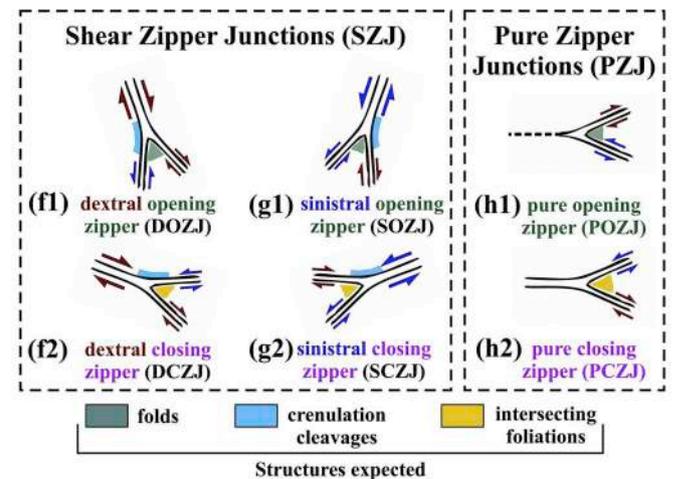
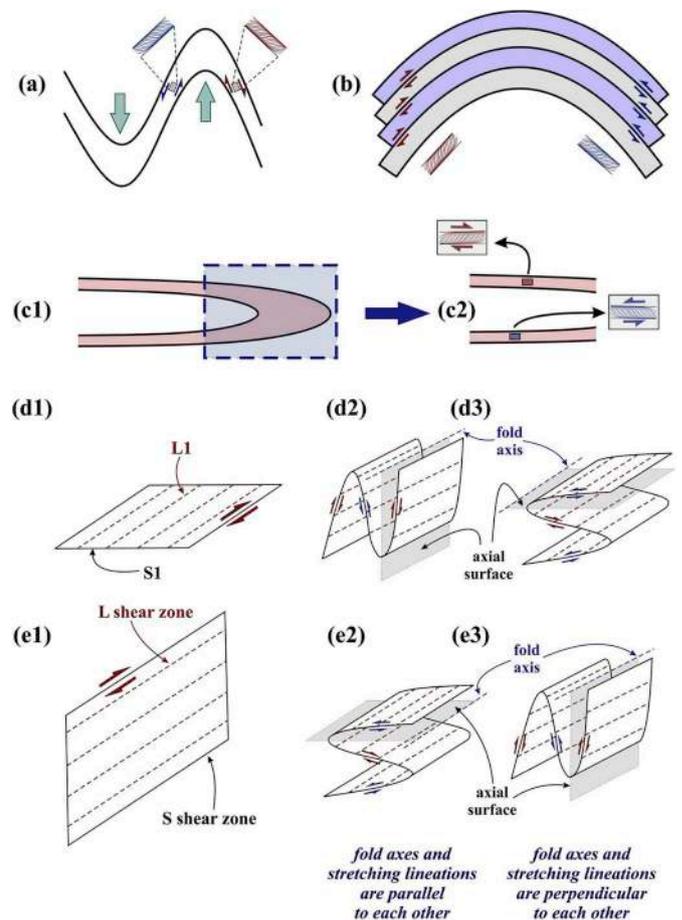
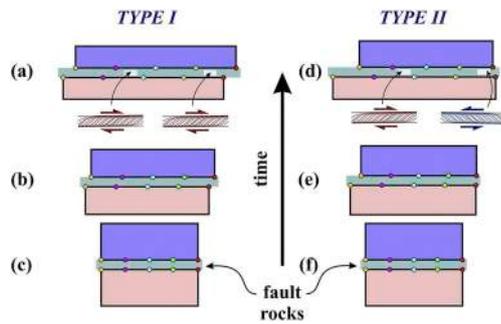
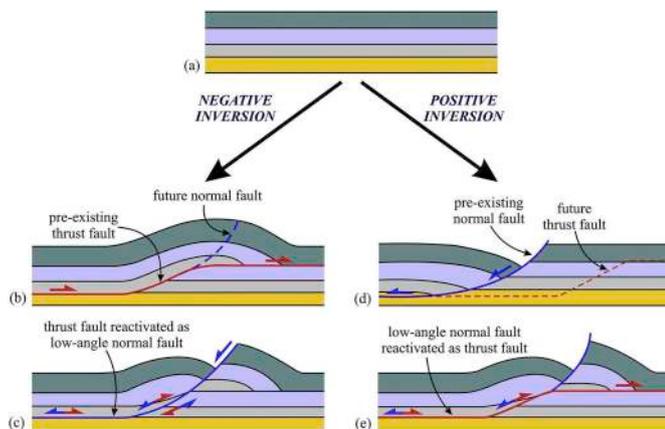


Fig. 14. Folds and zipper junctions (first referred at Sections 4.1.5 and 4.1.6). Conflicting shear senses on the limbs of (a) buckle fold, and (b) flexure-slip fold (first referred at Section 4.1.5). (c1,c2) When the hinge zone of an isoclinal recumbent fold obliterates by intense deformation, OSSs appears one above the other. This can confuse if the earlier generation fold cannot be ascertained from other field evidences (Sengupta and Chatterjee, 2015). Refolding of shear zones (d1-d3) sub-horizontal, (e1-e3) sub-vertical. Shear (f1-g2) and Pure (h1-h2) zipper junctions (Platt and Passchier, 2016; Passchier and Platt, 2017). The blue colored zones are the ones where overlap/overprinting between the two opposite shear senses (shown by the half-arrows) occur. The possible structures that one may expect at or near the zipper junctions are also mentioned. Reproduced from (a) fig. 13 of Bell and Johnson (1992); (d1-e3) figs. 2 and 3 of Goscombe and Trouw (1999). (f1-h2) figs. 4 and 7 of Passchier and Platt (2017). Examples: (a) fig. 12.22 of Fossen (2016), (f1-g2) fig. 8 of Passchier and Platt (2017).



**Fig. 15.** Stretching faults (first referred at Section 4.1.7). (a,b,c) *Type I*. Stretching rates of both the upper and the lower blocks are similar. Offset (distance) between pink and green circles in the upper block is equal to that in the lower block. No shear reversal along the fault/shear zone (green zone). (d,e,f) *Type II*. Stretching rate of lower block greater than that of the upper block. Variation in the offset between circles is discernible. Distance between pink and green circles in the upper block is less than that in the lower block. Shear reversal (dextral between pink and blue circles, and sinistral between green and red circles) along the fault/shear zone. Redrawn from figs. 4 and 5 of Means (1990).



**Fig. 16.** Inversion tectonics in a sedimentary basin (first referred at Section 4.2.1). (a) Sequence of beds after deposition. (b) Folding of the beds over the ramp. (c) Normal faulting under extension. (d, e) Positive Inversion, where extension precedes compression. Two-headed half-arrows indicate zones where evidences of both contraction and extension can be found. Reproduced from fig. 1 of Tavarnelli (1999).

zone. The weakest planes reactivate preferably. Analog and 2D numerical models of Marques and Nogueira (2008) and Ruh and Vergés (2018), respectively, show that frictional weakening (the former introduced lubricant along the fault, whereas the latter reduced the value of internal friction angle) of faults can ultimately reactivate them. Faults, not favorably oriented with respect to the stress axes, may also reactivate due to fluid-induced low cohesion of the fault zone rocks (Nortje et al., 2011 and references therein; Misra and Mukherjee, 2015).

Sedimentary basin inversion is one of the most common manifestations of fault reactivation due to orthogonal switch in the regional stress field (Turner and Williams, 2004 and references therein). Both pre- and post-orogenic extensions, termed as positive and negative inversions (Figs. 17 and 18), respectively, are possible (Bond and McClay, 1995; Constenius, 1996; Butler et al., 2006 and references therein). Tectonic inversion results in obliteration/alteration of some previous structures (Hayward and Graham, 1989). Consequently, deciphering the sequence of events becomes difficult (Cooper et al., 1989). However, Cooper et al. (2010) point out a few key features to identify tectonic inversion viz., (i) presence of a syn-rift sedimentary sequence, (ii) an asymmetric monocline facing the footwall rocks, and (iii) varied

elevations of the marker bed in the hanging wall with respect to their regional elevation.

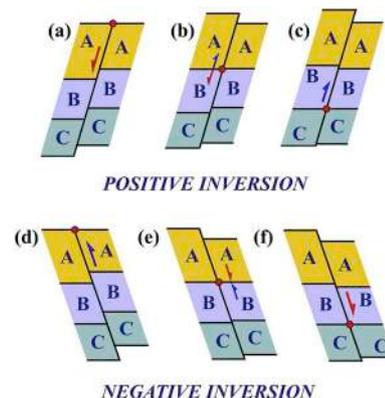
Besides, Redmond (1972) introduces the concept of ‘null-point’. It is the point of no apparent movement along the fault, post-inversion, irrespective of the net-slip. The null point appears to drift down-section along the fault trace due to inversion (Fig. 17) (De Paor and Eisenstadt, 1987; Williams et al., 1989; Cooper and Warren, 2010).

Tavarnelli (1999) utilize overprinting of kinematic indicators to establish repeated inversions in the Umbria-Marche Range, Italy. The author further discusses that the two processes: shear sense reversal along a fault plane and collision followed by extension, can fold older shear bands and weaken shear zones. Increasing intensity of the later deformation may unfold shear bands - as they eventually enter the extensional field of the instantaneous strain ellipse (fig. 3c of Lloyd, 2018) - thereby destroying early deformation fabrics (Fig. 9 of Wennberg, 1996). ‘Shear box experiment’ of Wennberg (1996) show that the angle between the S- and the C- planes ( $\beta$ ) as well as the perpendicular distance between the two adjacent S-planes (d), both produced from the earlier deformation, decrease as shear strain elevates after reversal of shear sense (Fig. 18). A simple analysis using 2D drawing program by Bigi (2006) reveal that whether an earlier fabric will rotate or not depends upon the angle between the S- and the C-planes (SAC) produced during the first deformation phase. The author shows that for SAC  $\sim 30^\circ$ , opposite shear may impart an extension perpendicular to the S-planes along with rotation. But, for an initial SAC  $\sim 10^\circ$ , the S-plane shortens, i.e., it behaves like an active marker, without any rotation.

#### 4.2.2. Subduction and exhumation (Figs. 19 and 20)

Exhumation of the subducted lithosphere due to extension and/or buoyancy can switch shear sense at the upper boundary of the channel through which extrusion happens (Fig. 19). During subduction, the rocks at the upper margin of the lithosphere shears in a top-to-foreland (up) thrust sense. Exhumation, on the other hand, causes hinterland-directed normal motion. Similar OSS have been reported from the UHP terrane of the Norwegian Caledonides (Brueckner and Cuthbert, 2013 and references therein).

The channel flow model (Godin et al., 2006 and references therein), proposed for exhumation of (a) HP mid-crustal rocks of the Higher Himalayan Crystallines (e.g. Beaumont et al., 2001, 2004, 2006; Grujic et al., 2002; Jessup et al., 2006; Searle et al., 2010; Langille et al., 2010 and references therein; Mukherjee and Koyi, 2010a,b), and (b) UHP rocks of the Tso Moriri Crystallines (Beaumont et al., 2009; Mukherjee and Mulchrone, 2012), also explain shear reversal along the upper



**Fig. 17.** Migration of the null point (red circle) along the fault trace during both (a,b,c) positive (normal movement  $\rightarrow$  reverse movement) and (d,e,f) negative (reverse movement  $\rightarrow$  normal movement) inversion (first referred at Section 4.2.1). Half-arrows indicate the sense of movement. Presence of two oppositely directed half-arrows (b,e) indicate shear reversal. Reproduced from fig. 1 of De Paor and Eisenstadt (1987).

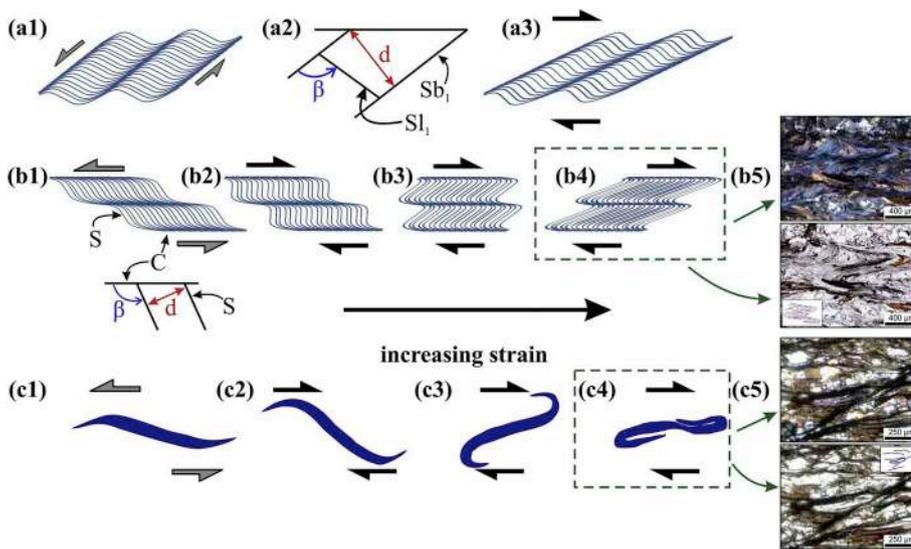


Fig. 18. Overprinting of deformations and variations in the morphologies (first referred at Section 4.2.1). (a1-a3, b1-b4) shear bands, (b5) Thin-section images (both plane- and cross-polarized) of a schistose sample (amphibolite facies, Early Cambrian in age; Epard & Steck, 2008 & references therein) from the Zildat Shear Zone (WGS 84 GPS coordinates: 33.24 N, 78.36 E; northern margin of the Tso Morari Crystallines, Ladakh Himalaya, India) showing crenulations. The photomicrographs in plane-polarized also contain sketches as inset, and (c1-c4) isolated sigmoid quartz vein. (c5) Thin-section photographs (both plane- and cross-polarized) of hook-shaped aggregate of quartz grains. Initial sinistral shearing was followed by dextral shear produced the hook shape (Location: Zanskar Shear Zone). The parameters “ $\beta$ ” (angle between the C- and S-planes, measured counter-clockwise from the C-plane) and “ $d$ ” (perpendicular distance between adjacent S-planes) tend to decrease as strain elevates when an initial S-C fabric (produced from sinistral shear) is overprinted by dextral shear. Redrawn after figs. 8a and b of Wennberg (1996).

portion of the exhuming viscous channel (Fig. 20). Slab rollback is another mechanism that accommodates ductile extrusion of the subducted rocks (Tapponier et al., 1982; Armijo et al., 1999; Brun and Faccenna, 2008; Hacker and Gerya, 2013; Sternai et al., 2014) by inducing extension on the over-riding plate (Sternai et al., 2014; Schlunegger and Kissling, 2015; Chen et al., 2016; Cassel et al., 2018), which can also trigger gravitational collapse (Section 4.2.4) (Rey and Müller, 2010). Hence, OSS should also be expected from terranes extruded as a result of slab rollback.

4.2.3. Tilting & overturning (Fig. 21)

This model explains the coexistence of OSS without any change in

regional stress regime. As per this model (Fig. 21), tilting of rocks or fault planes in a sedimentary basin (i) against or (ii) towards the transport direction, followed by overturning can reverse shear sense. Interestingly, case (i) (Fig. 21b and c) would result in a single deformation event leading to OSS. Case (ii) would produce two deformation events leading to OSS (Fig. 21d and e). Lim and Cho (2012) propose a model, both cases (i) and (ii), to explain the OSS in the Ocheon area, Chungam Basin, South Korea (Detail in Section 4).

4.2.4. Gravitational collapse (Fig. 22)

Bell and Newman (2006) explain how episodic gravitational collapse, horizontal spreading and vertical shortening during convergence

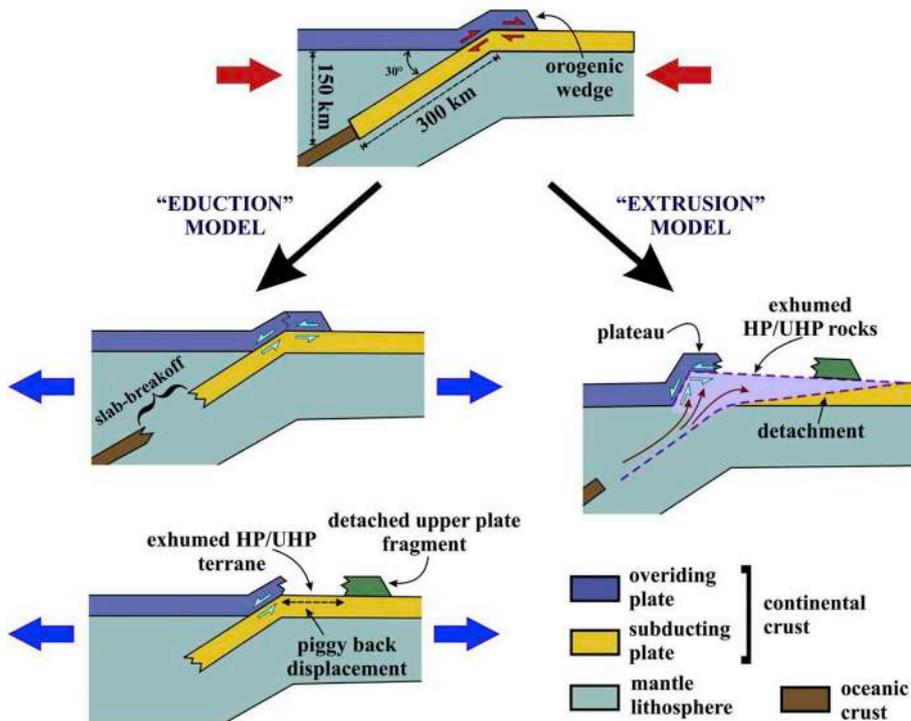
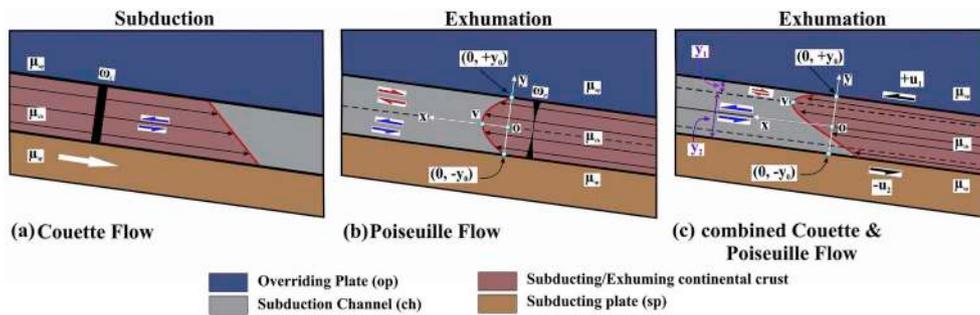


Fig. 19. OSS in an exhumed terrane (first referred at Section 4.2.2). Top-to-foreland shear (red half arrows) on the upper part of the subducting continental crust is replaced by a top-to-hinterland shear during extension (eduction model) or buoyancy (extrusion model) driven exhumation. Redrawn after fig. 1 of Brueckner & Cuthbert (2013).



whereas the two zones of opposite shear have equal thickness i.e.  $y_0$  unit (half the width of the channel). The width of the black bars denotes the variation of vorticity values across the subduction channel.  $\mu_{op}$ ,  $\mu_{ch}$  and  $\mu_{sp}$ : viscosities of the overriding plate, subduction channel and the subducting plate, respectively ( $\mu_{op} > \mu_{ch} < \mu_{sp}$ ). Velocity profiles: (b)  $U_x = 0.5 \mu^{-1} [\partial P/\partial x - dg \sin \theta] (y^2 - y_0^2)$ , (c)  $U_x = 0.5 \mu^{-1} [\partial P/\partial x - dg \sin \theta] (y^2 - y_0^2) + 0.5 \{y y_0^{-1} (U_1 + U_2) + (U_1 - U_2)\}$  (Schlichting and Gersten, 2017). Thin arrows: velocity vectors, red line and curve: velocity profile; half-arrows: shear sense. Vide Mulchrone and Mukherjee (2015, 2016, 2019) for more detailed discussions.

Fig. 20. Channel flow model (redrawn after fig. 2 of Godin et al., 2006) (first referred at Section 4.2.2). (a) Couette Flow during burial, (b) Poiseuille flow for exhumation, and (c) combined Couette & Poiseuille flow (redrawn after fig. 1e of Mukherjee, 2012b).  $\omega_c$ ,  $\omega_p$ : vorticity for ideal Couette & Poiseuille flows, respectively. Thickness of zones:  $y_1 = y_0 - 0.5 y_0^{-1} \mu(U_1 + U_2) (\partial P/\partial x - dg \sin \theta)^{-1}$ ,  $y_2 = y_0 + 0.5 y_0^{-1} \mu(U_1 + U_2) (\partial P/\partial x - dg \sin \theta)^{-1}$  (Schlichting and Gersten, 2017). Thickness 'y<sub>2</sub>' is more than 'y<sub>1</sub>' in (c).

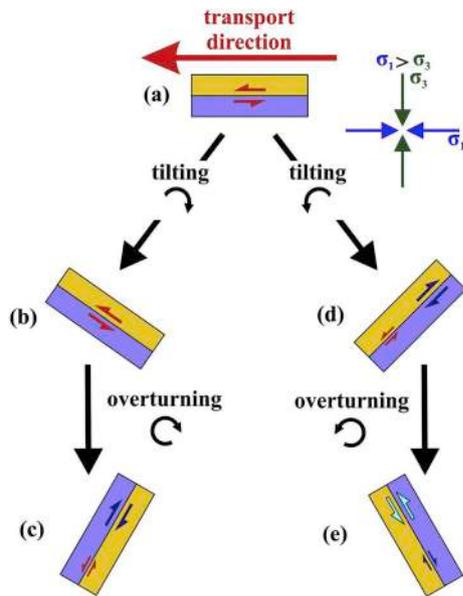


Fig. 21. Development of OSS during tilting and overturning of lithounits (first referred at Section 4.2.3). Under a constant state of stress (denoted at top right corner), and transport direction (towards left), shear senses can get overprinted during tilting and turning of the rock units. Redrawn after fig. 11 of Lim and Cho (2012).

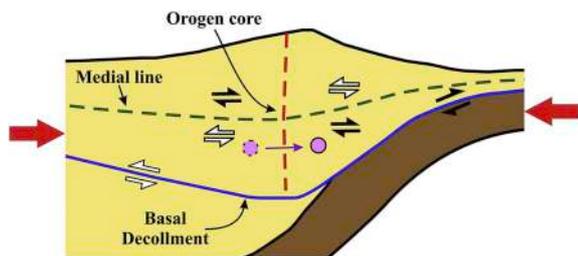


Fig. 22. Crustal thickening and gravitational collapse in an orogen (first referred at Section 4.2.4). Continued thickening of the continental crust followed by an episodic collapse due to sub-horizontal extension during an ongoing compression can flip shear senses at different parts of an orogen. The sample (pink circles, dashed boundary denotes the starting position) initially present to the left of the orogen core (red dashed line) may migrate towards foreland due to continued convergence, and hence will record different shear senses (white and black half-arrows). Horizontal stretching is highest along the medial line (green dashed line) during gravitational collapse. Compiled from fig. 18b of Bell & Newman (2006) and figs. 8c and d of Bell and Sapkota (2012).

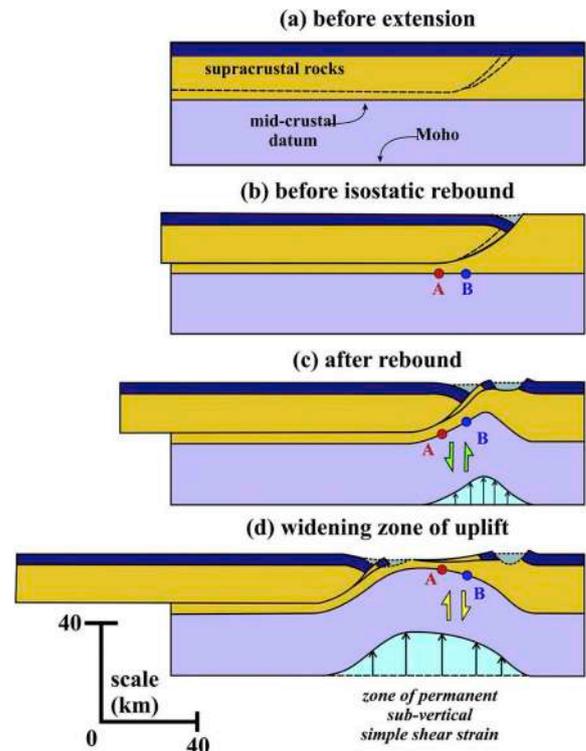


Fig. 23. Shear sense reversal along a vertical plane due to isostatic rebound (first referred at Section 4.2.5). Continued extension and widening of basin (a,b) creates negative load, which compensates by the topographic uplift of the footwall rocks. Migration of the uplifted zone in the direction of extension reverses the shear sense (c,d). Reproduced from fig. 4 of Wernicke and Axen (1988).

in orogens cause OSS across the orogen core and the medial line – the horizontal line perpendicular to orogenic trend along which lateral spreading is maximum during a gravitational collapse - of the orogen (Fig. 22). A marker initially in the hinterland of the core, will undergo OSS once it moves beyond the core and towards the foreland. Horizontal shortening-induced crustal thickening may gravitationally collapse the over-thickened crust (Liu et al., 2000; Rey et al., 2001 and references therein). Bell and Newman (2006) and Bell and Sapkota (2012) suggest such a model to justify the complexity of the inclusion trail geometry within the porphyroblasts from multiply deformed rocks of the New England Appalachians (eastern USA) and the Kathmandu Nappe (central Nepal), respectively. Whether the Kathmandu Nappe rocks belong to the Lesser Himalayan Sequence (LHS) (e.g. Upreti and Le Fort, 1999; Hodges, 2000; Yin, 2006) or the Higher Himalayan

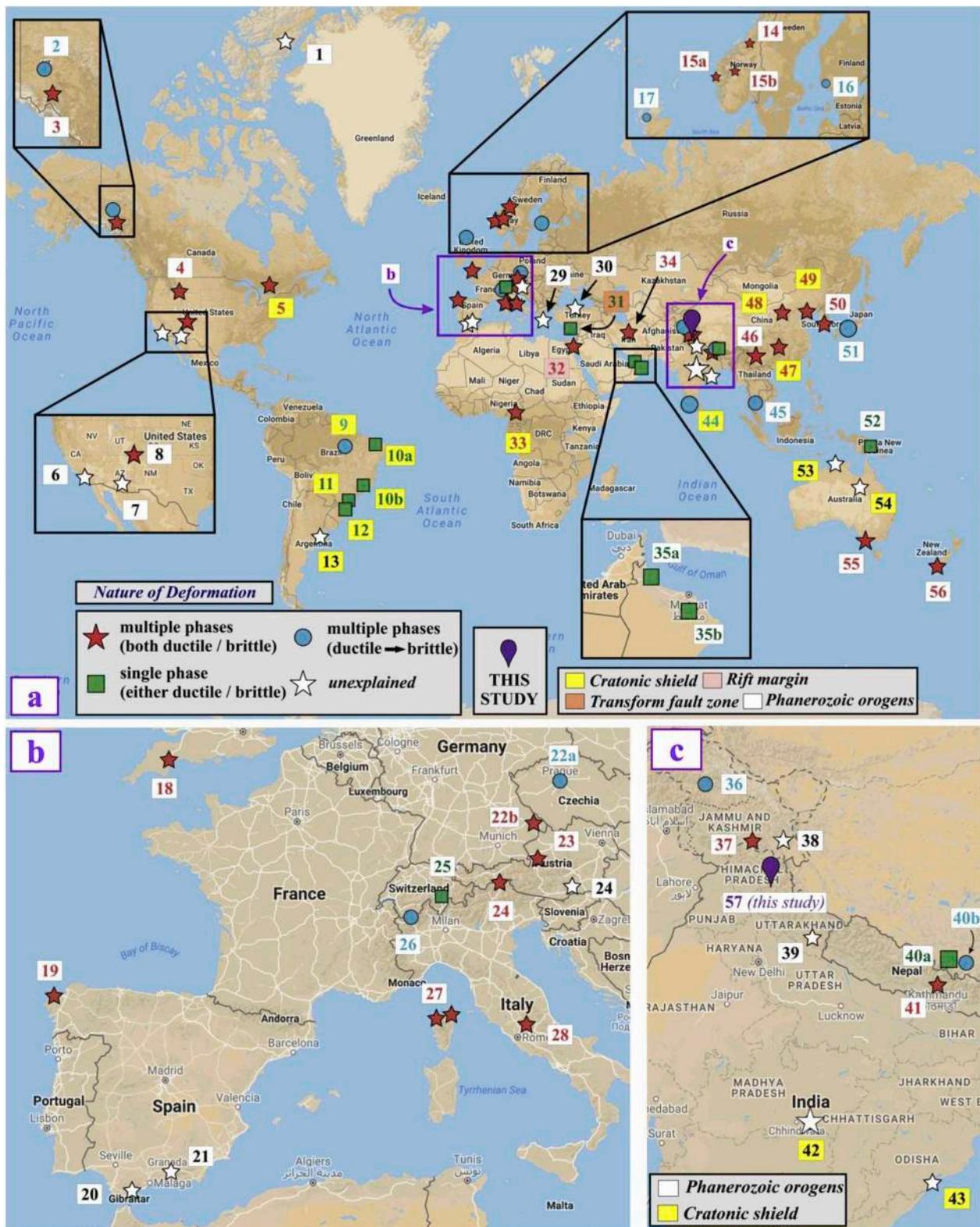


Fig. 24. Global distribution of OSS reported in the literature, and compiled in this study (first referred at Section 6). The numbers corresponding to each location denote the “Sl no.” in Table 3. Yellow, pink, orange, and white colored boxes indicate that the locations belong to Precambrian shields, rift margin, transform fault zone and Phanerozoic orogens, respectively. The map is taken from Google My Maps ([www.google.com/mymaps](http://www.google.com/mymaps); accessed on December 2018).

Crystallines (HHC) (e.g. Grasemann et al., 2003; Robinson et al., 2003) or the Tethyan Himalayan Sequence (THS) (e.g. Webb et al., 2011; Khanal et al., 2015; Rapa et al., 2016) is still debated.

4.2.5. Isostatic adjustments (Fig. 23)

Shear sense reversal can also occur during isostatic rebound (Kearey et al., 2009) of the footwall and lateral migration of the uplifted portion (Fig. 23). The negative load of the depression/basin created by the extension of the lithosphere uplifts the footwall rocks isostatically.

**Table 3**  
List of locations where OSS have been reported along with the references (Fig. 24). Note: a. Two locations from same terrane have been clubbed under one serial number. The numbers in the map correspond to the 'Sl no.' 'b. Green fonts: OSS due to reorientation of principal stress axes. c. The list has been arranged continent-wise. For the detailed descriptions, refer to "Section S.x" in the supplementary file S1 where 'x' denotes the corresponding 'Sl no.' in this table.

Sl. no	Location	Shear Sense	Nature of kinematic indicators	References	Plausible origin of OSS proposed by corresponding workers
1	Franklinian Basin, Ellesmere Island, Canada	Near-vertical fault	Brittle	De Paor and Eisenstadt (1987)	–
2	Yukon River Shear Zone, Canada	Top-to-ESE (down) → Top-to-WNW (up)	Ductile → Brittle	Parsons et al. (2018) & references therein	reactivation of ductile normal fault (~259–176 Ma) to reverse fault (<176 Ma)
3	Teslin Suture Zone, Canada	Top-to-E (up) → Right lateral translation → Top-to-W (down)	Ductile	Hansen (1992)	subduction related reverse movement followed by normal slip during exhumation
4	Ruby Mountains, USA	Top-to-south (up) → Top-to-north (down)	Ductile	Sousa (2008)	topographic collapse of the thickened orogenic crust
5	Bellefleur Greenstone Belt, Canada	Dextral → Sinistral	Ductile	Tourigny and Schwerdtmer (1991)	orthogonal switching of the principal compressive stress axes from NW-SE → NE-SW
6	Portuguese bend landslide complex, California, USA	Sinistral → Dextral	Brittle	Larue and Hudleston (1987)	–
7	Pinal Peak, USA	Top-to-S (up) & Top-to-N (down)	Ductile	Keop and Hansen (1994)	–
8	Northern Snake Range Mylonite Zone, USA	Top-to-E → Top-to-W	Ductile	Cooper et al. (2010)	shear reversal due to roll over of the mylonites over the hinge as in 'rolling hinge system' of Axen et al. (1995)
9	Itacatúmas Belt, Brazil	Sinistral (Ductile) → Dextral (Brittle) → Sinistral (Brittle) → Dextral (Brittle)	Ductile → Brittle	Pinheiro and Holdsworth (1997)	oscillation of the principal compressive stress axes between NE-SW and NW-SE
10a,b	Senador Pompeu shear zone and Bação complex, Brazil	Dextral & Sinistral	Ductile	Hippert and Tohver (1999)	deformation induced rheological changes within different domains led to OSS at domain boundaries
11	Quadrilátero Ferrífero, SE Brazil	Top-to-N & Top-to-S; Top-to-E & Top-to-W	Ductile	Hippert and Davis (2000)	folding (flexure-slip) resulted in bedding parallel shear zones with slip sense opposite to that of at the margins due to exhumation of gneissic dome
12	Major Gercino Shear Zone, Brazil	Dextral & Sinistral	Ductile	Hueck et al. (2018) & references therein	pure shear dominated deformation resulted in bidirectional shear
13	Azul megashear zone, Argentina	Dextral & Sinistral	Ductile	Frisicale et al. (2005) & references therein	–
14	Møre-Trøndelag Fault Zone, Central Norway	Top-to-NE → Top-to-SW	Ductile	Seranne (1992)	post-orogenic extension collapse during Mid-Devonian
15a,b	Bergen Arc Shear Zone, Norway	Top-to-SE → Top-to-NW	Ductile	15a: Wernberg (1996); 15b: Harris et al. (2002)	extensional deformation at late stages of orogeny or post-orogeny
16	Svecofennian shear zones, Finland	Top-to-W up → Top-to-E down	Ductile → Brittle	Väisänen and Skyrö (2007) & Torvela et al. (2008)	reorientation of extensional direction from ~ NE-SW → ~ E-W
17	Lewisian Shear Zone, Scotland	Sinistral → Dextral → Sinistral	Ductile → Brittle → Brittle	Lei and Park (1993)	first reversal: change in principal compression direction from ~ NW-SE → ~ N-S; second reversal: clockwise rotation of the region with respect to a dextral strike-slip fault system in the vicinity
18	Greenlife-Sticklepath-Lustleigh fault zone, UK	Dextral → Sinistral → Dextral (locally)	Brittle	Holloway and Chadwick (1986)	first reversal: change in the tectonic regime from compressive to extensional; second reversal: localized occurrences hence unexplained
19	Malpica-Lamego Ductile Shear Zone (Northern Segment), Spain	Dextral → Sinistral	Ductile	Pamplona et al. (2015) & references therein	reactivation of faults due to intracontinental tectonics
20	Ronda Peridotite, SW Spain	Top-to-N → Top-to-S	Ductile	Van der Wal et al. (1992), (1996)	–
21	Nevado-Filabride complex, Spain	Top-to-W & Top-to-E	Ductile	Ruiz-Fuentes and Aerden (2018)	–
22a,b	Bohemian Massif, Czech Republic	Dextral (Ductile) → Sinistral (Ductile) → Dextral (Brittle)	Ductile and Brittle	22a: Mattern (2001); 22b: Galadí-Enríquez et al. (2006) (22b)	first reversal: orthogonal switch of principal compressive stress axes from ~ N-S → ~ E-W; second reversal: restoration of ~ N-S compression (?)
23	Alpine-Carpathian-Pannonian region (Eastern Alps)	Dextral → Sinistral (NW-SE trending faults) & Sinistral → Dextral (NE-SW trending faults)	Brittle	Peresson and Decker (1997)	switching of principal compressive stress direction from ~ N-S → ~ E-W

(continued on next page)

Table 3 (continued)

Sl. no	Location	Shear Sense	Nature of kinematic indicators	References	Plausible origin of OSS proposed by corresponding workers
24	Greiner shear zone, Eastern Alps, Austria	Sinistral → Dextral	Ductile	Barnes et al. (2004)	switch to dextral shear occurred in response to the ~ N-S compression due to continued convergence between the Eurasian & Adriatic blocks
25	Koralpe range, Eastern Alps, Austria Vals-Scaradra Shear Zone, Switzerland	Top-to-S & Top-to-N Top-to-SW & Top-to-NE	Ductile Ductile	Hailey et al. (2009) Kossak-Glowczewski et al. (2017)	lateral spreading of the northward propagating Adula Nappe along the ~ WSW-ENE striking Vals-Scaradra Shear Zone
26	Combin & Zermatt-Saas zones, Italy	Top-to-SE → Top-to-NW	Ductile → semi-Ductile and Brittle	Kirst and Leiss (2017) & references therein	post-exhumational coaxial deformation
27	Alpine Corsica, Corsica Islands, France	Top-to-W (up) → Top-to-E (down)	Ductile	Jolivet et al. (1990,1991), Beaudoin et al. (2017)	post-orogenic collapse of thickened crust
28	The Apennines, Italy	top-to-W(SW) (down) (pre-orogenic) → top-to-E (NE) (up) (syn-orogenic) → top-to-W(SW) (down) (post-orogenic)	Overall Brittle deformation but the kinematic indicators are Ductile in nature	Tavarnelli (1999), Scisciani et al. (2002) & Bigi (2006)	first reversal: ~ NE-SW orogenic compression; second reversal: post-orogenic reactivation of thrust faults due to ~ E-W extension
29	Chelmos Shear Zone, Greece	Top-to-W/SW & Top-to-E/NE	Ductile	Xypolias and Koukouvelas (2001)	
30	North Anatolian fault zone, Turkey	Sinistral → Dextral	Brittle	Hancock and Barka (1981)	
31	Southern Troodos transform fault zone, Cyprus	Dextral & Sinistral	Brittle	MacLeod and Murton (1995)	rigid block rotation within a shear zone resulted in OSS along block margins
32	Wadi Kid, Egypt	Top-to-NW → Top-to-SE	Ductile	Blasband et al. (1997)	unwarping of the region due to intrusion
33	Central Cameroon Shear Zone	Sinistral → Dextral	Ductile	Ngako et al. (2003)	reorientation of compressive stress from ~ NE-SW → ~ NW-SE during the Pan-African orogeny
34	Dorunch Fault System, Iran	Dextral → Sinistral	Ductile & Brittle	Javadi et al. (2015)	change in compression from ~ NW-SE → ~ N-S due to Arabia-Eurasia convergence
35a	Hilti massif, Oman	Top-to-W & Top-to-E	Ductile	Ceuleneer et al. (1988); Michibayashi et al. (2000); Dijkstra et al. (2002)	variation in the relative velocity of the lithosphere and the underlying mantle flow (asthenosphere) to the spreading rate of the ridge
35b	Wadi Tayin massif, Oman	Top-to-NW & Top-to-SE	Ductile	Nicolas and Boudier (2008)	divergence of the asthenospheric mantle flow
36	Nanga Parbat massif, Pakistan	Top-to-S up → Top-to-N down	Ductile → Brittle-Ductile	Argles and Edwards (2002)	syn-convergent extension
37	Zanskar Shear Zone, India	Top-to-SW up → Top-to-NE down	Ductile	Kellett et al. (2019) & references therein	normal slip induced by exhumation of the HHC towards foreland
38	Tso Moriri Crystallines, Ladakh Himalaya, India Tso Moriri Crystallines, Ladakh Himalaya, India	Top-to-N & Top-to-S Top-to-NE (down) → Top-to-SW (up)	Ductile Brittle	Dutta (2016) Sen et al. (2013)	
39	North Almora Thrust Zone, India	Top-to-S down → Top-to-N up	Ductile-Brittle	Agarwal et al. (2016)	
40a	Tethys Himalaya, Tibet	Top-to-N & Top-to-S	Ductile	Langille et al. (2010)	coaxial deformation or viscosity heterogeneity
40b	Tethys Himalaya, Tibet	Top-to-S → Top-to-N	Ductile → Ductile-Brittle	King et al. (2011)	extrusion of low-viscosity channel towards south
41	Kathmandu nappe, Nepal	Top-to-N → Top-to-S	Ductile	Bell and Sapkota (2012)	gravitational collapse of over-thickened orogenic crust
42	Gavilgaath–Jan shear zone, India	Sinistral (Ductile) → Dextral (semi-Brittle) → Sinistral (Brittle)	Ductile → Brittle	Chatopadhyay et al. (2008)	
43	Eastern Ghat Belts, India	Dextral & Sinistral	Ductile-Brittle	Dobmeier and Simmat (2002)	
44	Achankovil Shear Zone, Southern India	Dextral → Sinistral	Ductile → Brittle	Rajesh and Chetty (2006)	
45	Thai Peninsula, Thailand	1. Sinistral → Dextral (TPP & MPP); 2. Dextral → Sinistral (KMF & RF)	Ductile → Brittle	Watkinson et al. (2008) & references therein	1. Dextral: East African Orogeny, 2. Sinistral: Kuunga Orogeny
46	Red River Fault Zone, SE China	Sinistral → Dextral	Brittle	Leloup et al. (2001), Zhu et al. (2009)	1. Indo-Eurasia collision; 2. subduction along southern Sundaland or Indo-Eurasia convergence or both
47	Beishan Terrane, China	Sinistral → Dextral	Ductile and Brittle	Zhang and Cunningham (2012) & references therein	eastward extrusion of the South China block relative to the Indochina block
48	Ertix Fault, Central Asian Orogenic System, NW China	Dextral → Sinistral	Brittle	Allen et al. (1995)	switching of principal compressive stress direction from ~ NE-SW → ~ NW-SE due to westward subduction of Pacific plate beneath the Eurasian plate along eastern China intra-continental deformation

(continued on next page)

Table 3 (continued)

Sl. no	Location	Shear Sense	Nature of kinematic indicators	References	Plausible origin of OSS proposed by corresponding workers
49	Tan-Lu fault, SE China	Sinistral → Dextral	Brittle	Hsiao et al. (2004) & references therein	change in subduction direction of the Pacific plate beneath Eurasia or Indo-Eurasia collision or both
50	Chungnam Basin, S Korea	Top-to-E → Top-to-W and vice versa	Ductile	Lim and Cho (2012)	tilting and overturning of the rocks in the basin under constant ~ WNW-ESE compressive stress field
51	Yamasaki, Mitoke fault zones and Median Tectonic Line, Japan	1. Dextral → Sinistral (VFZ, MFZ); 2. Sinistral → Dextral (MTL)	Ductile & Brittle	Maruyama and Lin (2004)	opening of the Japan Sea resulted in the reorientation of the maximum horizontal stress direction from ~ N-S/NE-SW → ~ NW-SE/WNW-ESE
52	D'Entrecasteaux Islands, Papua New Guinea	Top-to-E & Top-to-W	Ductile	Little et al. (2013)	syn-exhumational pure shear dominated deformation
53	Woodroffe Thrust, Australia	Top-to-N & Top-to-S	Ductile	Bell and Johnson (1992)	-
54	Mary Kathleen fold belt, Australia	Top-to-N & Top-to-S	Ductile	Holcombe et al. (1991)	-
55	Castle Cove Fault, Australia	Top-to-NW (down) → Top-to-SE (up)	Brittle	Holford et al. (2014)	Late Miocene switching of principal compressive direction from ~ NE-SW → ~ NW-SE
56	Moonlight Fault Zone, New Zealand	Top-to-W (down) → Top-to-E (up)	Brittle	Smith et al. (2017) & references therein	orthogonal switching of the principal compressive stress axes from NE-SW → NW-SE

Continued displacement of the hanging wall rocks due to extension widens the basin and consequently the uplifted portion of the footwall, in the same direction, would compensate the void created above the décollement (Wernicke and Axen, 1988). Consequently, the footwall rocks reverse shear along several (sub-) vertical planes (Fig. 23c and d).

It must be noted, that the classification suggested in Fig. 8 is applicable for both single and multi-stage deformations, except for the category a3 (ductile followed by brittle deformation) that falls under the later. As far as orientations of the primary shear planes are concerned, most of the mechanisms discussed so far will produce OSS with parallel primary shear planes (category b1 in Fig. 8) except for class 1B buckle folding (Fig. 14a and b). Besides, multi-stage deformation can certainly result in OSS with non-parallel shear senses, and a few examples have also been discussed below, e.g., location no. 8, 9, 17, 21, 40b, and 42 (Fig. 24, Table 3, supplementary file S1).

## 5. Numerical simulations

### 5.1. Introduction

We study the effects of two-stages of deformation viz., pure shear, and dextral simple shear on a rectangular domain with rheological properties of a (non-schistose) sandstone (Table A1 in the Appendix). We use simple 2D-finite element modelling (FEM) of an elasto-plastic material. Two modelling experiments (Fig. A1 in the Appendix) are performed: (i) *Model I*: pure shear followed by dextral simple shear, (ii) *Model II*: dextral simple shear followed by pure shear. Both are time-dependent models wherein the stresses on the upper boundary of the domain increase non-linearly with time (Fig. A2 in the Appendix). The simulations are carried out in the software COMSOL Multiphysics v5.4. We aim to investigate how these two-stage deformations produces OSS.

Ductile deformation of rocks can be reasonably approximated by an elasto-plastic rheology (Vermeer and De Borst, 1984; Ord, 1991; Poliakov and Herrmann, 1994; Zhang et al., 1996; Burg, 1999; Currenti et al., 2010; Turcotte and Schubert, 2014) with linear isotropic hardening (Paterson and Wong, 2005; Anandarajah, 2011; Hobbs and Ord, 2015). Herein the material undergoes permanent/irreversible (non-newtonian) deformation (hardening) once the applied stress crosses its elastic limit or the plastic yield point ( $\sigma_{ys}$ ). (Jaeger et al., 2009; see Fig. 7.27 of Turcotte and Schubert, 2014). In natural cases, the stress-strain curve beyond  $\sigma_{ys}$  follows a non-linear path, which varies for one rock type to another, as well as between similar rock types (e.g., sandstones, see Bernabe and Brace, 1990; Ord et al., 1991; Bésuelle, 2001; Baud et al., 2006; Gautam et al., 2016, etc.). Hence, a linear hardening model is considered for the sake of simplicity. Several workers viz. Gerya and Yuen (2007), Popov and Sobolev (2008), Crameri and Kaus (2010), Tian and Buck (2019), have also assumed an elasto-visco-plastic rheology (also see review in Piazolo et al., 2019) to study ductile deformation in lithosphere-scale models.

### 5.2. Model setup

The 2D model is performed on a 1 by 0.5  $\mu\text{m}^2$  rectangular domain (Fig. A1a in the Appendix). Four ellipses viz. e1, e2, e3, e4, each with an aspect ratio of 4 (long axis: 0.04  $\mu\text{m}$ , short axis: 0.01  $\mu\text{m}$ ), with the long axes perpendicular to the x-axis and rheological properties same as that of the matrix (another two models viz. Model III, IV, wherein the Young's Moduli of the ellipses are twice as high as that of the matrix are also run, see Fig. S9 in the supplementary file S2 for the results), are also included inside the rectangular matrix (Fig. A1a in the Appendix). These ellipses are included to examine the sense and magnitude of rotation of their long axes with deformation. We chose ellipses instead of circles because the long axes and their rotations are much more prominent for the former than the latter. Triangular meshing with an advancing front tessellation (Johnson et al., 2009; Ismail-Zadeh and Tackley, 2010; Tadmor et al., 2012; Fenner, 2013; Bose et al., 2018) is applied with the

help of the mesh generation module in COMSOL Multiphysics v5.4. Table A2 (in the Appendix) presents the detailed mesh statistics. Both the models are subjected to pure and simple shears assuming plane strain deformation. In Model I, the domain is subjected to pure shear followed by dextral simple shear. In Model II, the sequence of shearing is opposite. (Figs. A1, A2 in the Appendix).

Three different ‘no velocity’ boundary conditions are introduced viz.

(a)  $U_x = 0$ , (b)  $U_y = 0$ , (c)  $U_x = U_y = 0$ . Conditions (a) and (b) are employed on the upper boundary only during pure and simple shear deformation (as in Mukherjee, 2019), respectively. Whereas (c) acts only on the lower boundary throughout the entire run time for all the models. These conditions are necessary to inhibit deformation induced translation and rotation of the entire model/domain as a whole.

The stresses  $|\sigma_x|$  and  $|\sigma_y|$  act only on the upper boundary of the

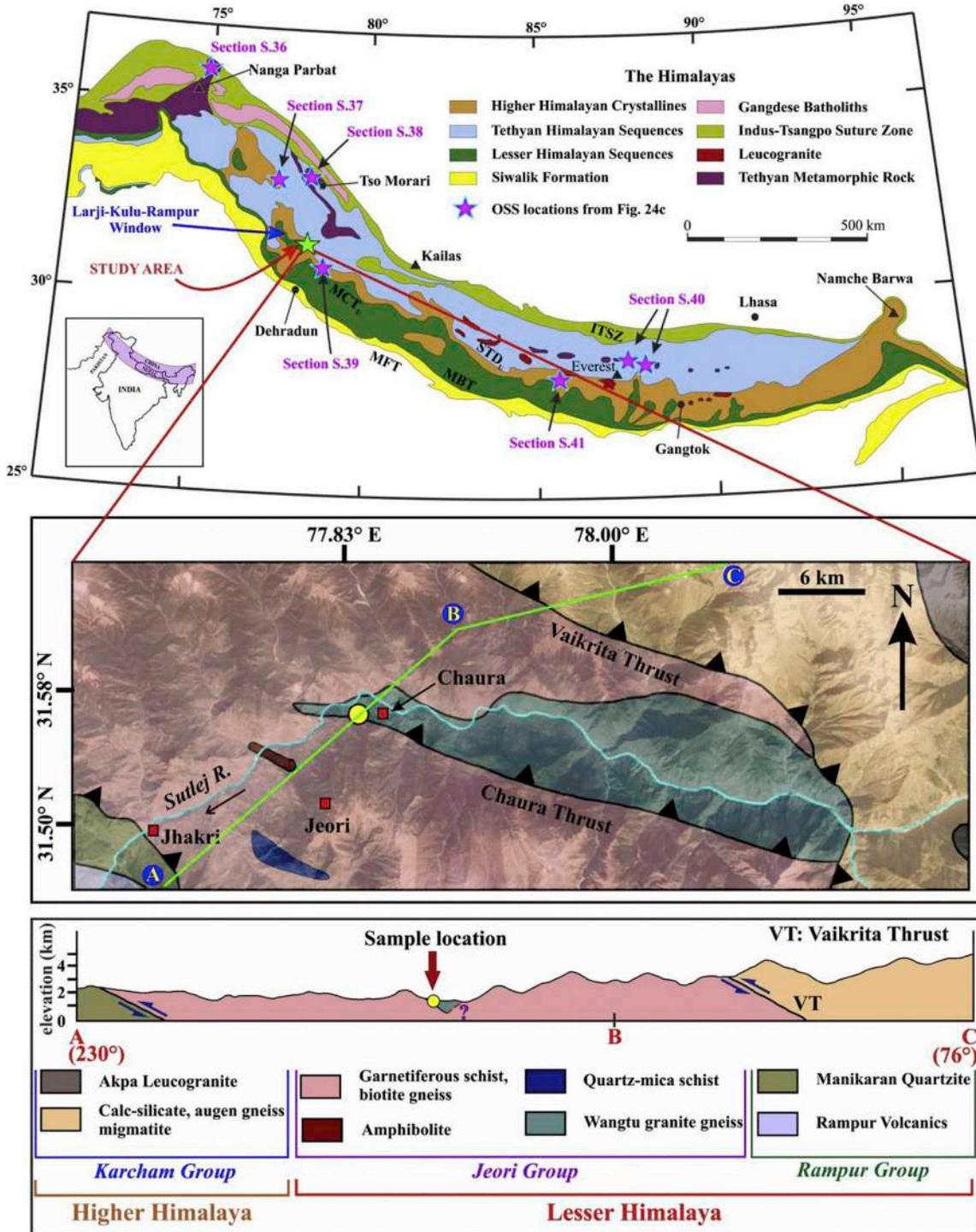


Fig. 25. Geological map of the Himalaya (reproduced from fig. 1 of Mukherjee, 2015b) and the study area (redrawn after fig. 2 of Jain et al., 2000) with the sample (S-16) location (yellow circle) (first referred at Section 7.1). Topographic image on the background was taken from Google Earth on 12-Nov-2018. ITSZ: Indus-Tsangpo Suture Zone, MBT: Main Boundary Thrust, MCT<sub>U</sub>: Main Central Thrust upper; MFT: Main Frontal Thrust, STD<sub>U</sub>: South Tibetan Detachment upper. The locations of OSS from other part of Himalaya (Fig. 24c) are also shown with pink stars. Also see Fig. S6 in the supplementary file S2.

domain and parallel to the x- and y-axes, respectively. Moreover, for both the models I and II  $|\sigma_x|$  and  $|\sigma_y|$  increase non-linearly from 0 to 400 and 800 MPa (Fig. A2 in the Appendix), respectively. Experimental studies conducted on sandstones (Ord et al., 1991; Sulem et al., 1999; Sipton and Cowie, 2003; Baud et al., 2006; Schultz et al., 2010; Soliva et al., 2013; Gautam et al., 2016) reveal that they usually undergo strain hardening/softening beyond the elastic limit, and hence deformation for a constant stress is avoided in this study. But, in opposite sequences i.e. for Model I:  $|\sigma_y|$  acts from  $t = 0$ –1 s, and  $|\sigma_x|$  from  $t = 1$ –2 s (Figs. A2a,b in the Appendix), and for Model II:  $|\sigma_x|$  acts from  $t = 0$ –1 s, and  $|\sigma_y|$  from  $t = 1$ –2 s (Figs. A2c,d in the Appendix). Boundary conditions for the Models III and IV in supplementary file S2 are the same as in Models I and II).

### 5.3. Results & interpretations

The sense of rotation of the long axes of the ellipses during pure shear does vary across the domain as expected i.e., e1 and e4 rotate in a clockwise sense, whereas it is counter-clockwise for e2 and e3 (Fig. A3, A4 in the Appendix). Although the rotation sense may differ, the amount is almost the same  $\sim 3^\circ$  and  $\sim 6$ – $7^\circ$  for each of the ellipses at the end of pure and dextral simple shear deformation, respectively. Note that the final products of both the models do not show OSS i.e., the long axis of all the ellipses in Fig. A3c,g (in the Appendix) are tilted towards the right (+X-direction). Moreover, the angle of tilt ( $\varphi$ ) also does not vary much i.e.,  $\sim 80$ – $81^\circ$  for e1 and e4, and  $\sim 86^\circ$  for e2 and e3 (The results for the Models III and IV are identical except that of the effective plastic strain, see supplementary file S2). Hence, it would be really difficult for any observer to decipher presence of OSS only by looking at the final product. The observer may well end up assigning only one set of deformation (general shear with a high dextral component as in Fig. S10).

## 6. Reports of OSS from across the globe (Fig. 24)

OSS has been identified from different terranes worldwide (Table 3; Fig. 24; supplementary file S1). We compile as many examples as we could; however, the list may not contain all the examples. A few examples of conflicting shear senses with non-parallel shear planes have been included. Brief descriptions for each of the terranes have been provided in the Supplementary file S1. The locations are arranged continent-wise i.e., N. America (1–8)  $\rightarrow$  S. America (9–13)  $\rightarrow$  Europe (14–31)  $\rightarrow$  Africa (32 and 33)  $\rightarrow$  Asia (34–51)  $\rightarrow$  Australia (52–55)  $\rightarrow$  Zealandia (Mortimer et al., 2017, 2018) (56) (Fig. 24).

### 7. OSS from NW Himalaya (Chaura village, Sutlej river section, Himachal Pradesh state, India) - this study

#### 7.1. Geology

The study area lies in the vicinity of the Chaura Thrust. The lithotectonic identity of the group of rocks (categorized under the Jeori Group in Fig. 25) that lie between the Karcham Group (considered as a part of the Higher Himalayan Crystallines (HHC), Law et al. (2013)) to the north, and the sediments of the Larji-Kulu-Rampur Window (LRKW) of the Lesser Himalayan Sequence (LHS) (Richards et al., 2005) is still debated. A sample from the region yields a 'hairpin' P-T path, typically reported from the LHS rocks (Kohn, 2008, 2014) and a relatively young Early to Mid-Miocene prograde deformation deduced using *in-situ* monazite dating (Caddick et al., 2007). Moreover, Richards (2004) obtain an  $\epsilon_{Nd}$  (500) value of  $-17.7$ , consistent with those reported from the LHS rocks (Caddick, 2004), from the same sample. Law

et al. (2013) include them within the LKRW, but both Chambers et al. (2008) and Richards et al. (2005) view it as a separate entity: The Jutogh Group (also called the Jeori Group, Fig. 25). On the other hand, Singh and Jain (1993) categorized them much earlier as the HHC rocks. In this paper, following the findings of Caddick (2004), Richards (2004), and Chambers et al. (2008), we have considered the rocks to be a part of LHS (Fig. 25).

The 9 km thick sequence (Miller et al., 2000) of Jeori metasediments (Manickavasagam et al., 1999) comprise of mylonites, mica schist, quartzites with intercalations of calc-silicates and marble (Vannay et al., 1999), and is overlain by the  $\sim 1.87$  Ga Wangtu Gneissic Complex (WGC). The later consists of granitoids, granite gneiss with intercalations of mica schists (Jain et al., 2000). Towards SW, along the Sutlej valley, the pelitic to psammitic migmatite content in the 6–7 km thick WGC rises gradually (Richards et al., 2005). Jeori metasediments overlie the Proterozoic ( $\sim 1.95$ – $1.87$  Ga) (Richards et al., 2005) sedimentary succession of Rampur Group (Caddick et al., 2007; Law et al., 2013) that also is recognized as an OOST (Mukherjee, 2015b), which comprises of greenschist facies metamorphosed-sediments only (Vannay et al., 1999). Interestingly, the Jeori Group exhibits inverted metamorphic sequence i.e., the grade increases from garnet to staurolite in Jeori metasediments (within  $\sim 10$  km towards NE) and then goes up to sillimanite grade near the top of the WGC (Chambers et al., 2008). Vannay et al. (2004) suggest that the Lesser Himalayan Sequence (LHS), comprising of the Jeori metasediments and the WGC, reached their peak metamorphic conditions ( $\sim 700^\circ\text{C}$ ) during Late Miocene. Moreover, they also claim that U–Th–Pb dating of monazites from the Karcham Group (HHC) indicate peak conditions at  $\sim 40$ – $22$  Ma.

The WGC contains asymmetric feldspar porphyroclasts exhibiting top-to-SW shear (Grasemann et al., 1999). Moreover, evidence of top-to-SW up sense of shear is also shown by the NE dipping thrust plane viz., Vaikrita Thrust (known as MCT-II or MCT upper (MCT<sub>U</sub>), see Godin et al., 2006; Martin, 2017) (Fig. 25).

#### 7.2. Microstructural observations

Thin-sections of mylonitic and schistose rocks from the Sutlej River section (Fig. 25) have been studied for the presence of opposite shear senses. Major shear sense indicators viz., mineral fish of lenticle (Fig. 26) and parallelogram shapes (Fig. 27) are present. Most of them are preserved elegantly (e.g., Fig. 26k,m,n & 27) and denote the shear senses. Moreover, examples of OSS bounded by the same as well as different (but parallel) sets of C-planes, are most prominent within the samples from the location S-16 in Fig. 25. Few more evidences of ductile shearing are shown by sigmoidal quartz fish (Fig. 28, also see Fig. S7 in the supplementary file S2). 7 of them exhibit top-to-N shear sense (Fig. 28a,c,e,g,i,k & S7a,e), whereas 2 show top-to-S shear sense. Quartz grains with irregular margins (Fig. S8a in the supplementary file S2) and symmetric geometry (Fig. S8b in the supplementary file S2) are avoided in deciphering the shear sense. It should be noted that the quartz fish in Fig. 28f is present adjacent to the mica fish, and both of them exhibit similar sigmoidal shapes. This is, however, not always the case as shown in Fig. S8c (supplementary file S2). Unlike the mica fish of Figs. 26–27, the quartz fish are widely separated in the thin-sections, and hence could not be encapsulated into a single image. However, the mica fish from Fig. 26m (top-to-S shear) is present at the bottom of Fig. 28f in which the quartz fish shows top-to-N shear. The rest of the samples up to Jhakri, which is  $\sim 12$  km SW from S-16, show little or no evidence of OSS.

In all these samples, the recrystallized muscovite and biotite grains define the foliation/shear planes. Sometimes, the recrystallized

muscovite grains occur along the boundaries of coarser muscovite grains as well as around quartz grains. For close-spaced cleavage domains (CD), quartz and feldspar grains are finer, whereas coarser grains are found where CDs are more widely separated. C-planes are rarely curved, but the angle between S- and the C-planes for top-to-south shear vary over a wider range (10–35°) than that for top-to-north shear (30–40°).

Apart from these, other quartz recrystallization features viz. bulging (BLG; Stipp et al., 2002a,b) (Fig. 29a and b) and grain boundary migration (GBM; Stipp et al., 2002a,b) (Fig. 29a,c) recrystallization are observed as well, along with chessboard extinction in quartz (Fig. 29d), which indicates high deformation temperature (>700 °C; Wallis et al., 2019). The samples also consist of intensely kinked muscovite (Fig. 29e) and thin interconnected micro-shear zones, which are characterized by grain-size reduction (Fig. 29f). Such a texture may have arisen due to deformation/strain partitioning (Larson et al., 2014) or exhumation/decompression induced retrogression (Cao et al., 2017). The exact cause, however, is unclear at present and needs to be looked into in detail.

## 8. Discussions

### 8.1. General comments

Shear sense indicators and their applications have so far been the focus of the research works of structural geologists while dealing with ductile and brittle shear zones. These hold crucial kinematic information based on which stress regime and tectonic models are established (Fossen and Cavalcante, 2017). The origin of individual shear sense indicators has already been studied in detail (e.g., Hamner and Passchier, 1991; ten Grotenhuis et al., 2003; Passchier and Trouw, 2005; Mukherjee, 2011 etc.), along with the necessary precautions to be taken care of while interpreting them (e.g., Bell and Johnson, 1992). But in this article, we have noticed that opposite shear senses from a single terrane or even from the same outcrop have been reported. Consequently, the onus lies upon the observer to construe the cause of its presence/origin, and thus correlate it with the deformation phases deciphered from other structural features or petrological studies (pre-ferably both).

### 8.2. Mechanisms and plausible tectonic scenario(s)

OSS can either arise out of single or multiple deformation phase(s) (global examples in Table 3). This review, although not claimed to be exhaustive, lists most of the reports of OSS from all over the globe. OSS due to a single deformation phase have been reported from 10 out of the total of 56 cases we present. As explained in Section 4.1, seven major mechanisms have been put forward by previous workers to such OSS viz. (i) interaction between fracture and bedding interface (Cooke and Underwood, 2001); (ii) viscosity heterogeneity (Ishii, 1992), (iii) strain rate contrast (Hippert and Tohver, 1999), (iv) back rotation (Ghosh and Ramberg, 1976; Fossen, 2016), (v) rigid block rotation and mutual interaction (MacLeod and Murton, 1995), (vi) folding (Twiss and Moores, 2007; Sengupta and Chatterjee, 2015), and (vii) stretching faults (Means, 1989, 1990). Of these, cases (i) and (iv) are purely based on experimental results/theoretical concepts and are yet to be substantiated with natural examples. However, cases (ii), (iii) and (vii) although proposed based on numerical/analog experiments, were later validated from microstructural (ii) and (iii): Hippert and Tohver, 1999) as well as from field (vii): Kossak-Glowczewski et al., 2017) observations by as well. The rest, on the other hand, i.e., cases (v) and (vi) were proposed based on field findings, on which experimental validation is required. Apart from these, pure shear-dominated deformation can also result in OSS (Bell and Johnson, 1992; also see the Appendix for our analyses). Furthermore, observations at outcrop- and micro-scale from a few terranes viz. Mabja Dome, Tibet (Langille et al.,

2010), D'Entrecasteaux Islands, Papua New Guinea (Little et al., 2013), and Major Gercino Shear Zone, Brazil (Hueck et al., 2018a,b), have corroborated the pure shear theory.

Majority of the OSS owe their origin to multiple deformation phases: (i) inversion tectonics/fault reactivation (Turner and Williams, 2004; Javadi et al., 2015 & references therein), (ii) exhumation of subducted crustal slices (Brueckner and Cuthbert, 2013), (iii)

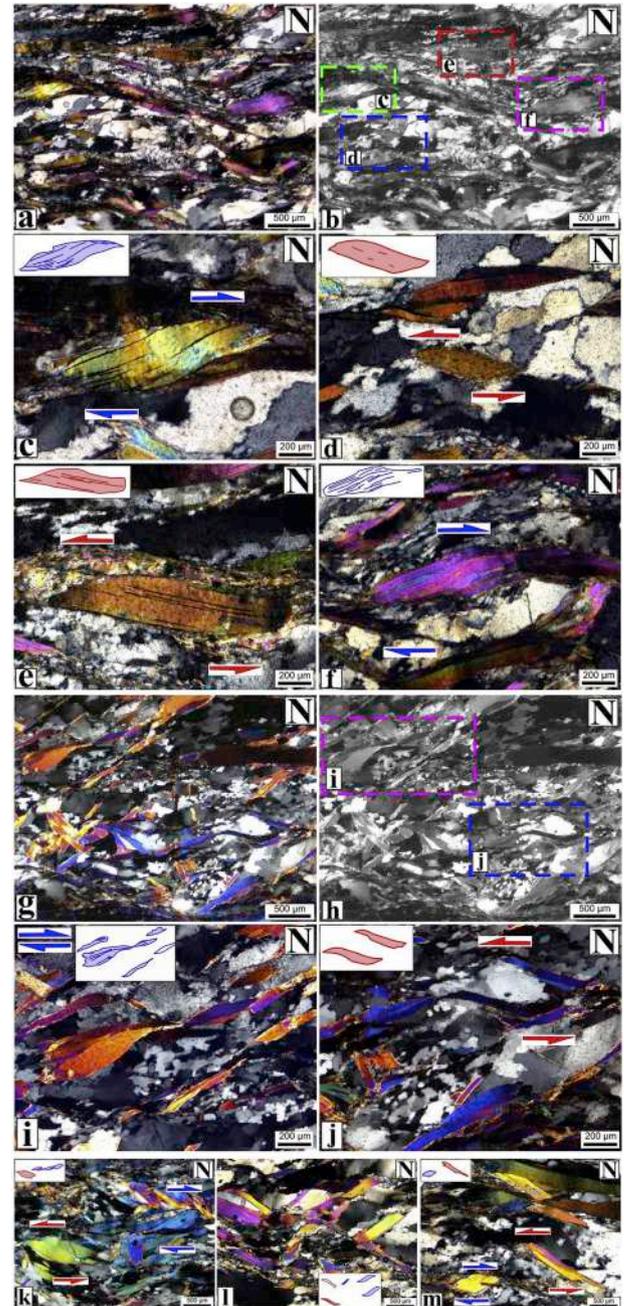
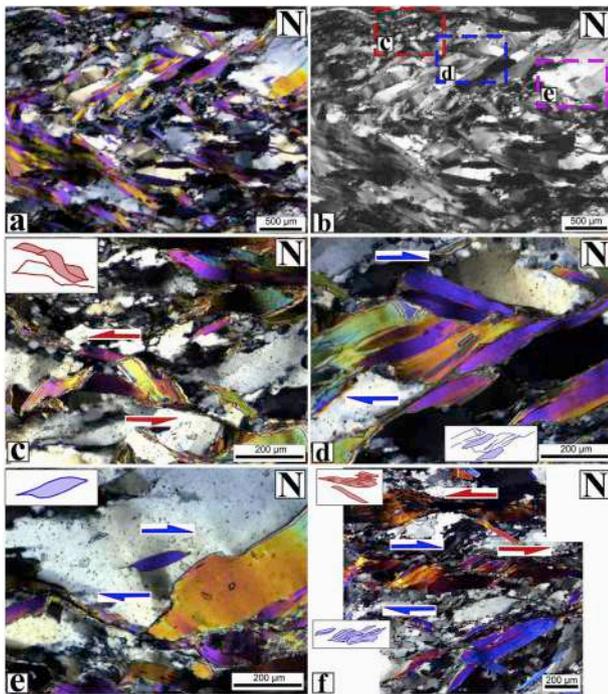
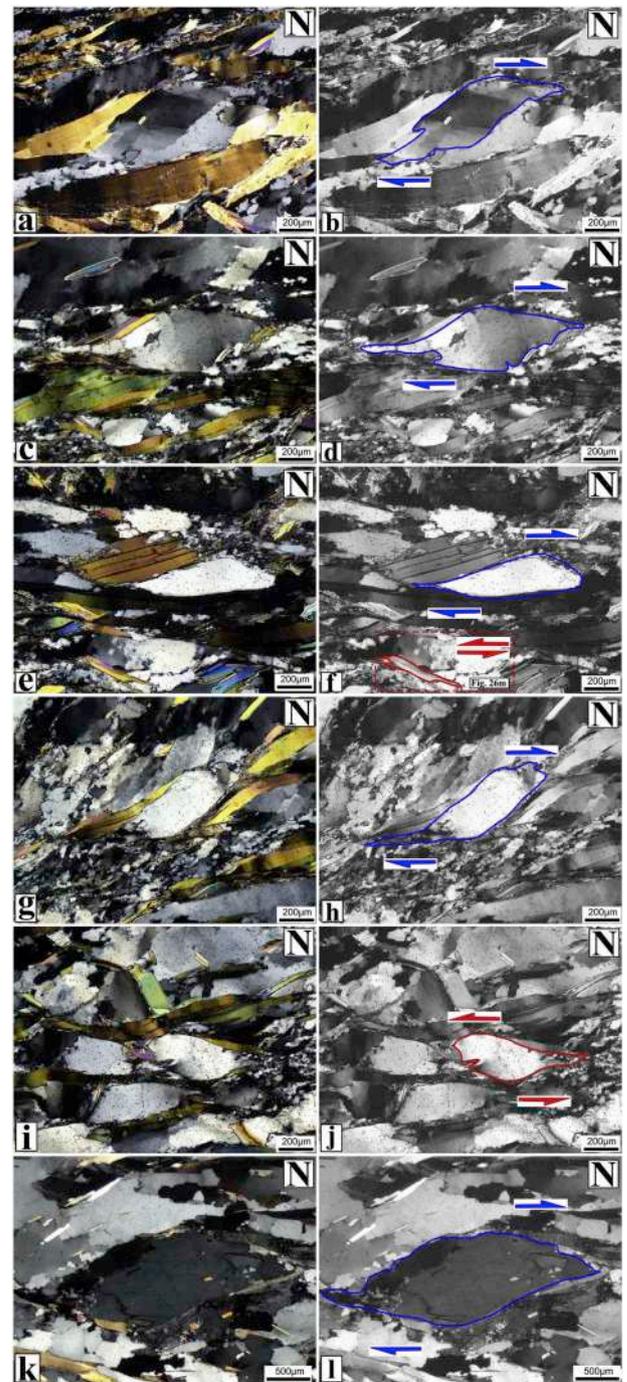


Fig. 26. OSS observed under an optical microscope from the schistose rock near Chaura village (Fig. 25) (NW Himalaya, Uttarakhand state, India) (first referred at Section 7.2). Sigmoid mica fish with bent cleavages and undulose extinction. Gray-scale version of (a) and (g) shown in (b) and (h), respectively, to demarcate the positions of (c,d,e,f,i,j). (c,f,i) Top-to-N shear (blue half-arrows). (d,e,j) Top-to-S shear (red half-arrows). The C-shear plane in all the images is horizontal. Few images (k,l,m) show both the shear senses (see Figs. 24 and 25 for the location of the sample). The insets show line sketches of the mica fish. Blue and red colored boundaries indicate shear senses corresponding to the same half-arrows with the same colors. The dotted rectangles in gray-scale images indicate the locations of the images given by the letters inside them.

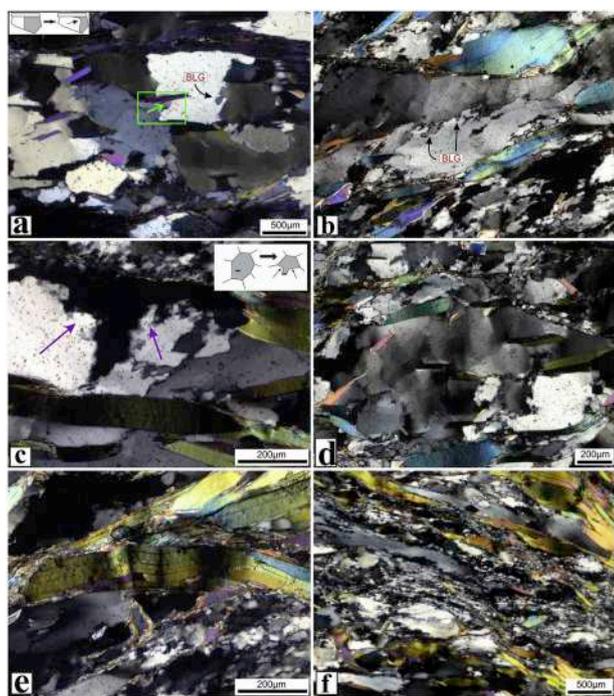


**Fig. 27.** OSS from thin-sections of the schistose rock near Chaura village (Fig. 25) (NW Himalaya, Uttarakhand state, India) (first referred at Section 7.2). (a) A fold with the axial trace nearly parallel to the foliation of the rock. Gray-scale version of (a) shown in (b), to demarcate the positions of (c,d,e). Sigmoid (c,d,f) and parallelogram (e) mica fish with bent cleavages displaying undulose extinction. The boundaries are partly destroyed by recrystallization. (c) Top-to-S shear (red half-arrows). (d,e) Top-to-N shear (blue half-arrows). (f) Both top-to-N and top-to-S shears are present. The dotted rectangles in gray-scale images indicate the locations of the images given by the letters inside them.

overturning or tilting of faults or litho-sequences (Lim and Cho, 2012), (iv) orogenic collapse (Bell and Newman, 2006), and (v) isostatic adjustment (Wernicke and Axen, 1988) etc. Of these, cases (i) and (iii) usually associate with sedimentary basins, e.g., the Franklinian Basin (Canada) (Table 3; De Paor and Eisenstadt, 1987), the Otway Basin (Australia) (Table 3; Holford et al., 2014), and the Chungnam Basin (S. Korea) (Table 3; Lim and Cho, 2012). However, fault reactivation, which is also considered under case (i), does not necessarily restrict to basin deposits e.g., Beishan Terrane (China) (Table 3; Zhang and Cunningham, 2012), Donureh Fault System (Iran) (Table 3; Javadi et al., 2015), Yukon River Shear Zone (Canada) (Table 3; Parsons et al., 2018) etc. Further, reorientation of the principal stress axes is a necessity only for case (i). Interestingly, of all the examples of OSS discussed here that originated due to multiple deformation events, change in regional stress distribution (Fig. 30) is required for half of the cases (blue colored locations in Table 3). Also, we note that the locations are mostly concentrated along Phanerozoic orogens/fold-thrust belts i.e., the Himalaya, Alps, Variscan, Caledonides, North American Cordillera, etc. The reason could either possibly be the severe complexity of the deformational history (Bell and Johnson, 1992; Jamieson and Beaumont, 2013), or the fact that these regions have gained more attention and hence were studied more intensely, or both. Several of the examples (locations 5, 9–13, 33, 35, 42–44, 47–49, 53, and 54 in Fig. 24 and Table 3) lie within Precambrian cratonic shield at present. Apart



**Fig. 28.** Ductile OSS indicators from the schistose rock near Chaura village (Fig. 25) (NW Himalaya, Uttarakhand state, India) (first referred at Section 7.2). Sigmoidal quartz fish show (a-h,k,l) top-to-right (N) and (i,j) top-to-left (S) shear senses. Undulose extinction, typical of deformed quartz, is clearly visible in (a). Muscovite fish, below the quartz fish in (f) show a top-to-left (S) shear. This is also the same muscovite fish from Fig. 26m as marked inside the red rectangle. The mica and quartz fish in (e,f) both indicate the same shear sense. (b), (d), (f), (h), (j), and (l) are gray-scale versions of (a), (c), (e), (g), (i), and (k).



**Fig. 29.** Quartz and muscovite deformation microstructures from the schistose rock near Chaura village (Fig. 25) (NW Himalaya, Uttarakhand state, India) (first referred at Section 7.2). (a) Dragging microstructure in quartz. The green arrow shows the direction of grain boundary migration (GBM). It indicates of deformation at 550–700 °C (Stipp et al., 2002a,b). Evidence of bulging (BLG) recrystallization (270–390 °C; Stipp et al., 2002a,b) are also shown in (a) and (b). (c) Pinning microstructure in quartz suggestive of GBM recrystallization (550–700 °C; Stipp et al., 2002a,b). Purple arrows denote migration direction. (d) Chessboard extinction (>700 °C; Kruhl, 1996; Chakraborty and Mukul, 2019; Wallis et al., 2019) in a quartz grain. (e) Intensely kinked muscovite grain exhibiting deformation-induced undulose extinction. Kink axes are formed near-perpendicular to the cleavages. (f) Grain-size reduction in the quartz-feldspathic matrix. Thin zones of interconnected fine-grained quartz and feldspar between elongated medium-sized quartz ribbon. The microscopic stage was rotated to avoid extinction of the muscovite grains, and thus clearer visualization of the foliation plane. Cartoons in (a) and (c) have been redrawn from Fig. 3.34 of Passchier and Trouw (2005).

from these, STTFZ (Cyprus) (Table 3, see supplementary file S1) and Wadi kid (Egypt) (Table 3, see supplementary file S1) belong to transform and extensional settings, respectively.

### 8.3. Zagros and Andes: special cases?

We observe no reports of OSS so far from the Andes and the Zagros fold-and-thrust belt (ZFTB). As we have noticed so far, OSS in fold-thrust belts are mostly results of either gravitational collapse (e.g., Nepal Himalaya, North American Cordillera; Table 3, Fig. 24) or reorientation of principal stress axes (Fig. 29) (e.g., Apennines, Eastern Alps; Table 3, Fig. 24, see supplementary file S1). Unlike the Himalaya, gravitational collapse has not yet been reported from the ZFTB except for in the synorogenic siliciclastic deposits (Pirouz et al., 2011) of the Agha Jari Formation (Middle Miocene-Pliocene), where Sarkarinejad et al. (2018) identify a normal fault. Also, as far as stress reorientation is concerned, paleostress reconstructions using fault-slip data (Mesozoic normal faults, and Late Cenozoic strike-slip as well as reverse faults) and fractures present within the ~10 km thick sedimentary cover (Paleozoic-Cenozoic) of the ZFTB reveal that the stress state has changed from extensional (~N–S to ~NE–SW oriented  $\sigma_3$  axis in Triassic – Jurassic, and Jurassic – Cretaceous, respectively) to compressional ( $\sigma_1$ : ~ NE–SW,  $\sigma_3$ : vertical, Oligocene – Early Miocene). This

was followed by strike-slip tectonics ( $\sigma_1$  axis: ~ NE–SW, and  $\sigma_2$ : vertical, Early Miocene – Post Pliocene). The orientation of  $\sigma_1$  in itself has varied from N058° to N002° during the strike-slip regime (Navabpour et al., 2007, 2008; 2014; Navabpour and Barrier, 2012; Tavani et al., 2018). The present-day shortening direction in the region, as estimated from GPS studies, ranges N025° to N068° (Lacombe et al., 2007; Rajabi et al., 2010; Heidbach et al., 2018). Given the reorientation of stress axes in the ZFTB, detailed structural studies need to be carried out to extract evidences of OSS.

On the other hand, gravitational collapse has been widely documented from the Andes (Dalmayrac and Molnar, 1981; Sébrier et al., 1985, 1988; Wörner et al., 2002). Giambiagi et al. (2016) derive paleostress tensors using over 650 fault-slip data from Central Andes (22–26° S). The authors identify two distinct phases of geodynamic evolution for the region: (i) compressional (~20–13 Ma), followed by (ii) gravitational collapse (<13 Ma). Furthermore, they suggest that the stress states varied from case (i) to case (ii) and within case (ii) as well. The stress regime changed as follows:  $\sigma_1$ : ~ E–W,  $\sigma_2$ : ~ N–S,  $\sigma_3$ : vertical (case i) →  $\sigma_1$ : ~ E–W,  $\sigma_2$ : vertical,  $\sigma_3$ : ~ N–S →  $\sigma_1$ : ~ N–S,  $\sigma_2$ : vertical,  $\sigma_3$ : ~ E–W →  $\sigma_1$ : ~ N–S,  $\sigma_2$ : ~ E–W,  $\sigma_3$ : vertical (case ii). 2D numerical models of Liu et al. (2000) and Husson and Ricard (2004) also predict a N–S extension in the Central Andes due to crustal collapse. However, Fleisch & Kreemer (2010) propose that the extension direction varies from ~ NE–SW at northern Chile to ~ E–W further south along the Central Andes. In support of these findings, Tibaldi and Bonali (2018) report Plio-Quaternary normal faults whose trends change from ~ N–S in the south of central Andes to ~ NW–SE to the north. ~ N–S striking Quaternary normal faults were also identified within 30° S to 31° S latitudes by Heinze (2003). GPS studies, too, reveal an ~ E–W neotectonic extension (Khazaradze and Klotz, 2003; Klotz et al., 2006). Mercier et al. (1992), study the faults in the region and proposed a switch in the extensional direction from E–W (Miocene - Pliocene) to N–S (Pleistocene) in the High Andes. Early Cretaceous extensional basins were aplenty along the margin of the Central Andes that developed in response to the E–W extension (Late Jurassic – Early Cretaceous) but got obliterated by the Cenozoic Andean shortening (Mpodozis and Allmendinger, 1993; Ramos, 2010; Armijo et al., 2015; Horton, 2018). Although there are reports of reactivation of a few favorably oriented pre-Andean extensional faults as (oblique-) thrusts (positive inversion, Section 4.2.1), the workers do not present evidences of OSS from the same region (Schmidt et al., 1995; Kley and Monaldi, 2002; Webster et al., 2004; Iaffa et al., 2011; Winocur et al., 2015; Perez et al., 2016).

### 8.4. OSS and hydrocarbon prospect

Cooper and Warren (2010) brilliantly review how positive tectonic inversion i.e., thrusting along a pre-existing extensional fault (Section 4.2.1) can affect a petroleum system. Positive inversions can either create new traps or modify pre-existing traps and trigger migration of the hydrocarbons from pre-to post-inversion traps. The degree of inversion plays a crucial role since too much upliftment can expose the overburdened rocks resulting in erosion and hence, leakage of hydrocarbon reserves (Doré et al., 2000, 2002). Similar damage may arise from faulting of the reservoir rocks (Pei et al., 2015). Mechanically dead faults are hydraulically dead and act as barriers to hydrocarbon migration. On the other hand, reactivated faults act as excellent pathways for the same and result in leakage (Barton et al., 1995; Zoback, 2007). Moreover, both upliftment prior to maturation of the source rock (also called maturation ‘switch-off’) and rapid uplift post-maturation, are detrimental to hydrocarbon prospectivity. Sudden pressure release due to rapid uplift can trigger: (a) failure of the top seals, (b) gas expansion, which in turn may cause oil spillage. However, upliftment has positive effects on the petroleum systems as well viz. increased permeability due to fracturing of reservoir rocks (e.g. tight sandstones), reduction in drilling costs due to remigration of hydrocarbon to reservoirs present at shallower depths, methane exsolved from the formation waters (Cramer et al., 2002) due to pressure reduction can

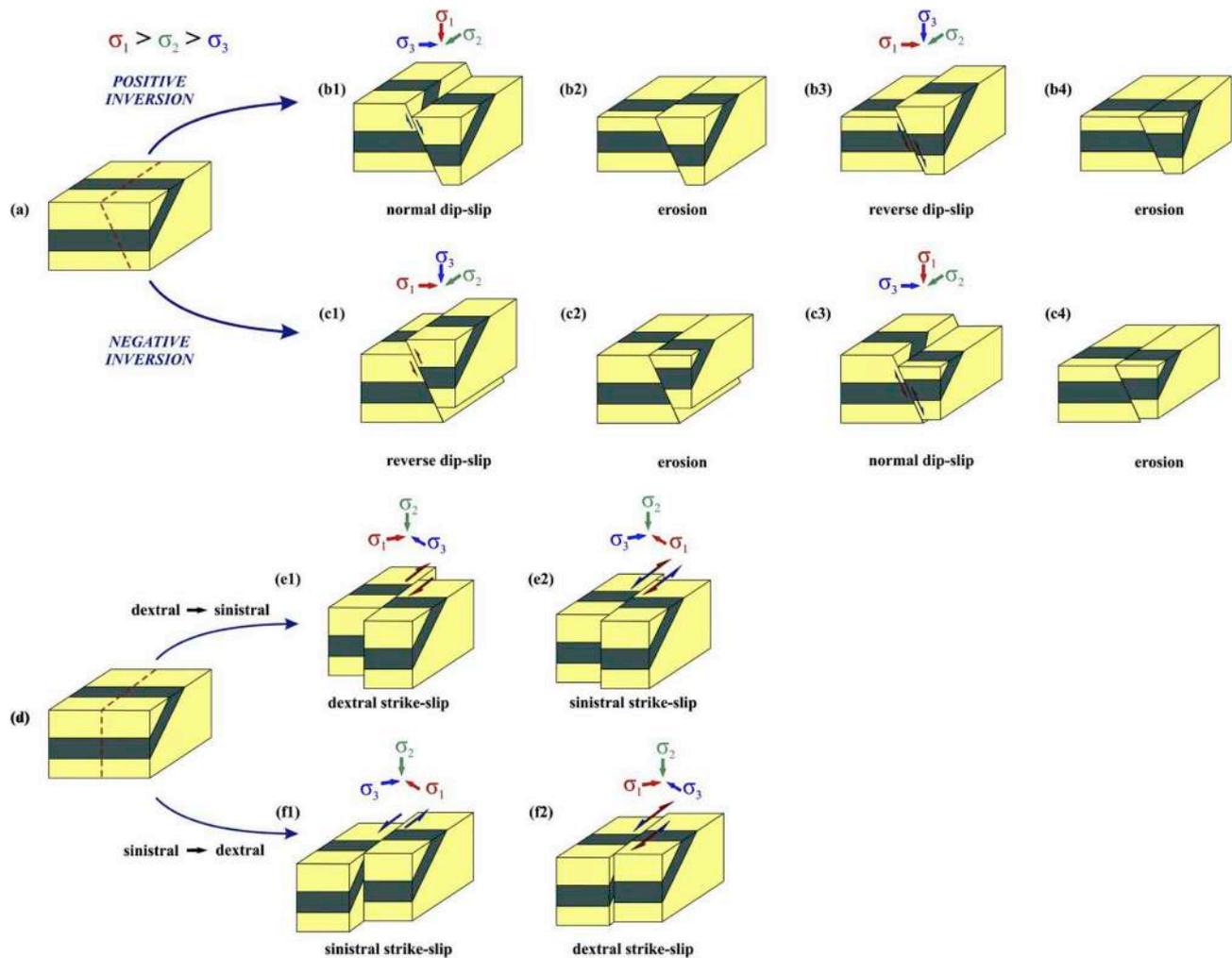


Fig. 30. Block diagrams showing reactivation of pre-existing fault planes with opposite movement sense due to reorientation of the principal stress axes (first referred at Section 8.2). (a) Pre-faulting condition. Red-dashed line shows the future fault plane. Both the scenarios of normal movement followed by reverse movement (b1–b4) and vice versa (c1–c4) have been shown. The orientations of the principal stress axes for each of the tectonic event is given above the corresponding blocks.

charge natural gas pools. The distribution of the seal, reservoir and the source rock depend upon the pre-inversion extensional phase as well (Gawthorpe and Leeder, 2000). However, inversion structures can often be confused with flower structures or overlooked in a fold-thrust belt dominated by thin-skinned tectonics (Cooper and Warren, 2010). Consequently, one must be careful, since the identification of tectonic inversion in sedimentary basins can provide insight into the geodynamic evolution of the region. It can then be correlated with the timing of the processes viz. hydrocarbon generation, migration and trapping, to decipher (with reasonable confidence) whether the components of the petroleum system survived inversion or not (e.g. Bristol Channel basin: UK, Nemčok et al., 1995; San Jorge Basin: Argentina, Uliana et al., 1995; Danish Central Graben: Denmark, Vejbaek & Andersen, 2002). If yes, then what could be the distribution of the source rocks/hydrocarbon traps over the region (Hardman et al., 1993; Grogan et al., 1999; Holmes et al., 1999)? A detailed field-based study including identification of inversion structures thus constitutes a crucial part of the reconnaissance survey and contributes significantly towards the evaluation of the hydrocarbon prospects of an area.

### 8.5. OSS from Chaura

Our thin-section studies conducted on the oriented samples from the vicinity of the Chaura village (Himachal Pradesh Himalaya, India) show the presence of mica fish exhibiting OSS (top-to-N and top-to-S).

Temporal relationship between the shear senses could not be derived due to the absence of cross-cut relations between the C-planes that bound those asymmetric shear sense indicators. Detailed thermo-barometric studies of the samples from the area along with in-situ Ar–Ar dating (Mulch and Cosca, 2004) of the sheared muscovites could shed some light on the same. But, such a near-equal abundance of top-to-N and top-to-S possibly appears to be a product of single deformational event and may have developed under dominantly coaxial shear (Little et al., 2013). We cannot, however, completely discard possibilities such as viscosity heterogeneity (Section 4.1.2) or strain-rate contrast (Section 4.1.3). In both cases, kinematic indicators exhibiting OSS should lie within two different layers, which has been seen (Fig. 26a–j, 27), but not always (Fig. 26k–m). Back rotation (Section 4.1.4), on the other hand, have so far been described only for porphyroclasts (Ghosh and Ramberg, 1976; Fossen, 2016), whereas we have identified OSS from naked clasts such as mica fish. Furthermore, OSS is dominant mostly in the samples close to the Chaura Thrust (Fig. 25), which is an OOST (Mukherjee, 2015b). Reverse shear from an OOST in a collisional orogen, to our knowledge, is the first ever report.

## 9. Conclusions

- (i) OSS is present in many shear zones across the globe, especially at orogenic belts. 16 locations belong to Precambrian cratonic shields. However, proper cautions must be exercised in their

identification to avoid misinterpretations.

- (ii) It appears that multiply deformed terranes, which have undergone orthogonal switching of the principal stress axes (both local and/or regional), are more likely to exhibit OSS.
- (iii) Tectonic inversion, a major cause of producing OSS, of sedimentary basins play a crucial part in hydrocarbon prospectivity. Hence, the structural evolution of basins should be vigorously studied with special emphasis on the identification of OSS.
- (iv) The equal abundance of OSS in the mylonitic sample from the HHC reveals dominance of coaxial deformation at some stage of its tectonic evolution. Pure shear flattening has been previously reported from different portions of the HHC.
- (v) Numerical simulations conducted using COMSOL Multiphysics v5.4 (Section 5) show that the sense of rotation of elliptical inclusions does change across the matrix due to pure shear. So does the amount of rotation. Competency contrast between the elliptical inclusions and the matrix affects the magnitude of the effective plastic strain but neither the magnitude nor the sense of rotation.

**Appendix A. Supplementary data**

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jsg.2019.05.008>.

**APPENDIX**

*A.1 Governing Equations*

The total elastic strain tensor for an elastic-plastic material is (Mao-Hong et al., 2006; Hashiguchi, 2014; Hobbs and Ord, 2015):

$$\epsilon = \epsilon_{el} + \epsilon_0 + \epsilon_{th} + \epsilon_p + \epsilon_c \tag{1}$$

Here,  $\epsilon$ ,  $\epsilon_{el}$ ,  $\epsilon_0$ ,  $\epsilon_{th}$ ,  $\epsilon_p$ ,  $\epsilon_c$  are the total, elastic, initial, thermal, plastic, and creep strain tensors.

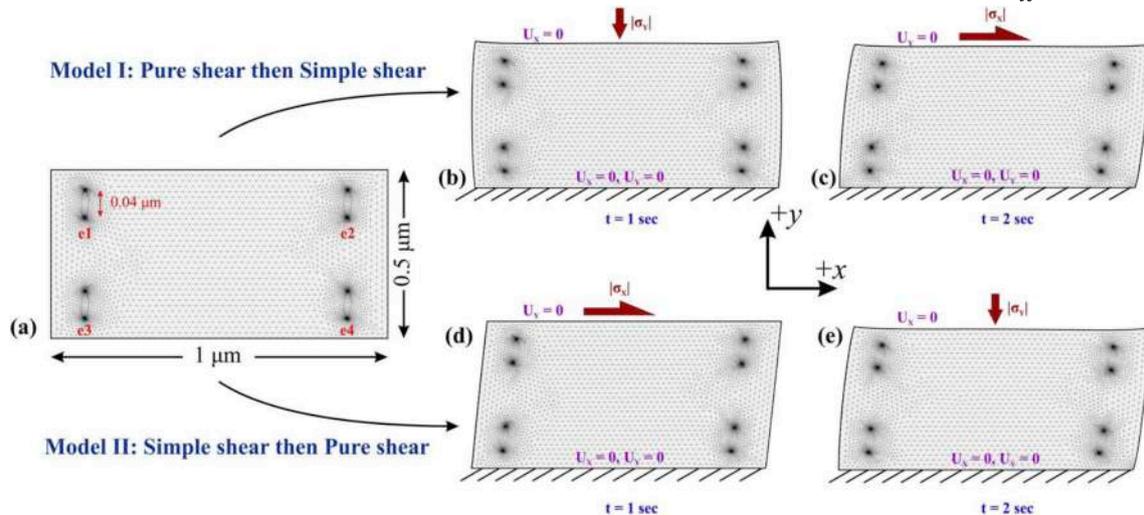
However, in our case  $\epsilon_0 = \epsilon_{th} = \epsilon_c = 0$  and therefore

$$\epsilon = \epsilon_{el} + \epsilon_p \tag{2}$$

Material with an elasto-plastic rheology also follow the plastic flow rule:

$$\dot{\epsilon}_p = \lambda \frac{\partial Q}{\partial \sigma} \text{ (for } \lambda \geq 0 \text{)} \tag{3}$$

where,  $\dot{\epsilon}_p$  = incremental plastic strain,  $\lambda$  = plastic multiplier,  $\sigma$ : second Piola-Kirchhoff stress,  $Q$ : plastic potential and  $\frac{\partial Q}{\partial \sigma}$  = potential gradient.



**Fig. A1.** Nomenclature, boundary conditions, and meshing of the models (first referred at Section 5.1). (a) Model setup before deformation. Triangular meshing adopted for both the models, (b,c) Model I: Pure shear followed by dextral simple shear, and (d,e) Model II: Dextral simple shear followed by pure shear. The ellipses are e1, e2, e3, and e4. In both the cases, the lower boundary of the domain was kept stationary ( $U_x = U_y = 0$ ). Similarly, during pure shear for both the models I and II, the movement of the upper boundary of the domain along the X-axis is inhibited ( $U_x = 0$ ). However, during simple shear, the upper boundary is free to move along the X-axis but not along the Y-axis ( $U_y = 0$ ). The final products at the end of  $t = 1$  s (b,d) and  $t = 2$  s (c,e) are only shown.

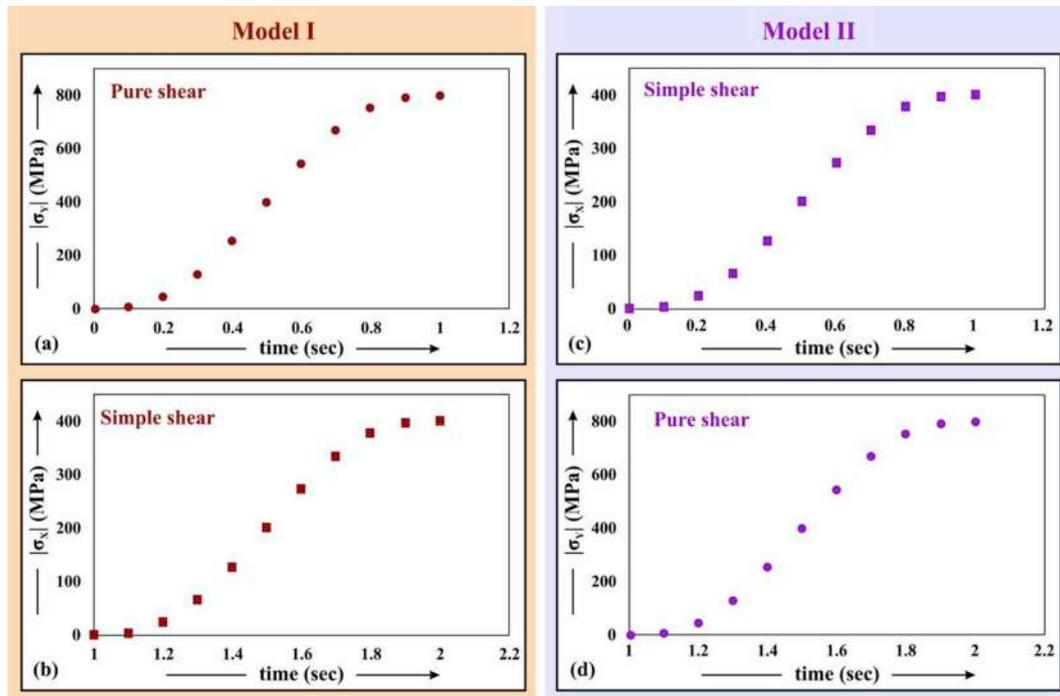


Fig. A2. Temporal variation of the stress magnitudes for (a,b) Model I and (c,d) Model II (first referred at Section 5.1). Time stepping for each of the deformation is 0.1 s such that stress magnitudes within the intervals  $\leq 0.1$  s, e.g.  $t = 0.2-0.3$  s or  $t = 1.4-1.5$  s, are unknown. Hence, the data points have not been connected with a curve.

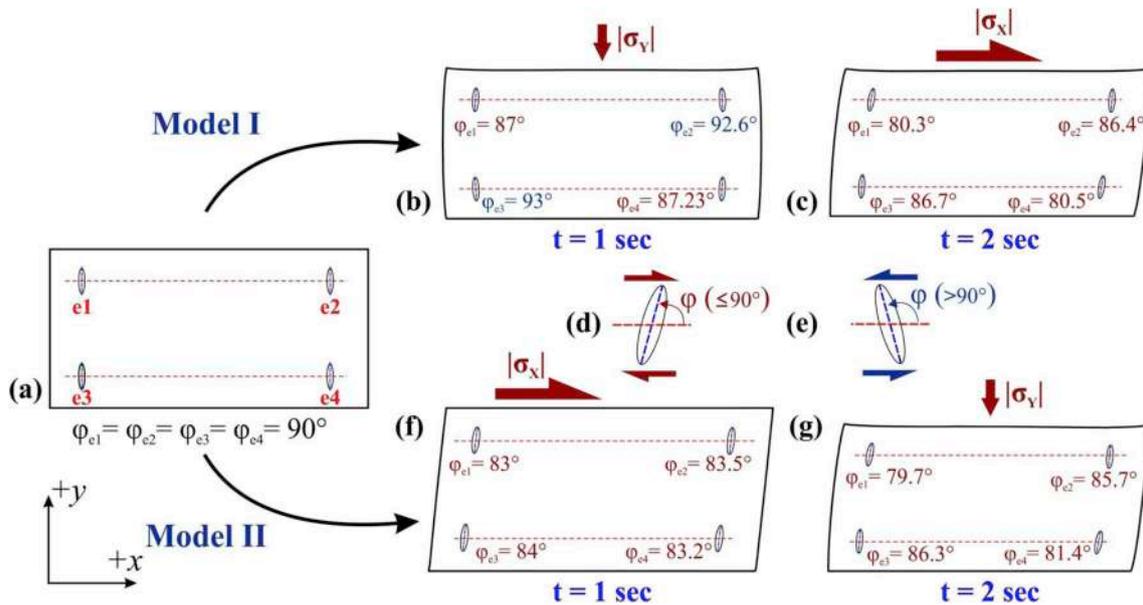


Fig. A3. Deformation induced rotation of the ellipses (first referred at Section 5.3). (a) Pre-deformational setup. All the angles are measured with reference to the red dotted lines, whereas the blue dotted lines indicate the long axes of the ellipses. The angle ( $\phi$ ) between the ellipses and the  $+X$ -axis, at the end of each deformation stages, are stated for both the Models I (b,c) and II (f,g). Angles were measured in a counter-clockwise sense for both (d) dextral and (e) sinistral rotations. Red- and blue colored texts present the acute and obtuse angles.

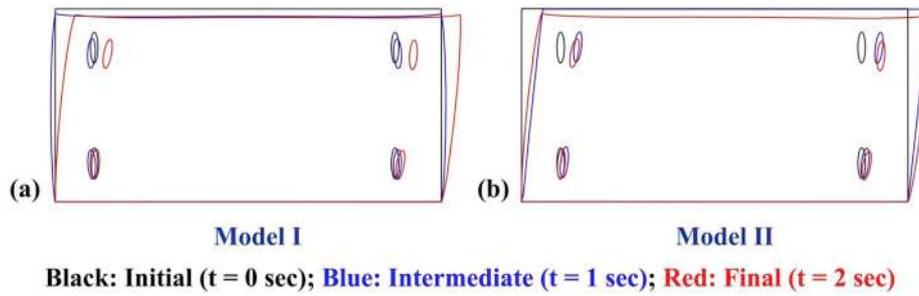


Fig. A4. Initial model setup, intermediate and final stages of deformation for both (a) Model I and (b) Model II (first referred at Section 5.3).

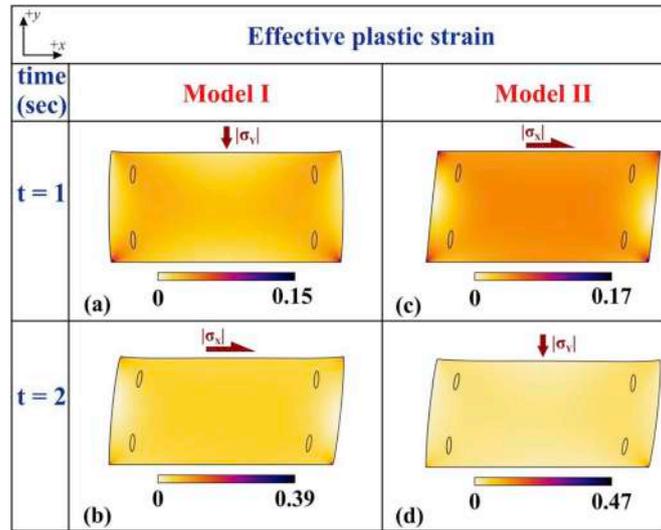


Fig. A5. Effective plastic strain at the end of each deformation (at t = 1 and 2 s) for (a,b) Model I and (c,d) Model II (first referred at Section 5.3).

Table A1

Physical parameters of the material used.

Material	Density <sup>1,2</sup> (kg m <sup>-3</sup> ) §	Young's Modulus <sup>1,2,3</sup> (GPa) §	Poisson's Ratio <sup>1,2</sup> §	Initial Yield Stress <sup>4,5,6,7,8</sup> (MPa) §§	Isotropic Tangent/Hardening Modulus <sup>9,10,11</sup> (GPa)
Sandstone	2000-2800 <sup>1, 2</sup>	10–60	0.1–0.3	54–420 <sup>5,6</sup>	0.4–35

Data references: 1. Gudmundsson (2011), 2. Henderson and Henderson (2009), 3. Małkowski et al. (2018), 4. Bernabe and Brace (1990), 5. Wong et al. (1997), 6. Baud et al. (2006), 7. Louis et al. (2006), 8. Wang et al. (2018), 9. Ord et al. (1991), 10. Herrin (2008), 11. Duda and Renner (2013) #

Note:§ Mean values of the parameters are used in the model i.e. Density = 2400 kg m<sup>-3</sup>, Young's Modulus = 35 GPa, Poisson's ratio = 0.2.

§§ Majority of the values lie within the range 150–200 MPa, hence the mean of this range i.e. 175 MPa has been used.

# The values of experimentally determined hardening modulus show an increase with decreasing strain rates, and the highest value was experimentally determined for a strain rate of 10<sup>-7</sup> s<sup>-1</sup> (geological strain rates at micro-scale vary from 10<sup>-13</sup> – 10<sup>-8</sup> s<sup>-1</sup>; Fagereng and Biggs, 2019).

Table A2

Pre- and post-deformational statistics of the mesh configurations for both the models at the end of each deformation stage.

	Study step	Mesh Area (μm <sup>2</sup> )	No. of Mesh Vertices	No. of Triangular elements	No. of Edge elements	No. of Vertex elements	Element Size (minimum, maximum) (μm)	Maximum element growth rate*	Curvature factor*	Minimum element quality*	Average element quality*
Pre-deformation set up	nil	0.5000	5563	10959	389	20	0.000075, 0.02	1.2	0.25	0.4925	0.8476
Model 1	Step 1: Pure shear	0.4880	5312	10451	379	20	0.0003, 0.0686	1.3	0.3	0.4876	0.8485
	Step 2: Dextral Simple shear	0.4893	5356	10516	403	20	0.0003, 0.0706			0.4943	0.8484
Model 2	Step 1: Dextral Simple shear	0.5014	5573	10974	394	20	0.0003, 0.0707			0.4847	0.8513
	Step 2: Pure shear	0.4893	5282	10390	382	20	0.0003, 0.0708			0.4839	0.8439

\*: Unitless quantities.

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